

Status of neutrino mass models

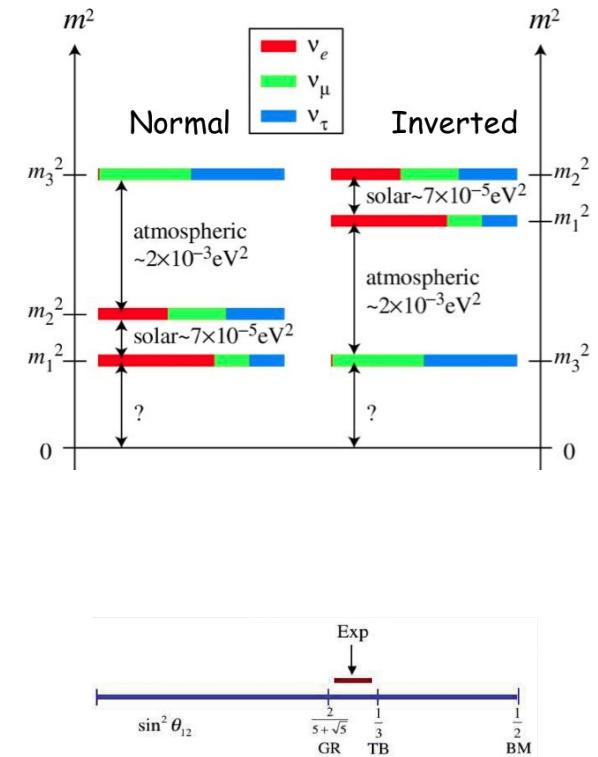
G. Ross, Invisibles 13, Lumley Castle, July 2013



Neutrino mixing

Symmetry or anarchy?

$$U_\Theta = \begin{pmatrix} \cos \Theta & \sin \Theta & 0 \\ -\sin \Theta & \cos \Theta & \frac{1}{\sqrt{2}} \\ \frac{\sqrt{2}}{\sqrt{2}} & \frac{-\cos \Theta}{\sqrt{2}} & \frac{1}{\sqrt{2}} \end{pmatrix} P$$



Tri-bi-maximal mixing: $\tan \Theta = 1/\sqrt{2}$

Harrison, Perkins, Scott

Golden ratio mixing: $\tan \Theta = 2/(1+\sqrt{5}) \equiv 1/\phi$

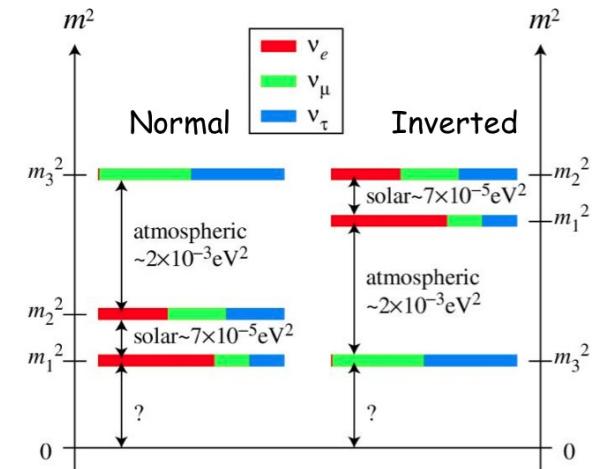
Datta et al; Kajyama et al

Bi²-maximal mixing: $\tan \Theta = 1$

Barger et al; Fukugita et al
Davidson, King

Neutrino mixing

$$U_\Theta = \begin{pmatrix} \cos \Theta & \sin \Theta & 0 \\ -\sin \Theta & \frac{\cos \Theta}{\sqrt{2}} & \frac{1}{\sqrt{2}} \\ \frac{\sin \Theta}{\sqrt{2}} & -\frac{\cos \Theta}{\sqrt{2}} & \frac{1}{\sqrt{2}} \end{pmatrix} P$$



Parameter	Best fit	1σ range	3σ range
$\sin^2 \theta_{12}/10^{-1}$	3.0	2.87 – 3.13	2.7 – 3.4
$\sin^2 \theta_{13}/10^{-2}$	2.3	2.07 – 2.53	1.6 – 3.0
$\sin^2 \theta_{23}/10^{-1}$ (NH)	4.1	4.075 – 4.137	3.4 – 6.7
$\sin^2 \theta_{23}/10^{-1}$ (IH)	5.9	5.68 – 6.11	3.35 – 6.63
δ/π	1.67	0.9 – 2.03	0 – 2



Gonzalez-Garcia, Maltoni, Salvado, Schwetz
 see also:
 Forero, Tortola, Valle
 Fogli, Lisi, Marrone, Montanino, Palazzo, Rotuno

Symmetries of the mass matrices

$$M_l = \text{Diag}(m_e, m_\mu, m_\tau)$$

$$M_l = h^T M_l h^* \quad \text{e.g. } \textcolor{red}{Z_3}, h = \text{Diag}(1, e^{2i\pi/3}, e^{4i\pi/3})$$

$$M_\nu = U_{PMNS} \text{Diag}(m_\perp, m_\odot, m_\circledast) U_{PMNS}^T$$

$$\textcolor{red}{Z_2 \times Z_2} \quad \text{Klein symmetry} \quad M_\nu = S^T M_\nu S$$

$$S = U_{PMNS}^* \text{Diag}(\pm 1, \pm 1, \pm 1) U_{PMNS}, \quad \det S = 1$$

Symmetries of the mass matrices

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$$S = U_{PMNS}^* \text{Diag}(\pm 1, \pm 1, \pm 1) U_{PMNS}, \quad \det S = 1$$

Choice of $\mathbf{Z}_2 \times \mathbf{Z}_2$ symmetry \Rightarrow mass matrix structure

e.g.

$$U = \begin{pmatrix} 1 & 0 & 0 \\ 0 & 0 & 1 \\ 0 & 1 & 0 \end{pmatrix} \quad \mu \leftrightarrow \tau$$

$$\left\{ \begin{array}{l} v_\circledast = (v_\mu - v_\tau)/\sqrt{2} \quad (-,-) \\ \theta_{13} = 0 \end{array} \right.$$

Bi-maximal

$$S_{TBM} = \frac{1}{3} \begin{pmatrix} -1 & 2 & 2 \\ 2 & -1 & 2 \\ 2 & 2 & -1 \end{pmatrix}$$

$$\left\{ \begin{array}{l} v_\odot = (v_e + v_\mu + v_\tau)/\sqrt{3} \quad (+,+) \\ v_\perp = (2v_e - (v_\mu + v_\tau)/\sqrt{2}) \quad (+,-) \end{array} \right.$$

