

A new approach to color-coherent parton evolution: ALARIC

Frank Krauss

Institute for Particle Physics Phenomenology Durham University

12.8.2022 - Gearing up for High-Precision LHC Physics - MIAPbP



F. Krauss

A new approach to color-coherent parton evolution: ALARIC

Color Coherence	Kinematics	Checks	Data Comparison	Subtraction Terms	Outlook
000000	00000	0000000	00000	000	0000

- Color Coherence, encore
- New Kinematics Mapping
- Checking the Maps
- Comparison with LEP data
- NLO Subtraction Terms for ALARIC
- Outlook

F. Krauss

Color Coherence	Kinematics	Checks	Data Comparison	Subtraction Terms	Outlook
●00000	00000	0000000	00000	000	0000

color coherence

(the story never gets old)

▲ロ▶▲圖▶▲≣▶▲≣▶ ■ のQの

A new approach to color-coherent parton evolution: ALARIC



factorization of amplitudes

• collinear:

$$\begin{array}{c} {}_{n}\langle 1,\ldots,n|1,\ldots,n\rangle_{n} \xrightarrow{i||j|} \\ \\ \sum_{\lambda,\lambda'=\pm} {}_{n-1} \Big\langle 1,\ldots,\lambda(ij),\ldots,\lambda(ij),\ldots,n\Big| \frac{8\pi\alpha_{s}}{2p_{i}p_{j}} P_{(ij)}^{\lambda\lambda'}(z)\Big| 1,\ldots,\lambda(ij),\ldots,\lambda$$

with spin-dependent splitting function $P_{(ij)i}^{\lambda\lambda'}(z)$

soft:

$${}_{n}\langle 1,\ldots,n|1,\ldots,n\rangle_{n} \xrightarrow{p_{j}\to 0} \\ -8\pi\alpha_{s}\sum_{i,k\neq j} {}_{n-1}\langle 1,\ldots,j,\ldots,n|\mathbf{T}_{i}\mathbf{T}_{k}\mathbf{w}_{ik,j}|1,\ldots,j,\ldots,n\rangle_{n-1}$$

with colour-insertion operators $\mathbf{T}_{i,k}$ & soft eikonal

$$w_{ik,j} = \frac{p_i p_k}{(p_i p_j)(p_j p_k)} = \frac{W_{ik,j}}{E_j^2} = \frac{1}{E_j^2} \frac{1 - \cos \theta_{ik}}{(1 - \cos \theta_{ij})(1 - \cos \theta_{jk})}$$

(obviously, frame-dependent when expressed by energies & angles)

<ロト <問ト < 国ト < 国ト

F. Krauss



soft eikonals, decomposed

• textbook decomposition (pink bible): $W_{ik,j} = \tilde{W}^i_{ik,j} + \tilde{W}^k_{ki,j}$ with "radiator functions" $\tilde{W}^i_{ik,j}$: (identify "splitters" to combine with collinear terms)

$$\tilde{W}^i_{ik,j} = \frac{1}{2} \left(\frac{1 - \cos \theta_{ik}}{(1 - \cos \theta_{ij})(1 - \cos \theta_{jk})} + \frac{1}{1 - \cos \theta_{ij}} - \frac{1}{1 - \cos \theta_{jk}} \right)$$

• express θ_{jk} for use in *i*-splitter term:

$$\cos\theta_{jk} = \cos\theta_{ij}\cos\theta_{ik} + \sin\theta_{ij}\sin\theta_{ik}\cos\phi_{jk}^{i}\ldots$$

• ... and average over azimuth ϕ_{ik}^{i} :

$$\frac{1}{2\pi} \int_0^{2\pi} \mathrm{d}\phi^i_{jk} \tilde{W}^i_{ik,j} = \frac{\tilde{I}^i_{ik,j}}{1 - \cos\theta^i_j} , \qquad \text{where} \qquad \tilde{I}^i_{ik,j} = \left\{ \begin{array}{cc} 1 & \quad \text{if} \quad \theta^i_j < \theta^i_k \\ 0 & \quad \text{else} \end{array} \right.$$

(this is the well-known source of angular ordering)

IPPP

F. Krauss



• azimuthally integrated radiator function (normalised to 2π):



need to include azimuthal modulation, if observables sensitive to it

• but: naive inclusion bound to fail (MC efficiency \rightarrow 0)

