

Parton shower matching and multijet merging at NLO

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Institute for Particle Physics Phenomenology

LHCphenonet midterm meeting
Ravello, 20/09/2012

[arXiv:1111.1220](#), [arXiv:1201.5882](#)

[arXiv:1207.5030](#), [arXiv:1207.5031](#)

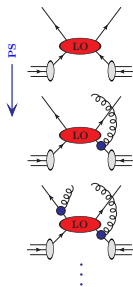
[arXiv:1208.2815](#)



LHCphenonet



Motivation



LO $pp \rightarrow 2$ with parton showers

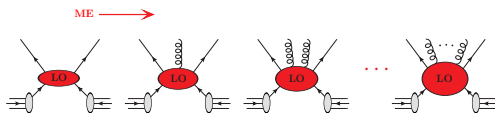
- + exponentiation of large IR logarithms
- poor hard/wide angle emission pattern

vs. **LO $pp \rightarrow n$ matrix elements**

- + dominant terms for hard/wide angle rad.
- breakdown of α_s -expansion in log. region

- MEPS schemes: CKKW-type, MLM-type
- LO+(N)LL accuracy in every jet multiplicity
- scale setting scheme integral to preserve PS-resummation properties

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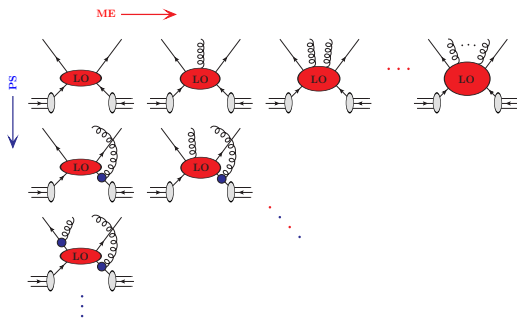
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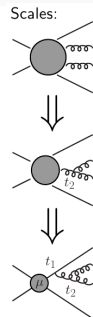
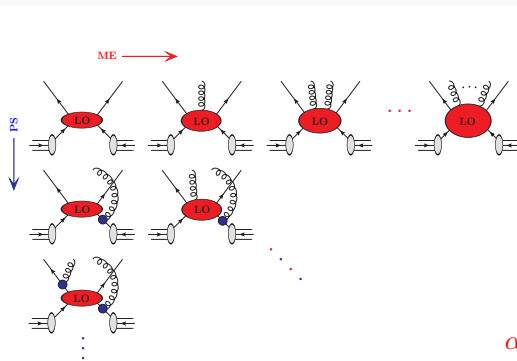
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$$\alpha_s^{k+n}(\mu_{\text{eff}}) = \alpha_s^k(\mu) \alpha_s(t_1) \cdots \alpha_s(t_n)$$

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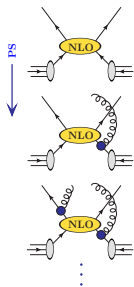
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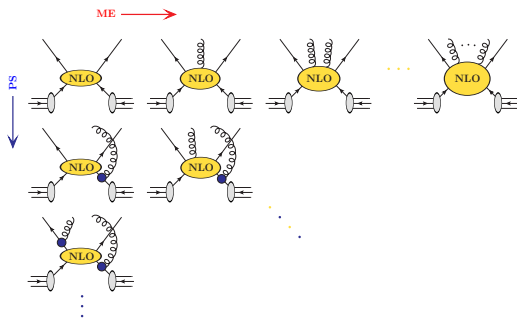
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- promote LOPs to NLOs (POWHEG, Mc@NLO)
 - can assess uncertainties (part I)
- combine NLOs for successive multiplicities into incl. sample (MEPs@NLO), preserve NLO+(N)LL accuracy in every jet multiplicity restore resummation wrt. to inclusive sample (part II)
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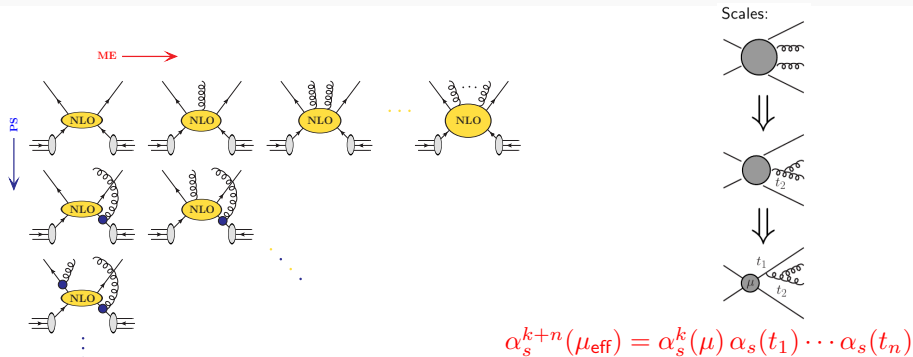
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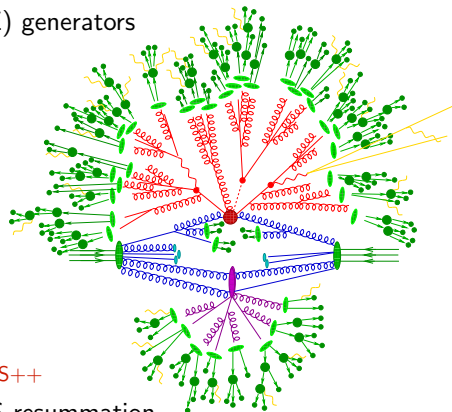
The SHERPA event generator framework

- Two multi-purpose Matrix Element (ME) generators
AMEGIC++ JHEP02(2002)044
COMIX JHEP12(2008)039
CS subtraction EPJC53(2008)501
- A Parton Shower (PS) generator
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PHOTONS++ JHEP12(2008)018