Tri-maximal

Origin of symmetries

Direct:

$$G_{family} \xrightarrow{\langle \phi_v \rangle} Z_2 \times Z_2$$
$$\xrightarrow{\langle \phi_l \rangle} Z_3^l$$

e.g. $U, S_{TBM}, Z_3^l \subset S_4 \cong (Z_2 \times Z_2) \rtimes S_3 \subset SU(3)$, $U \subset A_4$ (S_{TBM} "accidental")

Emergent: $Z_2 \times Z_2 \not\subset G_{family}$ e.g. $G_{family} = \Delta(27) \subset SU(3)$

$$L_{eff}^\nu = a \psi_i \phi_{123}^i \psi_j \phi_{123}^j + b \psi_i \phi_{23}^i \psi_j \phi_{23}^j$$

$$\phi_{123} \propto (1,1,1), \quad \phi_2 \propto (1,0,0), \quad \phi_3 \propto (0,0,1)$$

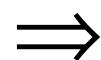
{ Symmetric under
 T, S_{TBM}

Vacuum alignment

Symmetries \Rightarrow Tr-Bi-Maximal, Golden Ratio, ...

$$U_\Theta = \begin{pmatrix} \cos \Theta & \sin \Theta & 0 \\ -\frac{\sin \Theta}{\sqrt{2}} & \frac{\cos \Theta}{\sqrt{2}} & \frac{1}{\sqrt{2}} \\ \frac{\sin \Theta}{\sqrt{2}} & -\frac{\cos \Theta}{\sqrt{2}} & \frac{1}{\sqrt{2}} \end{pmatrix} P$$

$\theta_{13} \neq 0 ???$



Anarchy?

Symmetry breaking perturbations ?

New symmetries ?

Symmetry breaking perturbations

$$L_m^v = m_{\text{@}}(v_a + \epsilon_{ab}v_b + ..)^2 + m_{\odot}(v_b - \epsilon_{ab}v_a + ..)^2 + m_{?}(v_c + ..)^2$$

$$\text{TBM} \quad \left\{ \begin{array}{l} v_a = \frac{1}{\sqrt{2}}(v_\mu - v_\tau) \\ v_b = \frac{1}{\sqrt{3}}(v_e + v_\mu + v_\tau) \\ v_c = \frac{1}{\sqrt{6}}(2v_e - v_\mu - v_\tau) \end{array} \right. \quad \text{GR} \quad \left\{ \begin{array}{l} v_a = \frac{1}{\sqrt{2}}(v_\mu - v_\tau) \\ v_b = s_\theta v_e + c_\theta(v_\mu + v_\tau)/\sqrt{2} \quad t_\theta = 1/\phi \\ v_c = c_\theta v_e - s_\theta(v_\mu + v_\tau)/\sqrt{2} \end{array} \right.$$

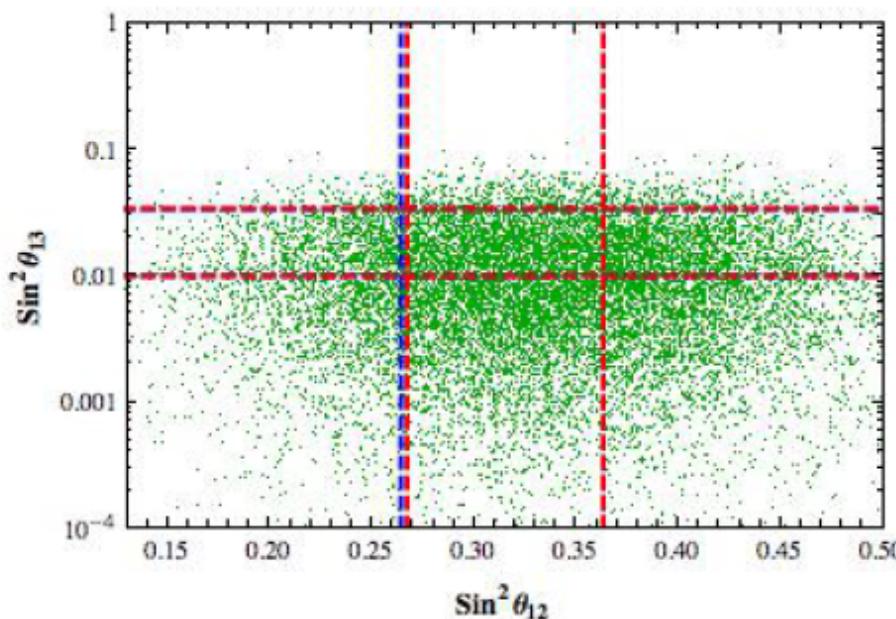
$\theta_{13} \neq 0 \Rightarrow$ must break $U \Rightarrow v_{a,b}$ and / or $v_{a,c}$ mixing

- General mixing (TBM case):

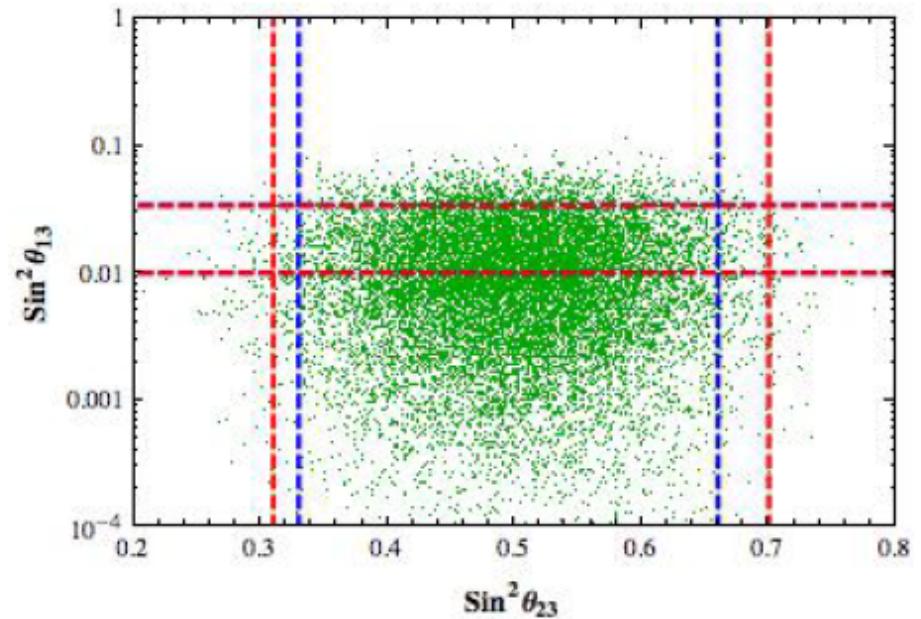
$$\sin^2 \theta_{23} = \frac{1}{2} + \text{Re}(c_{23}^e) \xi + \frac{1}{\sqrt{3}} \left(\text{Re}(c_{13}^\nu) - \sqrt{2} \text{Re}(c_{23}^\nu) \right) \xi$$