 Color Coherence
 Kinematics
 Checks
 Data Comparison
 Subtraction Terms
 Outlook

 000000
 000000
 000000
 00000
 00000
 0000
 0000
 0000
 0000
 0000
 0000
 0000
 0000
 0000
 0000
 0000
 0000
 0000
 0000
 0000
 0000
 0000
 0000
 0000
 0000
 0000
 0000
 0000
 0000
 0000
 0000
 0000
 0000
 0000
 0000
 0000
 0000
 0000
 0000
 0000
 0000
 0000
 0000
 0000
 0000
 0000
 0000
 0000
 0000
 0000
 0000
 0000
 0000
 0000
 0000
 0000
 0000
 0000
 0000
 0000
 0000
 0000
 0000
 0000
 0000
 0000
 0000
 0000
 0000
 0000
 0000
 0000
 0000
 0000
 0000
 0000
 0000
 0000
 0000
 0000
 0000
 0000
 0000

soft eikonals, decomposed again

• define positive definite radiators:

(borrowing from Catani & Seymour, Nucl. Phys. B485 (1997) 291)

$$ar{W}^i_{ik,j} = rac{1-\cos heta_{ik}}{(1-\cos heta_{ij})(2-\cos heta_{ij}-\cos heta_{jk})}$$

• same result after azimuthal averaging, but $\tilde{I}^i_{ik,j} \longrightarrow \bar{I}^i_{ik,j}$ with

$$ar{l}^{i}_{ik,j} = rac{1}{\sqrt{(ar{A}^{i}_{ij,k})^2 - (ar{B}^{i}_{ij,k})^2}}$$

where

$$\bar{A}_{ij,k}^{i} = \frac{2 - \cos \theta_{j}^{i} (1 + \cos \theta_{k}^{i})}{1 - \cos \theta_{k}^{i}} , \bar{B}_{ij,k}^{i} = \frac{\sqrt{(1 - \cos^{2} \theta_{j}^{i})(1 - \cos^{2} \theta_{k}^{i})}}{1 - \cos \theta_{k}^{i}}$$



F. Krauss

 Color Coherence
 Kinematics
 Checks
 Data Comparison
 Subtraction Terms
 Outlook

 00000
 00000
 00000
 00000
 0000
 0000
 0000
 0000
 0000
 0000
 0000
 0000
 0000
 0000
 0000
 0000
 0000
 0000
 0000
 0000
 0000
 0000
 0000
 0000
 0000
 0000
 0000
 0000
 0000
 0000
 0000
 0000
 0000
 0000
 0000
 0000
 0000
 0000
 0000
 0000
 0000
 0000
 0000
 0000
 0000
 0000
 0000
 0000
 0000
 0000
 0000
 0000
 0000
 0000
 0000
 0000
 0000
 0000
 0000
 0000
 0000
 0000
 0000
 0000
 0000
 0000
 0000
 0000
 0000
 0000
 0000
 0000
 0000
 0000
 0000
 0000
 0000
 0000
 0000
 0000
 0000
 0000
 0000

matching with collinear terms

• collinear limit of eikonal factors:

$$w_{ik,j} \xrightarrow{i||j} w_{ik,j}^{(\text{coll})}(z) = \frac{1}{2p_i p_j} \frac{2z}{1-z} , \quad \text{where} \quad z \xrightarrow{i||j} \frac{E_i}{E_i + E_j}$$

• compare with leading (1 - z)-terms of splitting functions

 $(1/z \text{ term in } g \rightarrow gg \text{ captured with other "dipole"})$

$$P_{qq}(z) = C_F \left(\frac{2z}{1-z} + (1-z)\right) ,$$

$$P_{gg}(z) = C_A \left(\frac{2z}{1-z} + z(1-z)\right) ,$$

$$P_{gq}(z) = T_R \left(1 - 2z(1-z)\right) .$$

 \longrightarrow defines "collinear remnant"

F. Krauss

Color Coherence	Kinematics	Checks	Data Comparison	Subtraction Terms	Outlook
000000	●0000	0000000	00000	000	0000

kinematics mapping

(don't change history)