Sherpa's traditional strength is the perturbative part of the event

MEPs (CKKW), Mc@NLO, MENLOPs, MEPS@NLO

→ full analytic control mandatory for consistency/accuracy



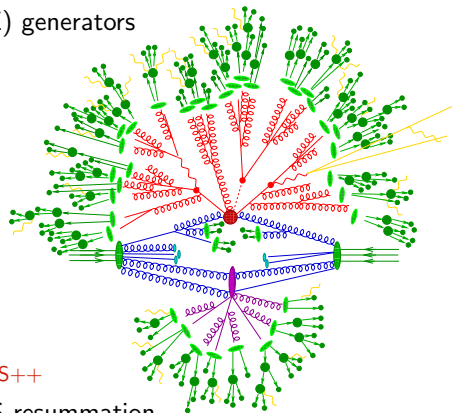
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Mc@NLO

Frixione, Webber JHEP06(2002)029

$$\begin{aligned} \langle O \rangle^{\text{NLO+PS}} = & \int d\Phi_B \bar{B}^{(A)}(\Phi_B) \left[\Delta^{(A)}(t_0, \mu_Q^2) O(\Phi_B) \right. \\ & \left. + \int_{t_0}^{\mu_Q^2} d\Phi_1 \frac{D^{(A)}(\Phi_B, \Phi_1)}{B(\Phi_B)} \Delta^{(A)}(t, \mu_Q^2) O(\Phi_R) \right] \\ & + \int d\Phi_R \left[R(\Phi_R) - \sum_i D_i^{(A)}(\Phi_R) \right] O(\Phi_R) \end{aligned}$$

Höche, Krauss, MS, Siebert arXiv:1111.1220

- NLO weighted Born configuration $\bar{B}^{(A)} = B + \tilde{V} + I + \int d\Phi_1 [D^{(A)} - D^{(S)}]$
 - use $D_i^{(A)}$ as resummation kernels $\Delta^{(A)}(t, t') = \exp \left[\int_{t'}^t d\Phi_1 D^{(A)}/B \right]$
 - resummation phase space limited by $\mu_Q^2 = t_{\text{max}}$
 - starting scale of parton shower evolution
 - should be of the order of the hard resummation scale
 - ⇒ first implementation to allow to study μ_Q uncertainty

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every term is well defined and NLO and NLL accuracy maintained if:

- $D^{(A)} = \sum_i D_i^{(A)}$ is full colour correct in soft limit
- $D^{(A)} = \sum_i D_i^{(A)}$ contains all spin correlations in collinear limit
- $D_i^{(A)}$ and $D_i^{(S)}$ have identical parton maps

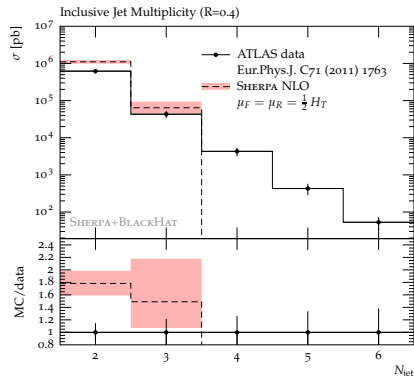
$\Rightarrow$ conventional parton showers need to be improved for that

e.g. choose $D_i^{(A)} = D_i^{(S)}$ up to phase space constraints

Short-comings of fixed-order QCD

- poor description in phase space regions with strongly hierarchical scales
- poor perturbative jet-modeling (at most two constituents)
- no hadronisation, MPI effects
- very pronounced in inclusive & dijet production

• jet- p_T turn negative in forward region unless y -dependent scale is used (e.g. $H_T^{(y)}$)



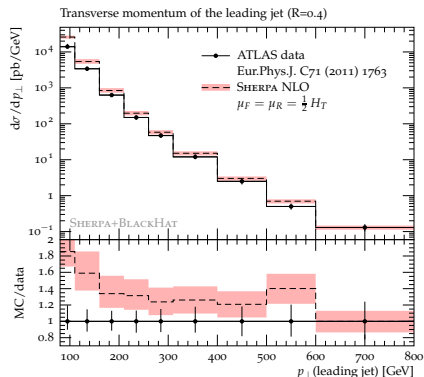
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≥ 2	$620 \pm 1.3^{+110}_{-66} \pm 24$	$958(1)^{+316}_{-221}$	559(5)	$1193(3)^{+130}_{-135}$	0.95(0.02)	$1130(19)^{+124}_{-129}$
≥ 3	$43 \pm 0.13^{+12}_{-6.2} \pm 1.7$	$93.4(0.1)^{+50.4}_{-30.3}$	39.7(0.9)	$54.5(0.5)^{+2.2}_{-19.9}$	0.92(0.04)	$50.2(2.1)^{+2.0}_{-18.3}$
≥ 4	$4.3 \pm 0.04^{+1.4}_{-0.79} \pm 0.24$	$9.98(0.01)^{+7.40}_{-3.95}$	3.97(0.08)	$5.54(0.12)^{+0.08}_{-2.44}$	0.92(0.05)	$5.11(0.29)^{+0.08}_{-2.32}$

Bern et.al. arXiv:1112.3940

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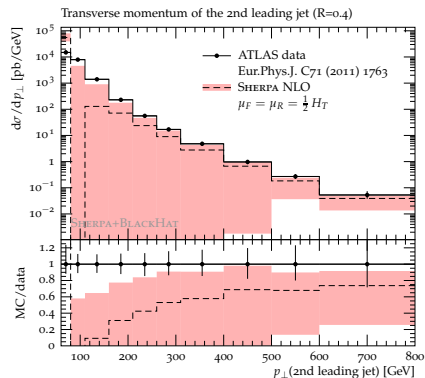


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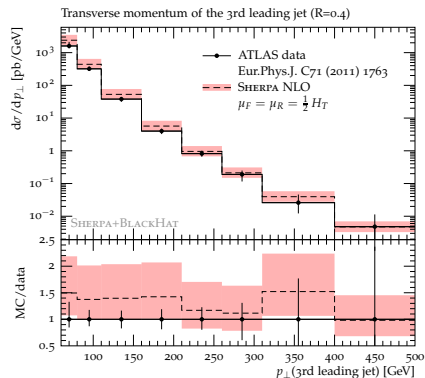


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Case study: Inclusive jet & dijet production

Describe wealth of experimental data with a single sample (LHC@7TeV)