$$\sin^2 \theta_{12} = \frac{1}{3} - \frac{2}{3} \text{Re}(c_{12}^e + c_{13}^e) \xi + \frac{2\sqrt{2}}{3} \text{Re}(c_{12}^\nu) \xi$$

$$\sin \theta_{13} = \frac{1}{6} \left| 3\sqrt{2} (c_{12}^e - c_{13}^e) + 2\sqrt{3} (\sqrt{2} c_{13}^\nu + c_{23}^\nu) \right| \xi.$$



c's random



Altarelli, Feruglio, Merlot

● Restricted (bilinear) mixing (TBM case):

$$L_m^\nu = m_@(\nu_a + \epsilon_{ab}\nu_b + ..)^2 + m_\odot(\nu_b - \epsilon_{ab}\nu_a + ..)^2 + m_?(\nu_c + ..)^2$$

$$\text{TBM} \quad \left\{ \begin{array}{l} \nu_a = \frac{1}{\sqrt{2}}(\nu_\mu - \nu_\tau) \\ \nu_b = \frac{1}{\sqrt{3}}(\nu_e + \nu_\mu + \nu_\tau) \\ \nu_c = \frac{1}{\sqrt{6}}(2\nu_e - \nu_\mu - \nu_\tau) \end{array} \right. \quad U = \begin{pmatrix} \frac{2}{\sqrt{6}} & \frac{c}{\sqrt{3}} & \frac{s}{\sqrt{3}} e^{-i\delta} \\ -\frac{1}{\sqrt{6}} & \frac{c}{\sqrt{3}} - \frac{s}{\sqrt{2}} e^{i\delta} & \frac{c}{\sqrt{2}} + \frac{s}{\sqrt{3}} e^{-i\delta} \\ -\frac{1}{\sqrt{6}} & \frac{c}{\sqrt{3}} + \frac{s}{\sqrt{2}} e^{i\delta} & -\frac{c}{\sqrt{2}} + \frac{s}{\sqrt{3}} e^{-i\delta} \end{pmatrix}$$

$\theta_{13} \neq 0 \Rightarrow$ must break $U \Rightarrow \nu_{a,b}$ or $\nu_{a,c}$ mixing

$\delta\theta_{12}$ small \Rightarrow residual Z_2 symmetry \equiv bilinear mixing

$$S_{TBM} \Rightarrow \nu_{a,b}, \quad S_{TBM} T \Rightarrow \nu_{a,c}$$



$$S_{GR} \Rightarrow \nu_{a,b}, \quad S_{GR} T \Rightarrow \nu_{a,c}$$

Model	ν perturbation	s_{12}^l	δ/π (1σ)	δ/π (3σ)	s_{12}^2	s_{23}^2
TBM	ν_{ab} mixing (NH)	0	$\pm(0.36 - 0.47)$	$\pm(0.05 - 1)$	0.33	-
	(IH)	0	$\pm(0.51 - 0.67)$	$\pm(0.08 - 1)$	0.33	-
	(NH)	$\sqrt{\frac{m_e}{m_\mu}}$	$\pm(0.58 - 1)$	0 - 2	0.29 - 0.38	-
	(IH)	$\sqrt{\frac{m_e}{m_\mu}}$	$\pm(0 - 0.51)$	0 - 2	0.29 - 0.38	-
TBM	...	(NH)	0	$\pm(0.00 - 0.22)$	0 - 2	0.22
TBM						-
GR						-
GR	(III)	0	$\pm(0.4 - 0.1)$	$\pm(0.00 - 1.22)$	0.270	-
	(NH)	$\sqrt{\frac{m_e}{m_\mu}}$	$\pm(1.65 - 2.07)$	0 - 2	0.25 - 0.3	-
	(IH)	$\sqrt{\frac{m_e}{m_e}}$	$+(1 - 1.65)$	0 - 2	0.25 - 0.3	-
GR	ν_{ac} mixing (NH)					
GR	(IH)					
GR	(NH)					
GR	(IH)					
GR	None					0.56
BM						0.487
	Fit to data (NH) [6]					0.414
	(IH) [6]					0.67

$$s_{23}^v = \left| \frac{1}{\sqrt{2}} + e^{-i\delta} s_{13} \right|$$

$$L_m^v = m_{\odot} \left((\nu_e - \nu_\tau)/\sqrt{2} + s_{13} e^{-i\delta} (\nu_e + \nu_\mu + \nu_\tau) \right)^2 + m_{\odot} \left((\nu_e + \nu_\mu + \nu_\tau)/\sqrt{3} - \sqrt{\frac{3}{2}} s_{13} e^{i\delta} (\nu_\mu - \nu_\tau) \right)^2$$

$$\theta_{13}$$

$$U = \begin{pmatrix} \frac{2}{\sqrt{6}} & \frac{c}{\sqrt{3}} & \frac{s}{\sqrt{3}} e^{-i\delta} \\ -\frac{1}{\sqrt{6}} & \frac{c}{\sqrt{3}} - \frac{s}{\sqrt{2}} e^{i\delta} & \frac{c}{\sqrt{2}} + \frac{s}{\sqrt{3}} e^{-i\delta} \\ -\frac{1}{\sqrt{6}} & \frac{c}{\sqrt{3}} + \frac{s}{\sqrt{2}} e^{i\delta} & -\frac{c}{\sqrt{2}} + \frac{s}{\sqrt{3}} e^{-i\delta} \end{pmatrix}$$

Model	ν perturbation	s_{12}^l	δ/π (1σ)	δ/π (3σ)	s_{12}^2	s_{23}^2
TBM	ν_{ab} mixing (NH)	0	$\pm(0.36 - 0.47)$	$\pm(0.05 - 1)$	0.33	-
	(IH)	0	$\pm(0.51 - 0.67)$	$\pm(0.08 - 1)$	0.33	-
	(NH)	$\sqrt{\frac{m_e}{m_\mu}}$	$\pm(0.58 - 1)$	0 - 2	0.29 - 0.38	-
	(IH)	$\sqrt{\frac{m_e}{m_\mu}}$	$\pm(0 - 0.51)$	0 - 2	0.29 - 0.38	-
TBM	ν_{ac} mixing (NH)	0	$\pm(0 - 0.38)$	0 - 2	0.33	-
	(IH)	0	$\pm(0.51 - 1)$	0 - 2	0.33	-
	(NH)	$\sqrt{\frac{m_e}{m_\mu}}$	$\pm(0 - 0.4)$	0 - 2	0.29 - 0.38	-
	(IH)	$\sqrt{\frac{m_e}{m_\mu}}$	$\pm(0.5 - 1)$	0 - 2	0.29 - 0.38	-
TBM	None	$\sqrt{2} s_{13}$	0.7 - 1.3	0.5 - 1.5	-	0.45 - 0.56
GR	ν_{ab} mixing (NH)	0	$\pm(0.15 - 0.33)$	$\pm(-0.03 - 0.79)$	0.276	-
	(IH)	0	$\pm(0.4 - 0.7)$	$\pm(0.06 - 1.22)$	0.276	-
	(NH)	$\sqrt{\frac{m_e}{m_\mu}}$	$\pm(1.65 - 2.07)$	0 - 2	0.25 - 0.3	-
	(IH)	$\sqrt{\frac{m_e}{m_\mu}}$	$\pm(1 - 1.65)$	0 - 2	0.25 - 0.3	-
GR	ν_{ac} mixing (NH)	0	-0.39 - 0.39	0 - 2	0.276	-
GR	(IH)	0	-0.39 - 0.39	0 - 2	0.276	-
	(NH)	$\sqrt{\frac{m_e}{m_\mu}}$	$\pm(0 - 0.36)$	0 - 2	0.25 - 0.3	-
	(IH)	$\sqrt{\frac{m_e}{m_\mu}}$	$\pm(0.52 - 1)$	0 - 2	0.25 - 0.3	-
GR	None	$\sqrt{2} s_{13}$	$\pm(0.35 - 0.4)$	$\pm(0.32 - 0.45)$	-	0.45 - 0.56
BM		-	-	0.75 - 1.25	-	0.485 - 0.487
	Fit to data (NH) [6]		0.9 - 2.03	0 - 2	0.287 - 0.313 (1σ)	0.408 - 0.414
	(IH) [6]		0.9 - 2.03	0 - 2	0.287 - 0.313 (1σ)	0.34 - 0.67