F. Krauss



birds-eye view

- kinematics as main obstacle to NLL accuracy in dipole showers: recoil of subsequent soft emissions may change "NLL history"
- construct new mapping $\{\tilde{p}_l\} \longrightarrow \{p_l\}$

(inspired by Catani & Seymour's treatment of identified hadrons)

• logic: disentangle colour spectator \tilde{p}_k and recoil partner \tilde{K}

(i.e. define a global recoil scheme, use spectator for eikonal/azimuth)



IPPP

F. Krauss



constructing the kinematics

- splitter $\tilde{p}_i o p_i = z \tilde{p}_i$, spectator $\tilde{p}_k o p_k$ (splitter and spectator keep direction)
- introduce orientation *n* to define splitting variable $z = \frac{p_i n}{(p_i + p_i)n}$
- with recoil momentum $ilde{K}$: $n = ilde{K} + (1-z) ilde{p}_i$
- construct emitted momentum and recoil partner after splitting: $(\text{demand } \tilde{K}^2 = K^2 \& p_j^2 = m_j^2 = 0)$

$$egin{array}{rcl} p_{j} & = & v \, ar{n} & + & rac{1}{v} rac{k_{\perp}^{2}}{2 ar{p}_{i} ar{K}} \, ar{p}_{i} & - & k_{\perp} \ K & = & (1-v) \, ar{n} & + & rac{1}{1-v} rac{k_{\perp}^{2}+k_{\perp}^{2}}{2 ar{p}_{i} ar{K}} \, ar{p}_{i} & + & k_{\perp} \end{array}$$

with $v = \frac{p_i p_j}{p_i K}$ and additional direction $\bar{n} = n - \frac{n^2}{2\tilde{p}_i n} \tilde{p}_i$

• transverse momentum vanishes for $p_i \parallel p_j$

$$k_{\perp}^2 = v(1-v) \, 2p_j K - v^2 K^2 = v(1-v)(1-z) \, 2\tilde{p}_i \tilde{K} - v^2 \tilde{K}^2$$

F. Krauss



constructing the kinematics

• boost every momentum in recoil partner to new system $\tilde{K} \to K$:

$$p_l^{\mu} \to \Lambda_{\nu}^{\mu}(K, \tilde{K}) p_l^{\nu}$$
 with $\Lambda_{\nu}^{\mu}(K, \tilde{K}) = g_{\nu}^{\mu} - \frac{2(K + \tilde{K})^{\mu}(K + \tilde{K})_{\nu}}{(K + \tilde{K})^2} + \frac{2\tilde{K}^{\mu}K_{\nu}}{K^2}$

- construct emission phase space:
 - obtain by factorising 3-body phase space, result:

$$\mathrm{d}\Phi_{+1}^{(\mathrm{FI})}(-\tilde{K};\tilde{p}_1,\ldots,\tilde{p}_{j-1},\tilde{p}_{j+1},\ldots,\tilde{p}_n;p_j) = \frac{-2\tilde{p}_j\tilde{K}}{16\pi^2}\,\mathrm{d}v\,\mathrm{d}z\,z\,\frac{\mathrm{d}\phi}{2\pi}$$

- \bullet note: azimuthal angle expressed through scalar products \longrightarrow PS Lorentz-invariant
- IS kinematics from FS through crossing relations

・ロト ・同ト ・ヨト ・ヨ



parton evolution

• define evolution parameter:

$$t=2E_{j}^{2}\left(1-\cos heta_{j}{}^{i}
ight)=v\left(1-z
ight)2 ilde{p}_{i} ilde{\mathcal{K}}$$

and therefore soft evolution given by

$$\mathrm{d}P_{ik,j}^{i\,(\mathrm{soft})}(t,z,\phi) = \mathrm{d}\Phi_{+1}(\{\tilde{p}\},p_j)\,8\pi\alpha_s\,C_i\,\bar{w}_{ik,j}^j = \mathrm{d}t\,\mathrm{d}z\,\frac{\mathrm{d}\phi}{2\pi}\,\frac{\alpha_s}{2\pi\,t}\,2C_i\,\bar{W}_{ik,j}$$

• same for collinear evolution, but could evolve in virtuality or similar

Color Coherence	Kinematics	Checks	Data Comparison	Subtraction Terms	Outlook
000000	00000	●000000	00000	000	0000

checking the maps

(do they change history?)