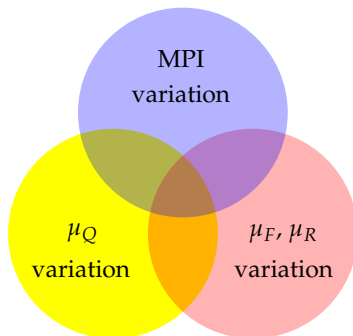
MC@NLO di-jet production:

Höche, MS [arXiv:1208.2815](#)

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- CT10 PDF ($\alpha_s(m_Z) = 0.118$)
- hadron level calculation
fully hadronised including MPI
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[Giele, Glover, Kosower](#)
[Nucl.Phys.B403\(1993\)633-670](#)
[Bern et.al. arXiv:1112.3940](#)
- $p_\perp^{j1} > 20 \text{ GeV}$, $p_\perp^{j2} > 10 \text{ GeV}$

Uncertainty estimates:

- $\mu_{R/F} \in [\frac{1}{2}, 2] \mu_{R/F}^{\text{def}}$
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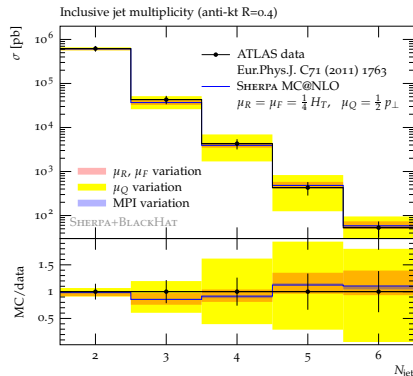
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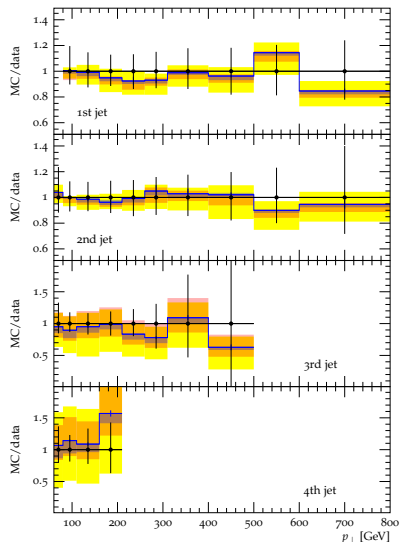
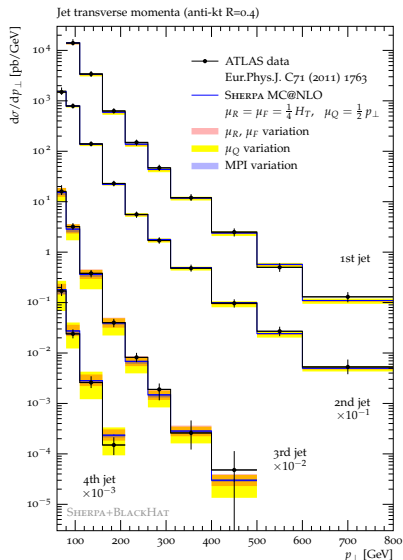
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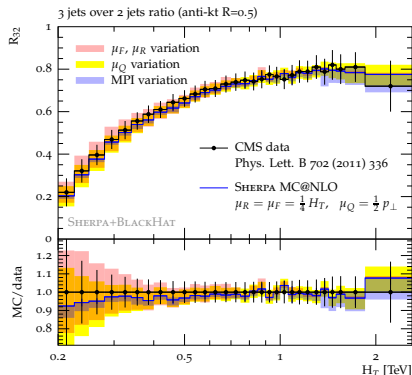
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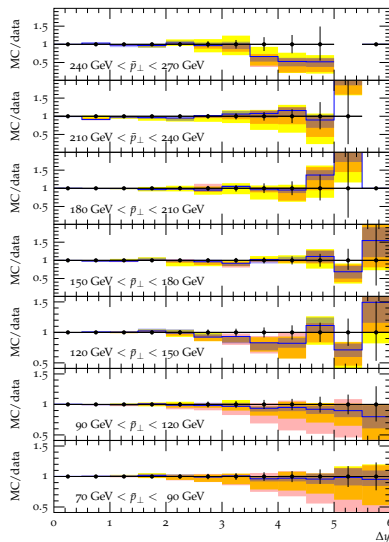
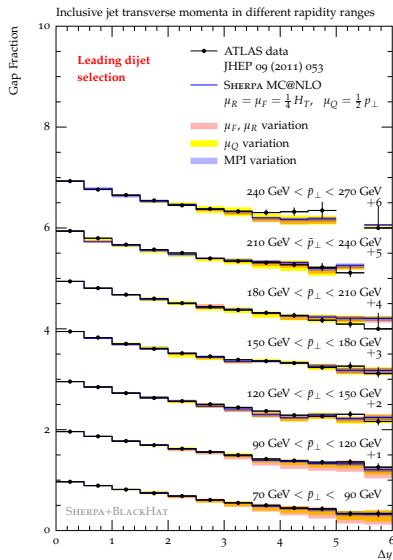


3-jet-over-2-jet ratio

- determined from incl. sample
2-jet rate at NLO+NLL
3-jet rate at LO+LL
- common scale choices
→ varied simultaneously
- at large H_T large MPI uncertainties
→ better MPI physics needed (soft QCD)
- similar description of related ATLAS observables