θ_{13} charged lepton or neutrino origin?

$$s_{23} \approx s_{23}^\nu - \theta_{23}^l c_{23}^\nu e^{i\delta_{23}}$$

$$s_{12} \approx s_{12}^\nu - \theta_{12}^l c_{23}^\nu c_{12}^\nu e^{i\delta_{12}}$$

$$\theta_{13} e^{-i\delta_{13}} = \theta_{13}^\nu e^{-i\delta_{13}^\nu} - \theta_{12}^l s_{23}^\nu e^{-i(\delta_{23}^\nu + \delta_{12}^e)}$$

Cabibbo haze:

$$\theta_{13}^\nu = 0, \quad \theta_{13} \approx \theta_{12}^l s_{23}^\nu \approx \frac{\theta_{12}^l}{\sqrt{2}}$$

$$\left\{ \begin{array}{ll} M^{q,l} & : \text{small mixing ...dominated by } \theta_C \\ M^\nu & : \text{tri-bi-maximal} \\ L_m^\nu = m_\oplus \left[\frac{1}{\sqrt{2}} (\nu_\mu - \nu_\tau) \right]^2 + m_\odot \left[\frac{1}{\sqrt{3}} (\nu_e + \nu_\mu + \nu_\tau) \right]^2 \end{array} \right.$$

If $\theta_{12}^l = \theta_C$ (GUT?), $\theta_{13} = 9^0$!

$$\sqrt{\frac{m_e}{m_\mu}} \simeq \frac{\theta_C}{3} \quad (1,1) \text{ texture zero}$$

†

Datta, Everett, Ramond
Marzocca, Petkov, Romanino, Spinrath
Antusch et al

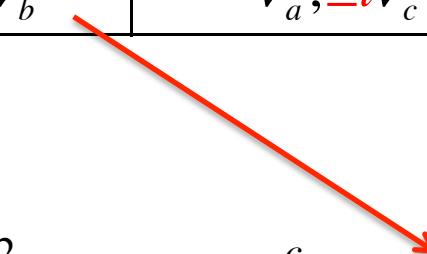
...but $\theta_{12}^l = \theta_C$ inconsistent with other plausible GUT relations †

Symmetry fights back - I

Klein symmetry: $Z_2 \times Z_2 : S_{TBM, GR}, (U \times CP)_{Diag}$

Harrison, Scott
Feruglio, Hagedorn, Ziegler
Ding, King, Luhn, Stuart
Talbert, GGR

	S, U	$S, (U \times CP)_{Diag}$	$SU, (U \times CP)_{Diag}$
v_a	(+, -)	(+, \mp)	(-, \mp)
v_b	(+, +)	(+, \pm)	(+, \pm)
v_c	(-, +)	(-, \pm)	(-, \pm)
<i>Mixing</i>	-	$v_a, \pm i v_b$	$v_a, \pm i v_c$

$$U = \begin{pmatrix} \frac{2}{\sqrt{6}} & \frac{c}{\sqrt{3}} & \frac{s}{\sqrt{3}} e^{\pm i\pi/2} \\ -\frac{1}{\sqrt{6}} & \frac{c}{\sqrt{3}} - \frac{s}{\sqrt{2}} e^{\mp i\pi/2} & \frac{c}{\sqrt{2}} + \frac{s}{\sqrt{3}} e^{\pm i\pi/2} \\ -\frac{1}{\sqrt{6}} & \frac{c}{\sqrt{3}} + \frac{s}{\sqrt{2}} e^{\mp i\pi/2} & -\frac{c}{\sqrt{2}} + \frac{s}{\sqrt{3}} e^{\pm i\pi/2} \end{pmatrix}$$


Symmetry fights back - I

Klein symmetry: $Z_2 \times Z_2 : S_{TBM, GR}, (U \times CP)_{Diag}$

Feruglio, Hagedorn, Ziegler
Ding, King, Luhn, Stuart

$$\text{Generalised CP} \quad \varphi(x) \xrightarrow{H_{CP}} X_{\mathbf{r}} \varphi^*(x') \quad (S_4 \rtimes H_{CP})$$

I. $\sin \alpha_{21} = \sin \alpha_{31} = \sin \delta_{CP} = 0 ,$

$$\sin^2 \theta_{13} = \frac{2}{3} \sin^2 \theta, \quad \sin^2 \theta_{12} = \frac{1}{2+\cos 2\theta}, \quad \sin^2 \theta_{23} = \frac{1}{2} \left[1 + \frac{\sqrt{3} \sin 2\theta}{2+\cos 2\theta} \right]$$

II. $\sin \alpha_{21} = 0, \quad \sin \alpha_{31} = 0, \quad |\sin \delta_{CP}| = 1,$

$$\sin^2 \theta_{13} = \frac{2}{3} \sin^2 \theta, \quad \sin^2 \theta_{12} = \frac{1}{2+\cos 2\theta}, \quad \sin^2 \theta_{23} = \frac{1}{2}$$

III. $\sin^2 \theta_{13} = \frac{1}{6} (2 - \sqrt{3} \cos 2\theta), \quad \sin^2 \theta_{12} = \frac{2}{4 + \sqrt{3} \cos 2\theta},$

$$\sin^2 \theta_{23}^{1st} = \frac{2}{4 + \sqrt{3} \cos 2\theta}, \quad \text{or} \quad \sin^2 \theta_{23}^{2nd} = 1 - \frac{2}{4 + \sqrt{3} \cos 2\theta}.$$

$$|\sin \alpha_{21}| = \left| \frac{\sqrt{3} + 2 \cos 2\theta}{2 + \sqrt{3} \cos 2\theta} \right|, \quad |\sin \alpha'_{31}| = \left| \frac{4\sqrt{3} \sin 2\theta}{5 - 3 \cos 4\theta} \right|,$$