▲ロ▶▲圖▶▲≣▶▲≣▶ = のQの

A new approach to color-coherent parton evolution: ALARIC



analytic considerations

- analyse Lorentz boost (*i.e.* impact on previous emissions)
- decompose new recoil momentum as

$$\mathcal{K}^\mu = ilde{\mathcal{K}}^\mu - \mathcal{X}^\mu = ilde{\mathcal{K}}^\mu - [p_j - (1-z) ilde{p}_i]^\mu$$

 $(X^{\mu}$ will go to zero for soft/collinear emissions)

• write Lorentz transformation as

$$\Lambda^{\mu}_{\ \nu}(K,\tilde{K})=g^{\mu}_{
u}+\tilde{K}^{\mu}A_{
u}+X^{\mu}B_{
u}$$

with

$$A^{\nu} = 2 \left[\frac{(\tilde{K} - X)^{\nu}}{(\tilde{K} - X)^2} - \frac{(\tilde{K} - X/2)^{\nu}}{(\tilde{K} - X/2)^2} \right], \quad \text{and} \quad B^{\nu} = \frac{(\tilde{K} - X/2)^{\nu}}{(\tilde{K} - X/2)^2}$$

F. Krauss

Color Coherence	Kinematics	Checks	Data Comparison	Subtraction Terms	Outlook
000000	00000	00●0000	00000	000	0000

 follow CAESAR formalism and analyse behaviour under scaling (A. Banfi, G. P. Salam, & G. Zanderighi, JHEP 03 (2005) 073)

$$k_{t,l} \rightarrow k_{t,l}' = k_{t,l} \rho^{(1-\xi_l)/a + \xi_l/(a+b)}, \quad \eta_l \rightarrow \eta_l' = \eta - \xi_l \frac{\ln \rho}{a+b}, \text{ where } \xi = \frac{\eta}{\eta_{\max}}$$

- impact of recoil in Lund plane under global rescaling must vanish
- boost in $\rho \rightarrow 0$ limit:

$$A^{
u} \stackrel{
ho o 0}{\longrightarrow} 2 \, rac{ ilde{K} X}{ ilde{K}^2} \, rac{ ilde{K}^{
u}}{ ilde{K}^2} - rac{X^{
u}}{ ilde{K}^2} ext{ and } B^{
u} \stackrel{
ho o 0}{\longrightarrow} rac{ ilde{K}^{
u}}{ ilde{K}^2}$$

and

$$\Delta p_l^{\mu} = 2 \, \frac{\tilde{K}X}{\tilde{K}^2} \, \frac{\tilde{p}_l \tilde{K}}{\tilde{K}^2} \, \tilde{K}^{\mu} - \frac{\tilde{p}_l X}{\tilde{K}^2} \, \tilde{K}^{\mu} + \frac{\tilde{p}_l \tilde{K}}{\tilde{K}^2} \, X^{\mu}$$

<ロ> <部> <部> <き> <き> <き> のへの

F. Krauss

Color Coherence 000000	Kinematics 00000	Checks 000●000	Data Comparison 00000	Subtraction Terms 000	Outlook 0000

• colour-singlet decay or production $(e^-e^+ \rightarrow \text{hadrons}, q\bar{q} \rightarrow V)$ $\rightarrow \tilde{K} = \text{c.m.-momentum}, \text{ only energy component (not rescaled)}$ • assume emitter \tilde{p}_i is soft (and for ALARIC a = 1, b = 0)

$$ilde{p}_l ilde{K} \sim
ho^{1-\xi_l}$$
 and $ilde{p}_l X \sim
ho^{2-\xi_l - \max(\xi_l, \xi_j)}$

and therefore, in components

• therefore: impact of subsequent emissions vanishes with rho



set-up of numerical tests

- compare results in $\alpha_S \rightarrow 0$ limit with NLL result
- set-up for checks
 - fixed α_s
 - leading colour $C_A = 2C_F = 3$
 - all partons massless
- example: azimuthal angle between two leading Lund-plane declusterings

(should be $\Delta \Psi_{12} = 0$)



