

# Theoretical Physics II B – Quantum Mechanics

## Lecture 10

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1 Heisenberg and Schrödinger picture

2 Example: Harmonic oscillator

# Solutions to previous control questions

9.1 (a) The Hamiltonian already is diagonal,

$$\hat{H} = \frac{\hbar\omega}{2} \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix}$$

and therefore

$$E_{\pm} = \pm \frac{\hbar\omega}{2} \text{ for } |E_{+}\rangle = |\uparrow\rangle = \begin{pmatrix} 1 \\ 0 \end{pmatrix} \text{ and } |E_{-}\rangle = |\downarrow\rangle = \begin{pmatrix} 0 \\ 1 \end{pmatrix}$$

(b) The time evolution operator is given by

$$\begin{aligned} \hat{U}(t, t_0) &= \exp \left[ -\frac{i}{\hbar} \hat{H}(t - t_0) \right] \\ &= \begin{pmatrix} \exp \left[ -\frac{i\omega(t-t_0)}{2} \right] & 0 \\ 0 & \exp \left[ \frac{i\omega(t-t_0)}{2} \right] \end{pmatrix} \end{aligned}$$

- 9.1 (b) Continued: Applying it to a general linear combination  $|\psi\rangle = c_{\uparrow}|\uparrow\rangle + c_{\downarrow}|\downarrow\rangle$  will result in time-dependent prefactors

$$\begin{aligned} c_{\uparrow}(t) &= \exp\left[-\frac{i\omega(t-t_0)}{2}\right] c_{\uparrow}(t_0) \\ c_{\downarrow}(t) &= \exp\left[\frac{i\omega(t-t_0)}{2}\right] c_{\downarrow}(t_0) \end{aligned}$$

- (c) Since the Hamiltonian and the spin-operator in  $z$  direction do not depend explicitly on time, and because they also commute we find that

$$\frac{d\langle\mathcal{E}\rangle}{dt} = 0 = \frac{d\langle\mathcal{S}_z\rangle}{dt}.$$

Calculating the expectation value directly, we find

$$\begin{aligned} \langle\mathcal{S}_z\rangle &= \langle\psi|\hat{S}_z|\psi\rangle = \frac{\hbar}{2}(|c_{\uparrow}(t_0)|^2 - |c_{\downarrow}(t_0)|^2) \\ \langle\mathcal{E}\rangle &= \langle\psi|\hat{H}|\psi\rangle = \frac{\hbar\omega}{2}(|c_{\uparrow}(t_0)|^2 - |c_{\downarrow}(t_0)|^2) = \omega\langle\mathcal{S}_z\rangle. \end{aligned}$$

9.1 (d) The time evolution of the expectation value can in this case be written as

$$\begin{aligned}\langle S_x \rangle &= \langle \psi | \hat{S}_x | \psi \rangle \\ &= \frac{\hbar}{2} \{ c_{\uparrow}^* c_{\downarrow} \exp[i\omega t] + c_{\downarrow}^* c_{\uparrow} \exp[-i\omega t] \} = \frac{\hbar}{2} \cos(\omega t) ,\end{aligned}$$

and similarly

$$\begin{aligned}\langle S_y \rangle &= \langle \psi | \hat{S}_y | \psi \rangle \\ &= \frac{\hbar}{2} \{ -ic_{\uparrow}^* c_{\downarrow} \exp[i\omega t] + ic_{\downarrow}^* c_{\uparrow} \exp[-i\omega t] \} = \frac{\hbar}{2} \sin(\omega t) ,\end{aligned}$$

i.e. the spin experiences a precession movement around the z-axis.  
To obtain the results above, we have used that  $c_{\downarrow} = c_{\uparrow} = \frac{1}{\sqrt{2}}$ .

# Unitary transformations, again

- Remember that the time evolution operator  $\hat{U}(t, t_0)$  is unitary. This implies *probability conservation*:

$$\langle \psi(t) | \psi(t) \rangle = \left\langle \psi(t_0) \left| \hat{U}^\dagger(t, t_0) \hat{U}(t, t_0) \right| \psi(t_0) \right\rangle = \langle \psi(t_0) | \psi(t_0) \rangle ,$$

i.e. the norm of a state ket does not change during time evolution.