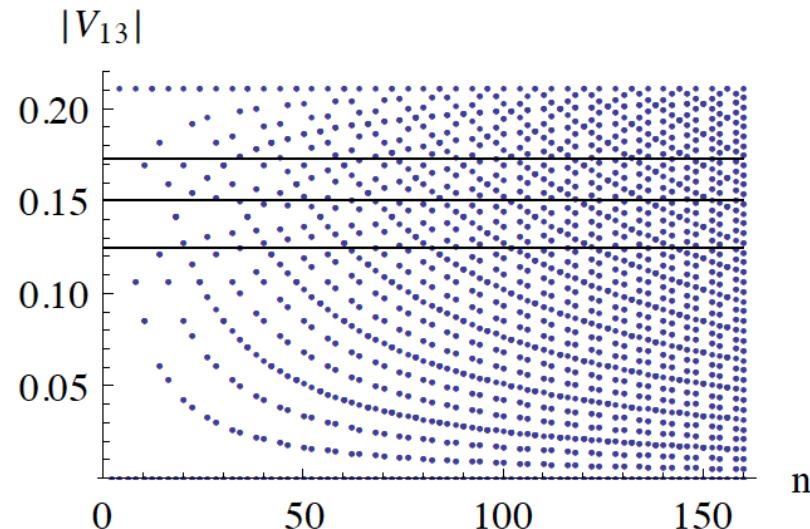
$$|\sin \delta_{CP}| = \left| \frac{\sqrt{4 - 2\sqrt{3} \cos 2\theta} (4 + \sqrt{3} \cos 2\theta) \sin 2\theta}{5 - 3 \cos 4\theta} \right|.$$

Symmetry fights back - II

Direct: $Z_2 \times Z_2 \times Z_3 \subset G_{family}$

$$G_{family} = \Delta(600): \sin^2 \theta_{13} = 0.028, \sin^2 \theta_{23} = 0.38 \quad \text{Lam}$$

$$G_{family} = \Delta(6n^2) \cong (Z_n \times Z_n) \rtimes S_3, \quad n \text{ even}$$



Trimaximal mixing, $\theta_{23} = 45^\circ \mp \theta_{13}/\sqrt{2}$, $\delta = 0, \pi$

King, Neder, Stuart

Symmetry fights back - III

Emergent:

$$\langle \phi_{\text{atm}} \rangle = \frac{1}{\sqrt{2}} \begin{pmatrix} 0 \\ 1 \\ 1 \end{pmatrix} v_{\text{atm}}, \quad \langle \phi_{\text{sol}} \rangle = \frac{1}{\sqrt{21}} \begin{pmatrix} 1 \\ 4 \\ 2 \end{pmatrix} v_{\text{sol}}$$

King

$$\mathcal{W}_{\text{Yuk}}^\nu \sim \frac{1}{\Lambda} H_2(\phi_{\text{atm}} \cdot L) N_{\text{atm}} + \frac{1}{\Lambda} H_2(\phi_{\text{sol}} \cdot L) N_{\text{sol}}$$

$$\mathcal{W}_R \sim \xi_{\text{atm}} N_{\text{atm}}^2 + \xi_{\text{sol}} N_{\text{sol}}^2$$



$2 \nu_R$

(1,1) Texture Zero

$$m^\nu \sim \frac{v_2^2}{\Lambda^2} \left(\frac{\langle \phi_{\text{atm}} \rangle \langle \phi_{\text{atm}} \rangle^T}{\langle \xi_{\text{atm}} \rangle} + \frac{\langle \phi_{\text{sol}} \rangle \langle \phi_{\text{sol}} \rangle^T}{\langle \xi_{\text{sol}} \rangle} \right)$$

1 parameter fit (m_2 / m_3)

$$\theta_{12} \approx 34^\circ, \theta_{23} \approx 41^\circ, \theta_{13} \approx 9.5^\circ, \delta \approx 106^\circ, \eta = \frac{2\pi}{5}$$

Vacuum alignment (A_4 model)

$$\langle \phi_{\text{atm}} \rangle = \frac{1}{\sqrt{2}} \begin{pmatrix} 0 \\ 1 \\ 1 \end{pmatrix} v_{\text{atm}}, \quad \langle \phi_{\text{sol}} \rangle = \frac{1}{\sqrt{21}} \begin{pmatrix} 1 \\ 4 \\ 2 \end{pmatrix} v_{\text{sol}}, \quad \eta = \frac{2\pi}{5}$$

King

$$W_{A_4}^{\text{flavon},R} = gP \left(\frac{\xi_{\text{atm}}^5}{\Lambda^3} - M^2 \right) + g'P' \left(\frac{\xi_{\text{sol}}^5}{\Lambda'^3} - M'^2 \right)$$

$$W_{A_4}^{\text{flavon},\ell} \sim A_e \varphi_e \varphi_e + A_\mu \varphi_\mu \varphi_\mu + A_\tau \varphi_\tau \varphi_\tau + O_{e\mu} \varphi_e \varphi_\mu + O_{e\tau} \varphi_e \varphi_\tau + O_{\mu\tau} \varphi_\mu \varphi_\tau$$

$$\begin{aligned} W_{A_4}^{\text{flavon},\nu} &= A_{\nu_2} (g_1 \varphi_{\nu_2} \varphi_{\nu_2} + g_2 \varphi_{\nu_2} \xi_{\nu_2}) + A_{\nu'_2} (g'_1 \varphi_{\nu'_2} \varphi_{\nu'_2} + g'_2 \varphi_{\nu'_2} \xi_{\nu'_2}) \\ &\quad + O_{e\nu_3} g_3 \varphi_e \varphi_{\nu_3} + O_{\nu_2\nu_3} g_4 \varphi_{\nu_2} \varphi_{\nu_3} + O_{\nu_1\nu_2} g_5 \varphi_{\nu_1} \varphi_{\nu_2} + O_{\nu_1\nu_3} g_6 \varphi_{\nu_1} \varphi_{\nu_3} \\ &\quad + O_{e\nu'_3} g'_3 \varphi_e \varphi_{\nu'_3} + O_{\nu'_2\nu'_3} g'_4 \varphi_{\nu'_2} \varphi_{\nu'_3} + O_{\nu'_1\nu'_2} g'_5 \varphi_{\nu'_1} \varphi_{\nu'_2} + O_{\nu'_1\nu'_3} g'_6 \varphi_{\nu'_1} \varphi_{\nu'_3} \\ &\quad + O_{\mu\nu_5} g_7 \varphi_\mu \varphi_{\nu_5} + O_{\nu'_1\nu_5} g_8 \varphi_{\nu'_1} \varphi_{\nu_5} + O_{\mu\nu_6} g_9 \varphi_\mu \varphi_{\nu_6} + O_{\nu_5\nu_6} g_{10} \varphi_{\nu_5} \varphi_{\nu_6} \end{aligned}$$