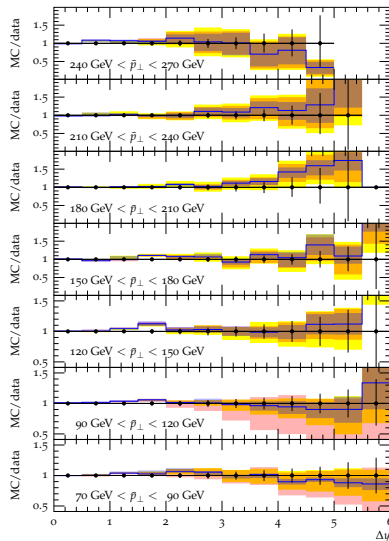
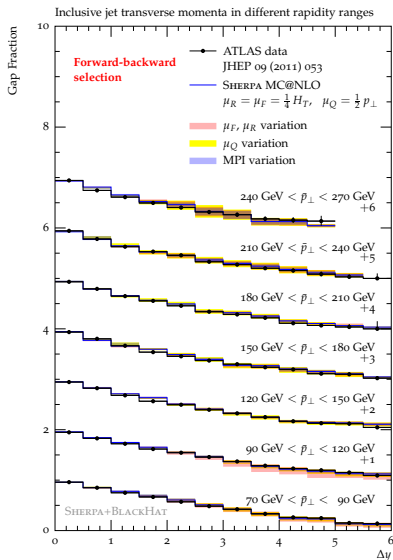
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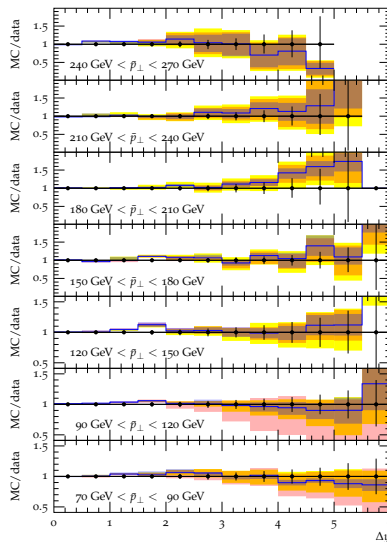


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Höche, MS [arXiv:1208.2815](https://arxiv.org/abs/1208.2815)

- small- Δy region
 $\Rightarrow$ small uncertainty on additional jet production
- large- Δy region
 $\Rightarrow$ all uncertainties sizable
- small- $\bar{p}_\perp$ region
 $\Rightarrow$ dominated by perturbative uncertainties
- large- $\bar{p}_\perp$ region
 $\Rightarrow$ non-perturbative uncertainties as large as perturbative uncertainties

Reduction of theoretical uncertainty necessitates better understanding of soft QCD and non-factorisable contributions



NLO merging

LO merging:

- LO accuracy for $n \leq n_{\text{max-jet}}$ processes
- preserve LL accuracy of the parton shower

Catani, Krauss, Kuhn, Webber JHEP11(2001)063

Lönnblad JHEP05(2002)046

Höche, Krauss, Schumann, Siebert JHEP05(2009)053

Hamilton, Richardson, Tully JHEP11(2009)038

Lönnblad, Prestel JHEP03(2012)019

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Lavesson, Lönnblad JHEP12(2008)070

Höche, Krauss, MS, Siebert arXiv:1207.5030

Gehrmann, Höche, Krauss, MS, Siebert arXiv:1207.5031

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 &\quad + \int d\Phi_{n+1} \left[R_n - D_n^{(A)} \right] \Theta(Q_{\text{cut}} - Q) \Delta_n^{(PS)}(t_{n+1}, \mu_Q^2) O_{n+1} \\
 &\quad + \int d\Phi_{n+1} \bar{B}_{n+1}^{(A)} \Theta(Q - Q_{\text{cut}}) \\
 &\quad \times \left[\Delta_{n+1}^{(A)}(t_0, t_{n+1}) O_{n+1} + \int_{t_0}^{t_{n+1}} d\Phi_1 \frac{D_{n+1}^{(A)}}{B_{n+1}} \Delta_{n+1}^{(A)}(t_{n+2}, t_{n+1}) O_{n+2} \right] \\
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 &\quad \times \left[\Delta_{n+1}^{(A)}(t_0, t_{n+1}) O_{n+1} + \int_{t_0}^{t_{n+1}} d\Phi_1 \frac{D_{n+1}^{(A)}}{B_{n+1}} \Delta_{n+1}^{(A)}(t_{n+2}, t_{n+1}) O_{n+2} \right] \\
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NLO merging

$\langle O \rangle^{\text{MEPS@NLO}}$

Höche, Krauss, MS, Siebert arXiv:1207.5030

Gehrmann, Höche, Krauss, MS, Siebert arXiv:1207.5031

$$= \int d\Phi_n \bar{B}_n^{(A)} \left[\Delta_n^{(A)}(t_0, \mu_Q^2) O_n \right. \\ \left. + \int_{t_0}^{\mu_Q^2} d\Phi_1 \frac{D_n^{(A)}}{B_n} \Delta_n^{(A)}(t_{n+1}, \mu_Q^2) \Theta(Q_{\text{cut}} - Q) O_{n+1} \right]$$

$$+ \int d\Phi_{n+1} \left[R_n - D_n^{(A)} \right] \Theta(Q_{\text{cut}} - Q) \Delta_n^{(\text{PS})}(t_{n+1}, \mu_Q^2) O_{n+1}$$

$$+ \int d\Phi_{n+1} \bar{B}_{n+1}^{(A)} \Theta(Q - Q_{\text{cut}}) \\ \times \left[\Delta_{n+1}^{(A)}(t_0, t_{n+1}) O_{n+1} + \int_{t_0}^{t_{n+1}} d\Phi_1 \frac{D_{n+1}^{(A)}}{B_{n+1}} \Delta_{n+1}^{(A)}(t_{n+2}, t_{n+1}) O_{n+2} \right] \\ + \int d\Phi_{n+2} \left[R_{n+1} - D_{n+1}^{(A)} \right] \Theta(Q - Q_{\text{cut}}) O_{n+2}$$

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 \end{aligned}$$

NLO merging – Generation of MC counterterm

$$\left[1 + \frac{B_{n+1}}{\bar{B}_{n+1}} \int_{t_{n+1}}^{\mu_Q^2} d\Phi_1 K_n \right]$$

- same form as exponent of Sudakov form factor $\Delta_n^{(PS)}(t_{n+1}, \mu_Q^2)$
- truncated parton shower on n -parton configuration underlying $n + 1$ -parton event
 - ❶ no emission $\rightarrow$ retain $n + 1$ -parton event as is
 - ❷ first emission at t' with $Q > Q_{\text{cut}}$, multiply event weight with $B_{n+1}/\bar{B}_{n+1}^{(A)}$, restart evolution at t' , do not apply emission kinematics
 - ❸ treat every subsequent emission as in standard truncated vetoed shower
- generates

$$\left[1 + \frac{B_{n+1}}{\bar{B}_{n+1}} \int_{t_{n+1}}^{\mu_Q^2} d\Phi_1 K_n \right] \Delta_n^{(PS)}(t_{n+1}, \mu_Q^2)$$