- Instead, time evolution merely acts like a phase factor on the state ket, rotating it in Hilbert space.
- Even more, phase differences between *different* kets are invariant:

$$\langle \chi(t) | \psi(t) \rangle = \left\langle \chi(t_0) \left| \hat{U}^\dagger(t, t_0) \hat{U}(t, t_0) \right| \psi(t_0) \right\rangle = \langle \chi(t_0) | \psi(t_0) \rangle ,$$

# Interpreting time evolution

- Consider now an arbitrary operator sandwich with an explicitly time-independent operator  $\hat{O}$  and keep in mind that for measurements we're mainly interested in the case where we sandwich with identical states, i.e. where  $\langle\chi| \rightarrow \langle\psi|$ . Under time evolution,

$$\begin{aligned} \langle\chi(t_0)|\hat{O}|\psi(t_0)\rangle &\longrightarrow \\ \langle\chi(t)|\hat{O}|\psi(t)\rangle &= \langle\chi(t_0)|\hat{U}^\dagger(t, t_0)\hat{O}\hat{U}(t, t_0)|\psi(t_0)\rangle. \end{aligned}$$

- There's two extreme ways to interpret this:

1. Schrödinger picture:

*Time evolution for state kets,  $|\psi(t)\rangle \longrightarrow \hat{U}(t, t_0)|\psi(t_0)\rangle$ ,  
with operators unchanged,  $\hat{O} \longrightarrow \hat{O}$ .*

2. Heisenberg picture:

*Time evolution for operators,  $\hat{O}(t) \longrightarrow \hat{U}^\dagger(t, t_0)\hat{O}(t_0)\hat{U}(t, t_0)$ ,  
with state kets unchanged,  $|\psi\rangle \longrightarrow |\psi\rangle$ .*

# Kets and operators in both pictures

- For the sake of a compact notation, assume  $t_0 = 0$ .
- Assume kets and operators to coincide for  $t = t_0$ :  
operators in the Schrödinger and Heisenberg picture are equal,  
 $\hat{O}^{(S)} = \hat{O}^{(H)}(0)$  and the state kets are equal,  $|\psi^{(S)}(0)\rangle = |\psi^{(H)}\rangle$ .
- Then:

$$\begin{aligned}\hat{O}^{(H)}(t) &= \hat{U}^\dagger(t) \hat{O}^{(S)} \hat{U}(t) \\ |\psi^{(S)}(t)\rangle &= \hat{U}(t) |\psi^{(H)}\rangle .\end{aligned}$$

But the expectation values are equal:

$$\langle \psi^{(H)} | \hat{O}^{(H)}(t) | \psi^{(H)} \rangle = \langle \psi^{(S)}(t) | \hat{O}^{(S)} | \psi^{(S)}(t) \rangle .$$



# Heisenberg equation of motion

- Assuming the operator  $\hat{O}$  in the Schrödinger picture and the Hamiltonian to be explicitly time-independent,

$$\begin{aligned}
 \frac{d\hat{O}^{(H)}(t)}{dt} &= \frac{\partial \hat{U}^\dagger(t)}{\partial t} \hat{O}^{(S)} \hat{U}(t) + \hat{U}^\dagger(t) \hat{O}^{(S)} \frac{\partial \hat{U}(t)}{\partial t} \\
 &= +\frac{i}{\hbar} \hat{U}^\dagger(t) \hat{H} \hat{O}^{(S)} \hat{U}(t) - \frac{i}{\hbar} \hat{U}^\dagger(t) \hat{O}^{(S)} \hat{H} \hat{U}(t) \\
 &= +\frac{i}{\hbar} \hat{U}^\dagger(t) \hat{H} \hat{U}(t) \hat{U}^\dagger(t) \hat{O}^{(S)} \hat{U}(t) - \frac{i}{\hbar} \hat{U}^\dagger(t) \hat{O}^{(S)} \hat{U}(t) \hat{U}^\dagger(t) \hat{H} \hat{U}(t) \\
 &= -\frac{i}{\hbar} \left[ \hat{O}^{(H)}(t), \hat{U}^\dagger(t) \hat{H} \hat{U}(t) \right] = -\frac{i}{\hbar} \left[ \hat{O}^{(H)}(t), \hat{H} \right],
 \end{aligned}$$

where in going to the last expression use has been made from the fact that if  $\hat{H}$  does not depend explicitly on time,

$$\hat{U} = \exp \left[ -i\hat{H}t/\hbar \right] \text{ and } \left[ \hat{U}, \hat{H} \right] = 0.$$