$$\Delta W_{A_4}^{\text{flavon},\ell} \sim \sum_{l=e,\mu,\tau} \frac{P}{\Lambda} ((\varphi_l \cdot \varphi_l) \rho_l - M^3) + P \left(\frac{\rho_l^3}{\Lambda} - M^2 \right),$$

$$\Delta W_{A_4}^{\text{flavon},\nu} \sim \sum_{i=1}^6 \frac{P}{\Lambda} ((\varphi_{\nu_i} \cdot \varphi_{\nu_i}) \rho_{\nu_i} - M^3) + P \left(\frac{\rho_{\nu_i}^5}{\Lambda^3} - M^2 \right)$$

Symmetry fights back - IV

Mixing and masses from an extremum principle

$$-\mathcal{L}_Y = \bar{q}_L Y_D H D_R + \bar{q}_L Y_U \tilde{H} U_R + \bar{\ell}_L Y_E H E_R + \bar{\ell}_L Y_\nu \tilde{H} N + \text{h.c.} + \frac{M}{2} N \gamma_0 N$$

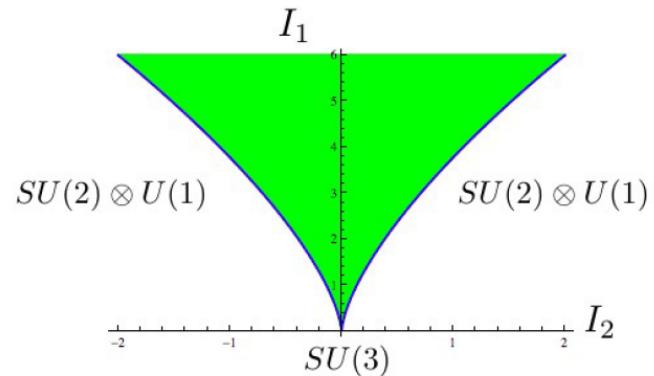
$$G_{\text{family}}^{\text{local}} = [SU(3)]^5 \otimes O(3) \xrightarrow{\langle Y_i \rangle} ?$$

Alonso, Gavela, Isidori, Maiani

Alonso, Gavela, Hernandez, Merlo, Rigolin

Grinstein, Redi, Villadoro

Y_i dynamical variables- "Natural extrema"



e.g. $\times SU(3)$ adjoint:

$$V(I_1, I_2): \quad I_1 = \text{Tr}(x^2), \quad I_2 = \text{Det}(x)$$

"Natural extrema"

Quarks:

$$SU(3)_q \otimes SU(3)_U \otimes SU(3)_D \rightarrow SU(2)_q \otimes SU(2)_U \otimes SU(2)_D \otimes U(1)$$

$$m_q = \text{diag}(0, 0, c) \quad U_{\text{CKM}} = 1$$

Leptons: $SU(3)_l \otimes O(3) \rightarrow SU(2)_l \otimes U(1)$

$$\hat{m}_\nu = \frac{v^2}{M} \text{ diag}(y_1^2, y_2 y_3, y_2 y_3) ,$$

$$U_{\text{PMNS}}^{(0)} = \begin{pmatrix} 1 & 0 & 0 \\ 0 & 1/\sqrt{2} & 1/\sqrt{2} \\ 0 & -1/\sqrt{2} & 1/\sqrt{2} \end{pmatrix}$$

Difference due to Majorana masses restricting redefinition of neutrinos

Add perturbations:

$$m_\nu = \frac{v^2 y}{M} \begin{pmatrix} 1 + \delta + \sigma & \epsilon + \eta & \epsilon - \eta \\ \epsilon + \eta & \delta + \kappa & 1 \\ \epsilon - \eta & 1 & \delta - \kappa \end{pmatrix}$$

Quasi degenerate neutrinos
Normal or inverted hierarchy
2 large mixing angles
 Θ_{13} generically small

Epilogue

- Masses
 - Froggatt-Nielsen
 - Xtra-dimension/Composite

Epilogue

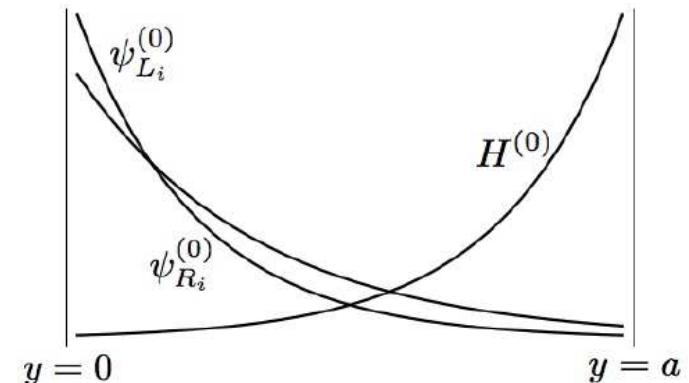
- Masses
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5D mass parameters

$$Y_{4D,ij} \sim \int_0^a dy Y_{5D,ij}(y) e^{-(M_{L_i} + M_{R_j})y + M_H(y-a)}$$

$$(M_{L_i} + M_{R_j} > M_H) \quad \quad \quad (M_{L_i} + M_{R_j} < M_H)$$

$$\sim \tilde{Y}_{0,ij} e^{-M_H a} \quad \ll \quad \sim \tilde{Y}_{a,ij} e^{-(M_{L_i} + M_{R_j})a}$$



Flavour blind
..Dirac neutrinos
 θ_{13} large

Flavour hierarchy
.. q, l

Agashe, Okui, Sundrum

4D strongly coupled AdS/CFT analogue

CFT - Walking Technicolour

Leptons elementary - couple to Higgs via fermionic operators in strong sector

Exponential suppression factors come from RG running with large scaling dimensions