- イロト イ理ト イヨト イヨト ヨー シマの

F. Krauss

Color Coherence	Kinematics	Checks	Data Comparison	Subtraction Terms	Outlook
000000	00000	00000●0	00000	000	0000

numerics: event shapes

*Y*23







▲□▶▲圖▶▲≣▶▲≣▶ ≣ めんの

A new approach to color-coherent parton evolution: ALARIC

Color Coherence	Kinematics	Checks	Data Comparison	Subtraction Terms	Outlook
000000	00000	000000●	00000	000	0000

more event shapes



A new approach to color-coherent parton evolution: ALARIC

Color Coherence	Kinematics	Checks	Data Comparison	Subtraction Terms	Outlook
000000	00000	0000000	●0000	000	0000

how about data?

(always nice to see practical impact, innit?)

▲ロ▶▲圖▶▲≣▶▲≣▶ = のQの

A new approach to color-coherent parton evolution: ALARIC



set-up of data comparison

- compare hadron-level results with LEP data
- perturbative set-up
 - no higher orders (no matching or merging)
 - running two-loop α_s with $\alpha_s(M_z) = 0.118$
 - use CMW scheme for soft eikonal parts
 - all partons massless, masses emulated through simplistic thresholds
 - leading colour $C_A = N_c = 3$, $C_F = \frac{N_c^2 1}{2N_c}$
- non-perturbative set-up
 - need to use PYTHIA hadronization

(ALARIC not yet ready for heavy hadron decays)

• default parameters of PYTHIA 6.4, but

PARJ(21) = 0.3, PARJ(41) = 0.4, PARJ(42) = 0.36(ALARIC)/0.45(DIRE)

differential jet rates



<ロト <問ト < 国ト < 国ト

F. Krauss

some event shapes: 1 - T, B_T , C, A



F. Krauss

 Color Coherence
 Kinematics
 Checks
 Data Comparison
 Subtraction Terms
 Outlook

 00000
 000000
 00000
 000
 0000
 0000
 0000
 0000
 0000
 0000
 0000
 0000
 0000
 0000
 0000
 0000
 0000
 0000
 0000
 0000
 0000
 0000
 0000
 0000
 0000
 0000
 0000
 0000
 0000
 0000
 0000
 0000
 0000
 0000
 0000
 0000
 0000
 0000
 0000
 0000
 0000
 0000
 0000
 0000
 0000
 0000
 0000
 0000
 0000
 0000
 0000
 0000
 0000
 0000
 0000
 0000
 0000
 0000
 0000
 0000
 0000
 0000
 0000
 0000
 0000
 0000
 0000
 0000
 0000
 0000
 0000
 0000
 0000
 0000
 0000
 0000
 0000
 0000
 0000
 0000
 0000
 0000
 0000
 <

just for fun: *b*-quark fragmentation function

• emulation of quark masses with naive thresholds in g o q ar q: stop evolution if $t \leq (2m_q)^2$

 \implies must include quark masses also in q
ightarrow qg

• quick plausibility check: *b*-quark fragmentation function



Color Coherence	Kinematics	Checks	Data Comparison	Subtraction Terms	Outlook
000000	00000	0000000	00000	●00	0000

shower = NLO subtraction

(bonus track: preparing for MC@NLO)

▲ロト▲御と▲臣と▲臣と 臣 めんの

A new approach to color-coherent parton evolution: ALARIC



anatomy of NLO subtraction

(use FS subtraction as example, IS by crossing)

combined soft & collinear subtraction:

$$\int_{m+1} \mathrm{d}\sigma^{\mathsf{S}} + \int_{m} \mathrm{d}\sigma^{\mathsf{C}} = \frac{1}{2} \sum_{i=g,q,\bar{q}} \sum_{\tilde{\imath}=1}^{m} \int_{0}^{1} \frac{\mathrm{d}z}{z^{2-2\epsilon}} \int_{m} \mathrm{d}\sigma^{\mathsf{B}}(\mathsf{p}_{1},\ldots,\frac{\mathsf{p}_{i}}{z},\ldots,\mathsf{p}_{m}) \otimes \hat{\mathsf{l}}_{\tilde{\imath}i}^{(\mathrm{FS})} ,$$