$\Rightarrow$ **identify $\mathcal{O}(\alpha_s)$ counterterm with the emitted emission**

NLO merging

Renormalisation scales:

- determined by clustering using PS probabilities and taking the respective nodal values t_i

$$\alpha_s(\mu_R^2)^k = \prod_{i=1}^k \alpha_s(t_i)$$

- change of scales $\mu_R \rightarrow \tilde{\mu}_R$ in MEs necessitates one-loop counter term

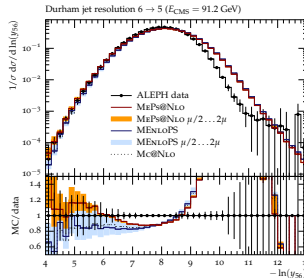
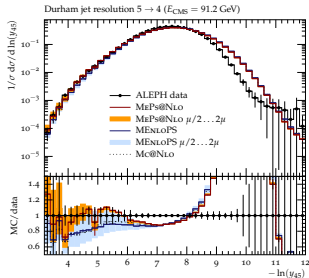
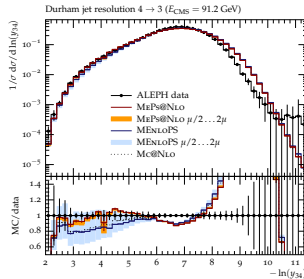
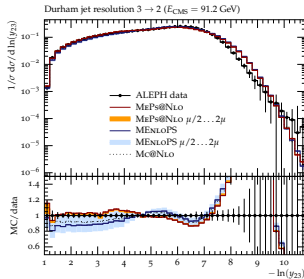
$$\alpha_s(\tilde{\mu}_R^2)^k \left(1 - \frac{\alpha_s(\tilde{\mu}_R^2)}{2\pi} \beta_0 \sum_{i=1}^k \ln \frac{t_i}{\tilde{\mu}_R^2} \right)$$

Factorisation scale:

- μ_F determined from core n -jet process
- change of scales $\mu_F \rightarrow \tilde{\mu}_F$ in MEs necessitates one-loop counter term

$$B_n(\Phi_n) \frac{\alpha_s(\tilde{\mu}_R^2)}{2\pi} \log \frac{\mu_F^2}{\tilde{\mu}_F^2} \left(\sum_{c=q,g}^n \int_{x_a}^1 \frac{dz}{z} P_{ac}(z) f_c(x_a/z, \tilde{\mu}_F^2) + \dots \right)$$

Results: $e^+e^- \rightarrow \text{hadrons}$



$ee \rightarrow \text{hadrons}$
(2,3,4 @ NLO;
5,6 @ LO)

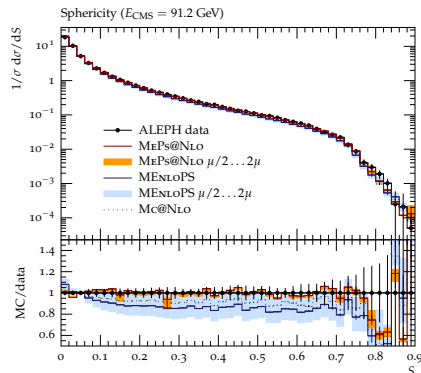
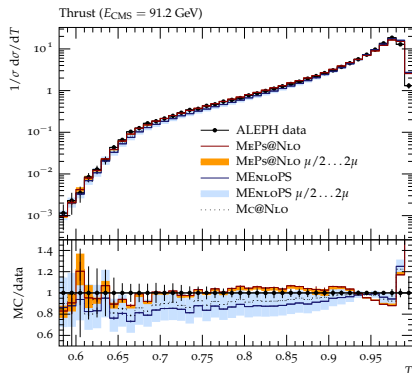
Jet resolutions
(Durham measure)

- MEPS@NLO vs MENLOPS
- at $y \ll 1$ dominated by hadr. effects $\rightarrow$ needs retuning
- much improved ren. scale dependence

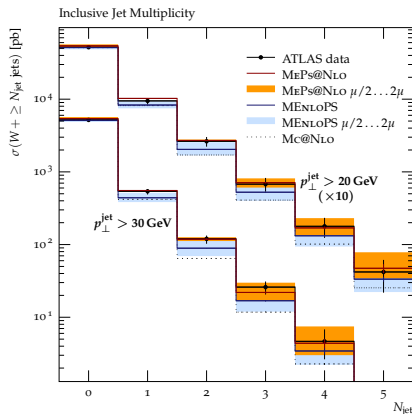
ALEPH data
EPJ35(2004)457-486

Results: $e^+e^- \rightarrow \text{hadrons}$

ALEPH data EPJC35(2004)457-486



Results: $pp \rightarrow W + \text{jets}$

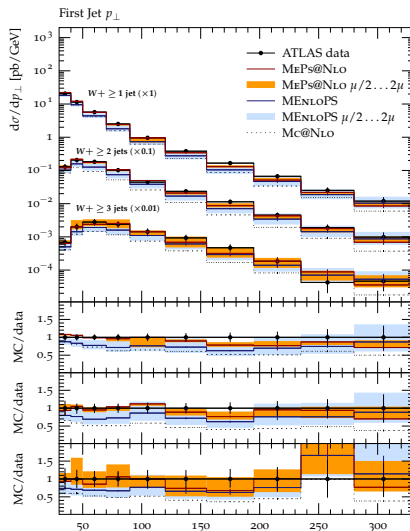


$pp \rightarrow W + \text{jets}$ (0,1,2 @ NLO; 3,4 @ LO)

- $\mu_{R/F} \in [\frac{1}{2}, 2] \mu_{\text{def}}$
scale uncertainty much reduced
- NLO dependence for $pp \rightarrow W + 0,1,2 \text{ jets}$
LO dependence for $pp \rightarrow W + 3,4 \text{ jets}$
- $Q_{\text{cut}} = 30 \text{ GeV}$
- good data description