## Connection to classical physics

- The Heisenberg equation of motion

$$\frac{d\hat{O}^{(H)}(t)}{dt} = \frac{1}{i\hbar} [\hat{O}^{(H)}(t), \hat{H}]$$

is strikingly similar to the *classical equations of motion* in the same setup (explicitly time-independent Hamiltonians), which, using Poisson brackets, can be written as

$$\frac{dO}{dt} = [O, H]_{\text{classical}}$$

leading to the assumption

$$[, ]_{\text{classical}} \longleftrightarrow \frac{[, ]_{\text{quantum}}}{i\hbar}$$

- It is worth noting, though, that this stretches to observables, such as spin, which do not have any classical analogue.
- However, analogue above indicates that in many aspects the dynamics in the Heisenberg picture and their interpretation are closer in spirit to classical physics. There is also no notion of a state ket of any dynamical consequence.

## Base kets in the Heisenberg picture

- Common *misconception* of the Heisenberg picture:  
all kets are stationary. This is *not true*,  
only **state kets stationary**, the **base kets move**!
- Base kets are usually the eigenkets of an operator  $\hat{L}$ ;  
multiplying the eigenvalue equation from the left with  $\hat{U}^\dagger$  yields

$$\begin{aligned}\hat{U}^\dagger(t)\hat{L}^{(S)}|\lambda_i\rangle &= \hat{U}^\dagger(t)\hat{L}^{(S)}\hat{U}(t)\hat{U}^\dagger(t)|\lambda_i\rangle \\ &= \hat{L}^{(H)}(t)\left(\hat{U}^\dagger(t)|\lambda_i\rangle\right) = \hat{U}^\dagger(t)\lambda_i|\lambda_i\rangle.\end{aligned}$$

- This indicates that the base kets in the Heisenberg picture indeed move with time, but *opposite* to the way the state kets evolve in the Schrödinger picture.
- However, the component representation of state kets behaves the same way in both the Schrödinger and the Heisenberg picture:

$$\psi_k(t) = \langle \lambda_k^{(H)}(t) | \psi^{(H)} \rangle = \langle \lambda_k^{(S)} | \psi^{(S)}(t) \rangle = \langle \lambda_k | U(t) | \psi \rangle.$$

## Reminder: Hamiltonian

- From classical Hamiltonian to ladder operators:

$$\hat{H} = \frac{\hat{p}^2}{2m} + \frac{m\omega^2}{2}\hat{x}^2 = \hbar\omega \left( \hat{N} + \frac{1}{2} \right) = \hbar\omega \left( \hat{a}_+ \hat{a}_- + \frac{1}{2} \right) .$$

- Commutators of creation and annihilation operators:

$$[\hat{a}_+, \hat{a}_-] = -1 , \quad [\hat{N}, \hat{a}_\pm] = \pm \hat{a}_\pm , \quad [\hat{H}, \hat{a}_\pm] = \pm \hbar\omega \hat{a}_\pm .$$

- Connection to position and momentum operators:

$$\hat{a}_\pm = \frac{m\omega\hat{x} \mp i\hat{p}}{\sqrt{2\hbar m\omega}} , \quad \hat{x} = \sqrt{\frac{\hbar}{2m\omega}} [\hat{a}_+ + \hat{a}_-] , \quad \hat{p} = i\sqrt{\frac{\hbar m\omega}{2}} [\hat{a}_+ - \hat{a}_-] .$$

# Time development in the Heisenberg picture

- Heisenberg E.o.M. yields two coupled differential equations for  $\hat{x}$  &  $\hat{p}$

$$\frac{d\hat{p}}{dt} = -\frac{i}{\hbar} [\hat{p}, \hat{H}] = -m\omega^2 \hat{x} \quad \text{and} \quad \frac{d\hat{x}}{dt} = -\frac{i}{\hbar} [\hat{x}, \hat{H}] = \frac{\hat{p}}{m}$$

- Decouple nicely when going to ladder operators:

$$\frac{d\hat{a}_{\pm}}{dt} = -\frac{i}{\hbar} [\hat{a}_{\pm}, \hat{H}] = \pm i\omega \hat{a}_{\pm} \quad \longrightarrow \quad \hat{a}_{\pm}(t) = \exp(\pm i\omega t) \hat{a}_{\pm}(0)$$

- Therefore:

$$\begin{aligned}\hat{x}(t) &= \sqrt{\frac{\hbar}{2m\omega}} [\exp(i\omega t) \hat{a}_+(0) + \exp(-i\omega t) \hat{a}_-(0)] \\ &= \sqrt{\frac{\hbar}{2m\omega}} [\cos(\omega t)(\hat{a}_+ + \hat{a}_-) + i \sin(\omega t)(\hat{a}_+ - \hat{a}_-)] \\ &= \cos(\omega t) \hat{x}(0) + \frac{\sin(\omega t)}{m\omega} \hat{p}(0).\end{aligned}$$

and similarly

$$\hat{p}(t) = \cos(\omega t) \hat{p}(0) - m\omega \sin(\omega t) \hat{x}(0).$$

- They look like their classical analogue: the position and momentum operators  $\hat{x}$  and  $\hat{p}$  “oscillate” with frequency  $\omega$ .