• decompose
$$\hat{\mathbf{I}}_{\tilde{\imath}i}^{(\mathrm{FS})}$$
:

$$\hat{\mathbf{I}}_{\tilde{\imath}i}^{(\mathrm{FS})} = \underbrace{\delta(1-z)\mathbf{I}_{\tilde{\imath}i}}_{i} + \underbrace{\mathbf{P}_{\tilde{\imath}i}}_{i} + \underbrace{\mathbf{H}_{\tilde{\imath}i}}_{i}$$

standard dipole collinear part "identified partons"

F. Krauss

Color Coherence	Kinematics	Checks	Data Comparison	Subtraction Terms	Outlook
000000	00000	0000000	00000	00●	0000

• **H**_{*ii*} contains only tricky (new) term:

$$\mathbf{H}_{\tilde{\imath}i}(p_{1},\ldots,p_{i},\ldots,p_{m};n;z) = -\frac{\alpha_{s}}{2\pi} \sum_{k=1,k\neq\tilde{\imath}}^{m} \frac{\mathbf{T}_{\tilde{\imath}}\mathbf{T}_{k}}{\mathbf{T}_{\tilde{\imath}}^{2}} \left[\underbrace{\tilde{\mathcal{K}}^{\tilde{\imath}i}(z) + \bar{\mathcal{K}}^{\tilde{\imath}i}(z) + 2P_{\tilde{\imath}i}(z)\ln z}_{\text{analytically integrable}} + \underbrace{\mathcal{L}^{\tilde{\imath}i}(z;p_{i},p_{k},n)}_{\text{recoil-dependent: 1-dim numerics}} \right]$$

• result under integration (n = n(z)!)

$$\int_{0}^{1} \mathrm{d}z \, \mathbf{H}_{\tilde{\imath}i}(p_{1}, \dots, p_{i}, \dots, p_{m}; n; z) \\ = -\frac{\alpha_{s}}{2\pi} \sum_{k=1, k\neq \tilde{\imath}}^{m} \frac{\mathbf{T}_{\tilde{\imath}} \mathbf{T}_{k}}{\mathbf{T}_{\tilde{\imath}}^{2}} \left\{ \mathcal{K}^{\tilde{\imath}i} + \delta_{\tilde{\imath}i} \operatorname{Li}_{2}(\beta^{2}) - \int_{0}^{1} \mathrm{d}z \, P_{\operatorname{reg}}^{qq}(z) \ln \frac{n^{2} \tilde{p}_{i} p_{k}}{2z(\tilde{p}_{i}n)^{2}} \right\}$$

▲口▶▲御▶▲臣▶▲臣▶ 臣 のQ@

F. Krauss

Color Coherence	Kinematics	Checks	Data Comparison	Subtraction Terms	Outlook
000000	00000	0000000	00000	000	●000

summary & next steps

(the now and the future)

▲口▶▲圖▶▲圖▶▲圖▶ ▲国▶ ■ のQの

A new approach to color-coherent parton evolution: ALARIC

Color Coherence	Kinematics	Checks	Data Comparison	Subtraction Terms	Outlook
000000	00000	0000000	00000	000	0000

summary

• re-visited color coherence, re-decomposed soft eikonal

(correct in differential azimuthal angle)

designed new kinematics, IS and FS radiation on same footing

(disentangle color- and recoil-partners)

- analytics: kinematics doesn't spoil "NLL history" numerics: kinematics reproduces NLL for $e^-e^+ \rightarrow$ hadrons
- for more details, especially IS, cf. arXiv on Monday

IPPP

next steps

- near future:
 - include quark masses (→ wanna do pheno!)
 - checks and simple pheno for pp collisions $(q ar q o V \ \& \ gg o H)$
 - LO multijet merging
 - NLO matching
- further future:
 - add double-soft terms
 - add triple–collinear terms
- far future:
 - spin correlations

(need to implement parton shower history & rejections)

(we know the terms ...)

(F. Dulat, S. Hoeche & S. Prestel, Phys. Rev. D98 (2018) 074013)

(S. Hoeche, FK, & S. Prestel, JHEP 10 (2017) 093)

(have to implements CK algorithm for showers)

olor Coherence

Kinematic

Checks 000000 Data Compariso 00000 Subtraction Terms

Outlook 0000



▲□▶▲圖▶▲≣▶▲≣▶ ≣ のQ@

F. Krauss