ATLAS data Phys.Rev.D85(2012)092002

Results: $pp \rightarrow W + \text{jets}$



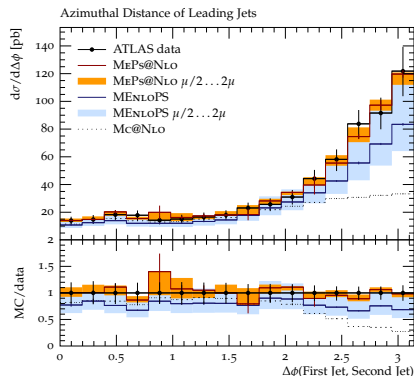
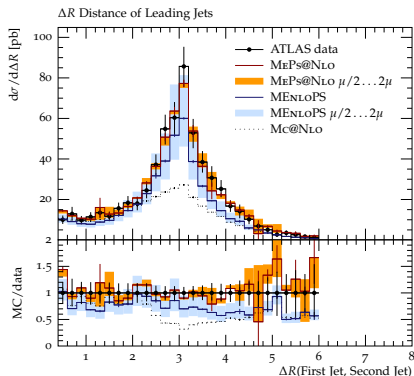
$pp \rightarrow W + \text{jets}$ (0,1,2 @ NLO; 3,4 @ LO)

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Results: $pp \rightarrow W + \text{jets}$

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Conclusions

- SHERPA's MC@NLO formulation allows full evaluation of perturbative uncertainties (μ_F, μ_R, μ_Q)
 - MC@NLO can be easily combined with MEPS $\rightarrow$ MENLOPs
 - MC@NLO is a necessary input for NLO merging $\rightarrow$ MEPS@NLO
 - MEPS@NLO gives full benefits of NLO calculations (scale dependences, normalisations) while also retaining full (N)LL accuracy of parton shower
- $\Rightarrow$ will be included in next major release

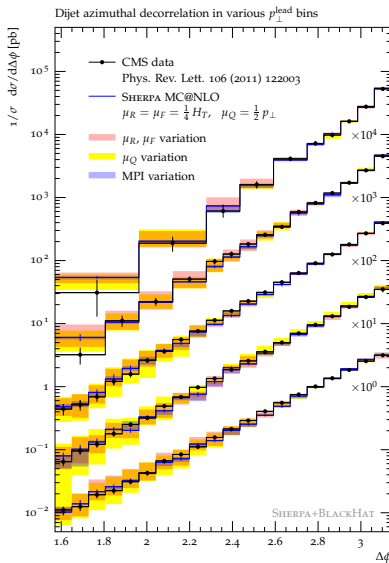
Current release: SHERPA-1.4.1

<http://sherpa.hepforge.org>

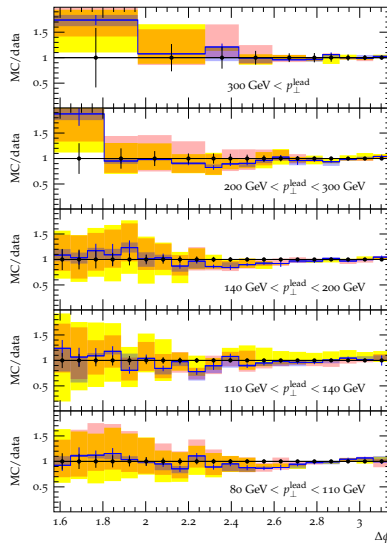
- better description of perturbative QCD is only part of the story to achieve higher precision for (hard) collider observables

Thank you for your attention!

Case study: Inclusive jet & dijet production

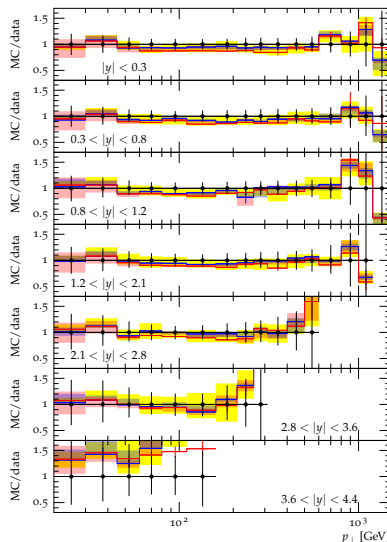
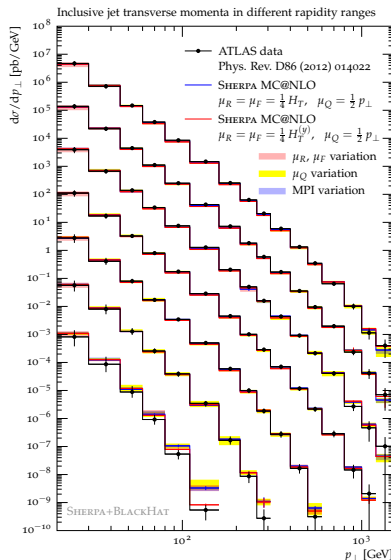


Höche, MS arXiv:1208.2815



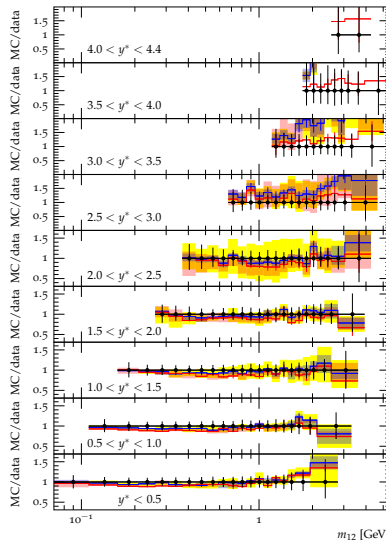
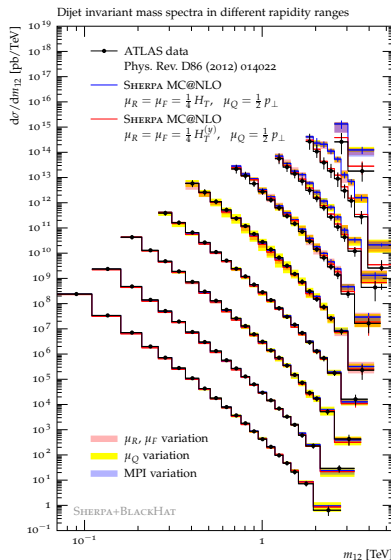
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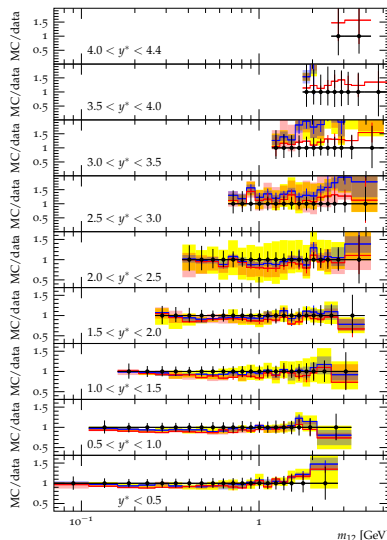
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Try different scale