Epilogue

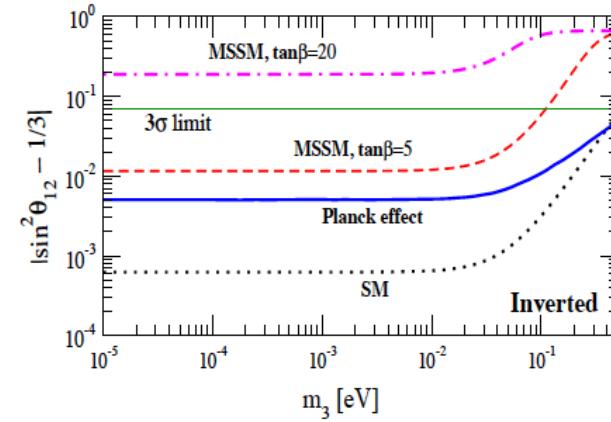
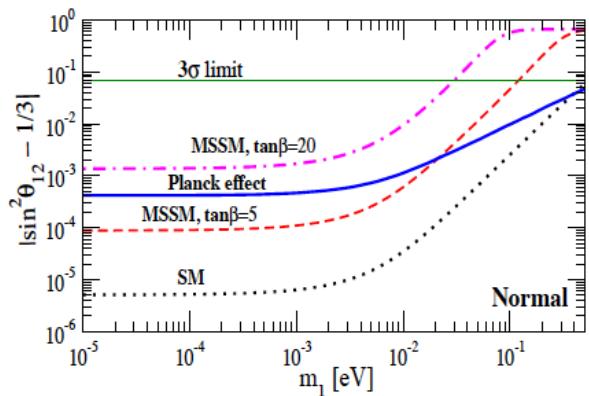
- Masses
 - Froggatt-Nielsen
 - Xtra-dimension/Composite
- }
- Majorana or Dirac
- Normal/Inverted/
Quasi-degenerate ($O(3)$)

Epilogue

- Masses
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 - Xtra-dimension/Composite
- } Majorana or Dirac
Normal/Inverted/
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 \dagger



Radiative generation of θ_{13}



Dighe, Goswami, Rodejohann
Ellis, Lola

...

Epilogue

- Masses
 - Froggatt-Nielsen
 - Xtra-dimension/Composite
 - Texture (zeros)

e.g. $\sin \theta_{13} = \sqrt{\frac{2}{3} \frac{\Delta m_{\text{sol}}^2}{\Delta m_{\text{atm}}^2}}$ *T χ M*

Krishnan, Harrison, Scott

Epilogue

- Masses
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 - Texture (zeros)
- Quark-lepton unification?
 - Spontaneous breaking (natural extrema)
 - Hierarchical see-saw

Hierarchical see-saw (Sequential Dominance)

Quarks, charged leptons, neutrinos **can** have similar Dirac mass

$$L_{Dirac}^{q,l,v} = \alpha \psi_i^{\bar{i}} \phi_3^i \psi_j^c \phi_3^{\bar{j}} + \beta \left(\psi_i^{\bar{i}} \phi_{123}^i \psi_j^c \phi_{23}^{\bar{j}} + \psi_i^{\bar{i}} \phi_{23}^i \psi_j^c \phi_{123}^{\bar{j}} \right) + \gamma \psi_i^{\bar{i}} \phi_{23}^i \psi_j^c \phi_{23}^{\bar{j}} \Sigma_{45} \quad \alpha > \beta$$

$$\frac{M_{Dirac}}{m_3} = \begin{pmatrix} <\varepsilon^4 & \varepsilon^3 + \varepsilon^4 & -\varepsilon^3 + \varepsilon^4 \\ \varepsilon^3 + \varepsilon^4 & a\varepsilon^2 + \varepsilon^3 & -a\varepsilon^2 + \varepsilon^3 \\ -\varepsilon^3 + \varepsilon^4 & -a\varepsilon^2 + \varepsilon^3 & 1 \end{pmatrix} \quad \begin{aligned} \varepsilon^d &= 0.15, & a^d &= 1 \\ \varepsilon^l &= 0.15, & a^e &= -3 \\ \varepsilon^u &= 0.05, & a^u &= 1 \\ \varepsilon^v &= 0.05, & a^v &= 0 \end{aligned}$$

$$m_b \simeq 3m_\tau \quad m_s \simeq m_\mu \quad ((1,1) \text{ T.Z.})$$

$$m_d \simeq 9m_e$$

Hierarchical see-saw (Sequential Dominance)

Quarks, charged leptons, neutrinos **can** have similar Dirac mass

$$L_{Dirac}^{q,l,\nu} = \alpha \psi_i^{\bar{i}} \phi_3^i \psi_j^c \phi_3^{\bar{j}} + \beta \left(\psi_i^{\bar{i}} \phi_{123}^i \psi_j^c \phi_{23}^{\bar{j}} + \psi_i^{\bar{i}} \phi_{23}^i \psi_j^c \phi_{123}^{\bar{j}} \right) + \gamma \psi_i^{\bar{i}} \phi_{23}^i \psi_j^c \phi_{23}^{\bar{j}} \Sigma_{45} \quad \alpha > \beta$$

$$\frac{M_{Dirac}}{m_3} = \begin{pmatrix} <\varepsilon^4 & \varepsilon^3 + \varepsilon^4 & -\varepsilon^3 + \varepsilon^4 \\ \varepsilon^3 + \varepsilon^4 & \textcolor{red}{a\varepsilon^2 + \varepsilon^3} & -\textcolor{red}{a\varepsilon^2 + \varepsilon^3} \\ -\varepsilon^3 + \varepsilon^4 & -\textcolor{red}{a\varepsilon^2 + \varepsilon^3} & 1 \end{pmatrix} \quad \begin{array}{ll} \varepsilon^d = 0.15, \quad \textcolor{red}{a^d = 1} \\ \varepsilon^l = 0.15, \quad \textcolor{red}{a^e = -3} \\ \varepsilon^u = 0.05, \quad \textcolor{red}{a^u = 1} \\ \varepsilon^v = 0.05, \quad \textcolor{red}{a^v = 0} \end{array} \quad \begin{array}{l} m_b \simeq 3m_\tau \\ m_s \simeq m_\mu \\ m_d \simeq 9m_e \end{array}$$

Majorana mass structure

$$M_1 < M_2 \ll M_3$$

$$L_M = \theta \psi_i^c \psi_j^c \left(a \phi_3^i \phi_3^{\bar{i}} + b \varepsilon^3 \phi_{23}^i \phi_{23}^{\bar{i}} + c \varepsilon^2 \phi_{123}^i \phi_{123}^{\bar{i}} \right)$$



small

$$L_{eff}^\nu / H^2 = \frac{\beta^2}{M_1} \psi_i \phi_{123}^i \psi_j \phi_{123}^j + \frac{\beta^2}{M_2} \psi_i \phi_{23}^i \psi_j \phi_{23}^j + \frac{(\alpha + \beta)^2}{M_3} \psi_i \phi_3^i \psi_j \phi_3^j$$

$$\begin{aligned} <\phi_{23}> &= \varepsilon(0,1,-1), \\ <\phi_{123}> &= \varepsilon^2(1,1,1) \\ \Rightarrow \frac{m_\odot}{m_\oplus} &= O(\varepsilon) \end{aligned}$$

Epilogue

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- Symmetry/Anarchy?