- $\mu_{R/F} = \frac{1}{4} H_T^{(y)}$ with
 $H_T^{(y)} = \sum_{i \in \text{jets}} p_{\perp, i} e^{0.3|y_{\text{boost}} - y_i|}$
 with $y_{\text{boost}} = 1/n_{\text{jets}} \sum_{i \in \text{jets}} y_i$
- reduces to $\mu_{R/F} = \frac{1}{2} p_{\perp} e^{0.3y^*}$
 with $y^* = \frac{1}{2}|y_1 - y_2|$ for $2 \rightarrow 2$
 and captures real emission dynamics
 Ellis, Kunszt, Soper PRD40(1989)2188
- better description of data at large rapidities, as expected

description of most other observables worsened

need proper description of forward physics (e.g. (B)FKL)



Case study: Inclusive jet & dijet production

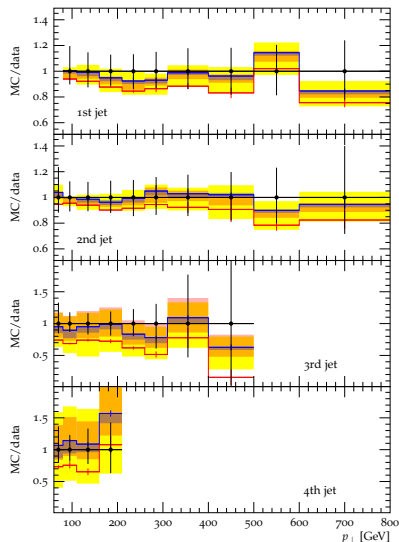
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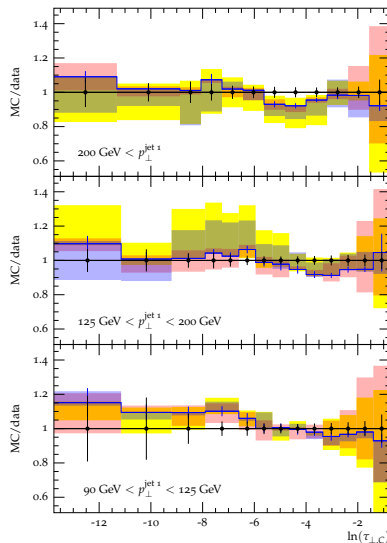
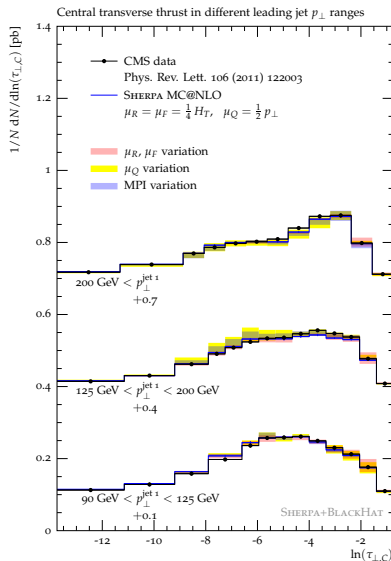
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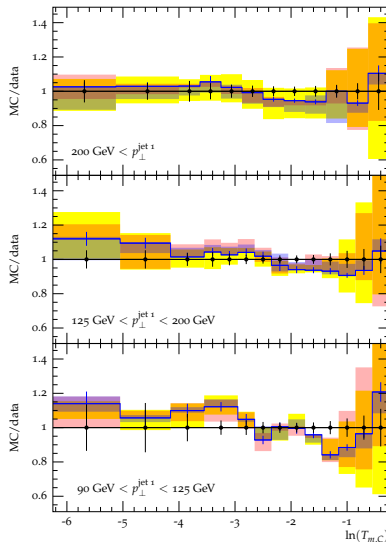
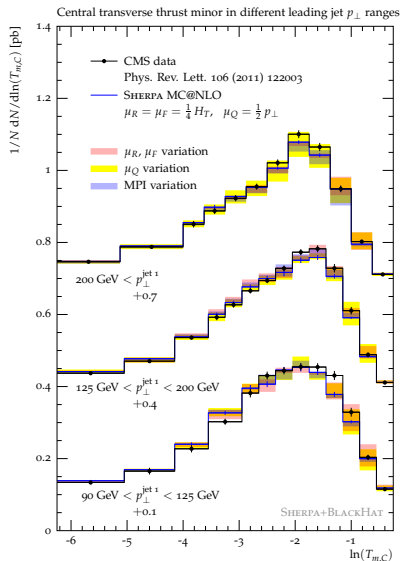
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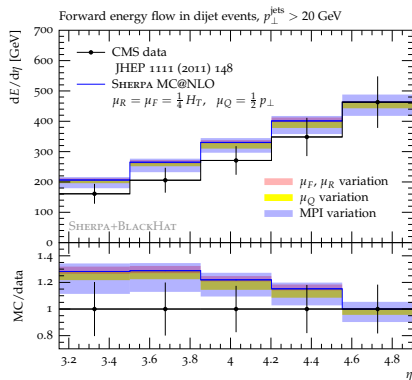


Case study: Inclusive jet & dijet production

Höche, MS arXiv:1208.2815



Case study: Inclusive jet & dijet production



Höche, MS arXiv:1208.2815

Forward energy flow

- energy flow in rapidity interval per event with a central back-to-back di-jet pair
- normalisation reduces $\mu_{R/F}$ and μ_Q dependence
- dominated by MPI modeling uncertainty

General NLO calculations

- NLO calculation with subtraction methods

$$\begin{aligned}
 \langle O \rangle^{\text{NLO}} = & \int d\Phi_B \left[B(\Phi_B) + V(\Phi_B) + I(\Phi_B) \right] O(\Phi_B) \\
 & + \int d\Phi_R \left[- \sum_i D_i^{(S)}(\Phi_R) O(\Phi_{B_i}) \right] \\
 & + \int d\Phi_R \left[R(\Phi_R) \phantom{- \sum_i D_i^{(S)}(\Phi_R)} \right] O(\Phi_R)
 \end{aligned}$$

- introduce second set of subtraction functions $D_i^{(A)}$
- $D_i^{(A)}$ and $D_i^{(S)}$ need to have same momentum maps and IR limit

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 & + \int d\Phi_R \left[R(\Phi_R) - \sum_i D_i^{(A)}(\Phi_R) \right] O(\Phi_R) + \langle O \rangle_{\text{corr}}^{(A)}
 \end{aligned}$$

$$\langle O \rangle_{\text{corr}}^{(A)} = \int d\Phi_R \sum_i D_i^{(A)} [O(\Phi_R) - O(\Phi_{B_i})]$$

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 & + \int d\Phi_R \left[R(\Phi_R) - \sum_i D_i^{(A)}(\Phi_R) \right] O(\Phi_R) + \langle O \rangle_{\text{corr}}^{(A)}
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 & + \int d\Phi_B \sum_i \int d\Phi_1^i \left[D_i^{(A)}(\Phi_B, \Phi_1^i) - D_i^{(S)}(\Phi_B, \Phi_1^i) \right] O(\Phi_B) \\
 & + \int d\Phi_R \left[R(\Phi_R) - \sum_i D_i^{(A)}(\Phi_R) \right] O(\Phi_R) + \langle O \rangle_{\text{corr}}^{(A)}
 \end{aligned}$$

- introduce second set of subtraction functions $D_i^{(A)}$
- $D_i^{(A)}$ and $D_i^{(S)}$ need to have same momentum maps and IR limit

General NLO calculations

- NLO calculation with subtraction methods

$$\langle O \rangle^{\text{NLO}} = \int d\Phi_B \bar{B}^{(A)}(\Phi_B) O(\Phi_B)$$

$$+ \int d\Phi_R \left[R(\Phi_R) - \sum_i D_i^{(A)}(\Phi_R) \right] O(\Phi_R) + \langle O \rangle_{\text{corr}}^{(A)}$$

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Parton showers and resummation

- parton shower/resummation kernel $\mathcal{K}_i(\Phi_1)$, $\Phi_1 = \{t, z, \phi\}$
 $\rightarrow \mathcal{K}_i$ incorporates divergent propagator and DGLAP splitting kernels

$$\langle O \rangle^{\text{PS}} = \int d\Phi_B B(\Phi_B) O(\Phi_B)$$

$$= \int d\Phi_B B(\Phi_B) O(\Phi_B) +$$

- Sudakov form factor $\Delta^{(\mathcal{K})}(t, t') = \exp \left[- \int_t^{t'} d\Phi_1 \mathcal{K}(\Phi_1) \right]$ contains resummation features
- $\langle O \rangle_{\text{corr}}^{(\mathcal{K})}$ generated by one parton shower step

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General NLO+PS matching

$$\begin{aligned}
 \langle O \rangle^{\text{NLO+PS}} = & \int d\Phi_B \bar{B}^{(A)}(\Phi_B) \left[\Delta^{(A)}(t_0, \mu_Q^2) O(\Phi_B) \right. \\
 & \left. + \int_{t_0}^{\mu_Q^2} d\Phi_1 \frac{D^{(A)}(\Phi_B, \Phi_1)}{B(\Phi_B)} \Delta^{(A)}(t, \mu_Q^2) O(\Phi_R) \right] \\
 & + \int d\Phi_R \left[R(\Phi_R) - \sum_i D_i^{(A)}(\Phi_R) \right] O(\Phi_R) + \langle O \rangle_{\text{corr}}^{(A)}
 \end{aligned}$$

- use $D_i^{(A)}$ as resummation kernels
- resummation phase space limited by $\mu_Q^2 = t_{\text{max}}$
 - starting scale of parton shower evolution
 - should be of the order of the scale of the hard interaction
- POWHEG and Mc@NLO now differ in choice of $D_i^{(A)}$ and μ_Q^2

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 \Delta^{(A)}(t, t') &= \exp \left[- \int_t^{t'} d\Phi_1 D^{(A)}/B \right] \\
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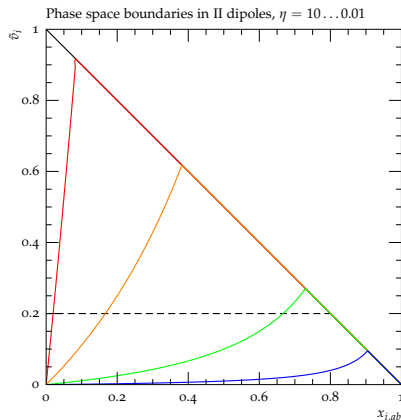
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Choice of exponentiated phase space



Real emission phase space

$$\tilde{v}_i = \frac{p_a \cdot k}{p_a \cdot p_b} \quad x_{i,ab} = 1 - \frac{(p_a + p_b) \cdot k}{p_a \cdot p_b}$$

- restriction in α permits very hard ($x_{i,ab} \rightarrow 0$), not too collinear radiation at larger $\tilde{v}_i$
- restriction in $k_{\perp}^2 = Q^2 \tilde{v}_i \frac{1-x_{i,ab}}{x_{i,ab}}$ permits only very soft ($x_{i,ab} \rightarrow 1$) radiation at larger $\tilde{v}_i$