Epilogue

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- Symmetry/Anarchy?
 - Sparse data; departure from e.g. pure tri-bi-maximal mixing... will need precision measurement and prediction to decide

Mass relations:

$$\theta_c = \left| \sqrt{\frac{m_d}{m_s}} - e^{i\delta} \sqrt{\frac{m_u}{m_c}} \right|$$

$$m_\tau(M_{GUT}) = m_b(M_{GUT})$$

$$m_\mu(M_{GUT}) = 3m_s(M_{GUT})$$

$$m_e(M_{GUT}) = \frac{1}{3}m_s(M_{GUT})$$

$$M^d = m_b \begin{pmatrix} <\varepsilon^4 & \varepsilon^3 & \cdot \\ \varepsilon^3 & \varepsilon^2 & \varepsilon^2 \\ \cdot & \cdot & 1 \end{pmatrix}$$

Gatto et al, Weinberg, Fritzsch

$$M^l = m_b \begin{pmatrix} <\varepsilon^4 & 1\varepsilon^3 & \cdot \\ 1\varepsilon^3 & 3\varepsilon^2 & \varepsilon^2 \\ \cdot & \cdot & 1 \end{pmatrix}$$

Georgi-Jarlskog

Parameters	Input SUSY Parameters					
	1.3	10	38	50	38	38
$\tan \beta$	1.3	10	38	50	38	38
γ_b	0	0	0	0	-0.22	+0.22
γ_d	0	0	0	0	-0.21	+0.21
γ_t	0	0	0	0	0	-0.44
Parameters						
Comparison with GUT Mass Ratios						
$(m_b/m_\tau)(M_X)$	$1.00^{+0.04}_{-0.4}$	0.73(3)	0.73(3)	0.73(4)	1.00(4)	1.00(4)
$(3m_s/m_\mu)(M_X)$	$0.70^{+0.8}_{-0.05}$	0.69(8)	0.69(8)	0.69(8)	0.9(1)	0.6(1)
$(m_d/3m_e)(M_X)$	0.82(7)	0.83(7)	0.83(7)	0.83(7)	1.05(8)	0.68(6)
$(\frac{\det Y^d}{\det Y^e})(M_X)$	$0.57^{+0.08}_{-0.26}$	0.42(7)	0.42(7)	0.42(7)	0.92(14)	0.39(7)

GGR, Serna

...but $\theta_{12}^l = \theta_C$ inconsistent with other plausible GUT relations

$$\theta_C = \left| \sqrt{\frac{m_d}{m_s}} - e^{i\delta} \sqrt{\frac{m_u}{m_c}} \right|$$

$$m_\tau(M_{GUT}) = m_b(M_{GUT})$$

$$m_\mu(M_{GUT}) = 3m_s(M_{GUT})$$

$$m_e(M_{GUT}) = \frac{1}{3}m_s(M_{GUT})$$

$$M^d = m_b \begin{pmatrix} <\varepsilon^4 & \varepsilon^3 & <\varepsilon^3 \\ \varepsilon^3 & \varepsilon^2 & \varepsilon^2 \\ . & . & 1 \end{pmatrix} \xrightarrow{\quad} \left| \frac{V_{td}}{V_{ts}} \right| = \sqrt{\frac{m_d}{m_s}}$$

Hall, Rasin

$$M^l = m_b \begin{pmatrix} <\varepsilon^4 & 1\varepsilon^3 & . \\ 1\varepsilon^3 & 3\varepsilon^2 & \varepsilon^2 \\ . & . & 1 \end{pmatrix}$$

$$\theta_{12}^l = \sqrt{\frac{m_e}{m_\mu}} = \frac{\theta_C}{3}$$

$$\boxed{\theta_{13}^l = \frac{\theta_{12}^l}{\sqrt{2}} = 3^0}$$

...needs additional neutrino contribution

How do we distinguish between these possibilities?

...correlations between mixing angles and phase

Vacuum alignment

e.g. $Z_3 \times Z_n$

$$\begin{array}{c}
 \phi_i \quad Z_3 \phi_i \quad Z_n \phi_i \\
 \hline
 \phi_1 \rightarrow \phi_2 \rightarrow \alpha \phi_1 \\
 \phi_2 \rightarrow \phi_3 \rightarrow \alpha^2 \phi_2 \\
 \phi_3 \rightarrow \phi_1 \rightarrow \alpha^{-3} \phi_3
 \end{array}
 \qquad \alpha^n = 1$$

Choice of discrete symmetry

Vacuum structure : $Z_3 \times Z_n \rightarrow \begin{cases} Z_3, & \langle \phi \rangle = (1,1,1) \quad \lambda > 0 \\ Z_n, & \langle \phi \rangle = (0,0,1) \quad \lambda < 0 \end{cases}$

$$V(\phi) = -m^2 \phi^{\dagger i} \phi_i + \dots + \lambda m^2 \phi^{\dagger i} \phi_i \phi^{\dagger i} \phi_i$$

Vacuum alignment

$$P \supset \langle P \rangle \phi_{23} \phi_{123}^2 \rightarrow m_{3/2} \phi_{23} \phi_{123}^2$$

$$V_{tree} = m_{3/2}^2 |\phi_{123}|^4 + m_{3/2}^2 |\phi_{123}\phi_{23}|^2$$

$$V_{rad} = \alpha m_{3/2}^2 |\phi_2|^4 + \beta m_{3/2}^2 |\phi_3|^4 + \gamma m_{3/2}^2 |\phi_2\phi_3|^4 + \delta m_{3/2}^2 |\phi_2\phi_{23}|^2 + \dots$$

$$s_{12}^{\nu 2} = s_{23}^{\nu 2} = 0.5$$

$$\phi_{123} \propto (1,1,1), \quad \phi_2 \propto (1,0,0), \quad \phi_3 \propto (0,0,1), \quad \phi_{23} \propto (0,1,-1)$$

$$\alpha, \beta < 0, \quad \gamma, \delta > 0$$

Bi-maximal mixing “perturbation”

$$s_{12}^{\nu 2} = s_{23}^{\nu 2} = 0.5 \quad V_{BM}^\nu = \begin{pmatrix} \frac{1}{\sqrt{2}} & \frac{1}{\sqrt{2}} & 0 \\ -\frac{1}{2} & \frac{1}{2} & \frac{1}{\sqrt{2}} \\ \frac{1}{2} & -\frac{1}{2} & \frac{1}{\sqrt{2}} \end{pmatrix}$$

$$V_{BM}^l = \begin{pmatrix} \cos \alpha & -e^{-i\delta^l} \sin \alpha & 0 \\ e^{-i\delta^l} \sin \alpha & \cos \alpha & 0 \\ 0 & 0 & 1 \end{pmatrix}$$

$$s_{13} \approx \alpha/\sqrt{2}$$

$$s_{12}^2 \approx 1/2 + \alpha \cos \delta / \sqrt{2}$$

$$s_{23}^2 \approx 1/2 - \alpha^2 / 4$$

$$\delta = \delta^l.$$