## Alternative derivation

- Alternatively, can also apply time-evolution operator directly:

$$\hat{x}(t) = \hat{U}^\dagger(t) \hat{x}(0) \hat{U}(t) = \exp \left[ \frac{i \hat{H} t}{\hbar} \right] \hat{x}(0) \exp \left[ -\frac{i \hat{H} t}{\hbar} \right]$$

- Using the Baker-Hausdorff formula

$$\exp(i \hat{G} \lambda) \hat{A} \exp(-i \hat{G} \lambda) = \hat{A} + i \lambda [\hat{G}, \hat{A}] + [\hat{G}, [\hat{G}, \hat{A}]] + \dots$$

and by repeatedly identifying

$$[\hat{H}, \hat{x}(0)] = -\frac{i \hbar \hat{p}(0)}{m} \quad \text{and} \quad [\hat{H}, \hat{p}(0)] = i \hbar m \omega \hat{x}(0)$$

the result of the previous slide can be confirmed.

## Expectation values $\langle \mathcal{X} \rangle$ and $\langle \mathcal{P} \rangle$

- Since the operators  $\hat{x}(t)$  and  $\hat{p}(t)$  oscillate like

$$\begin{aligned}\hat{x}(t) &= \cos(\omega t) \hat{x}(0) + \frac{\sin(\omega t)}{m\omega} \hat{p}(0) \\ \hat{p}(t) &= \cos(\omega t) \hat{p}(0) - m\omega \sin(\omega t) \hat{x}(0),\end{aligned}$$

one would maybe naively expect that also the expectation values of the corresponding observables oscillate in a similar fashion.

- This however is not true: Consider the expectation value with respect to the  $n$ th eigenstate,  $|\phi_n\rangle$ :

$$\langle \psi_n | \hat{x} | \psi_n \rangle = \sqrt{\frac{\hbar}{2m\omega}} \langle \psi_n | [\hat{a}_+ + \hat{a}_-] | \psi_n \rangle = 0,$$

because the creation and annihilation operators and thus the position and momentum operators have only non-diagonal entries in this base.



- Therefore, to observe oscillations that look anything like a classical oscillator, the states must be *superpositions* of different energy eigenstates.
- For example, consider, in obvious notation, a state
$$|\psi\rangle = c_0 |0\rangle + c_1 |1\rangle.$$
- Taking the expectation value with respect to such a state

$$\langle\psi|\hat{x}|\psi\rangle = \sqrt{\frac{\hbar}{2m\omega}} \left[ c_0^* c_1 \langle 0 | \hat{a}_-(t) | 1 \rangle + c_1^* c_0 \langle 1 | \hat{a}_+(t) | 0 \rangle \right] \neq 0$$

is non-vanishing and will indeed lead to some oscillatory movement.

## Coherent states

- Following the logic above, a state  $b|\lambda\rangle$  could be constructed as a superposition of energy eigenstates, such that it most closely imitates the classical oscillator.
- Such a *coherent state* is defined by the eigenvalue equation for the non-Hermitian annihilation operator  $\hat{a}_-$ :

$$\hat{a}_- |\lambda\rangle = \lambda |\lambda\rangle ,$$

which clearly must be a superposition of all energy eigenstates:

$$|\lambda\rangle = \sum_{n=0}^{\infty} f(n) |n\rangle , \quad \text{where } |f(n)|^2 = \frac{\bar{n}^n}{n!} \exp(-\bar{n}) ,$$

the distribution of the energy eigenkets  $n$  follows a Poisson distribution around a mean  $\bar{n}$ .

- Such a state can be obtained by translating the ground state energy of the oscillator by some finite amount, and it satisfies the minimal uncertainty product at all times.

# Learning outcomes

- Heisenberg vs. Schrödinger picture:

	Heisenberg picture	Schrödinger picture
State kets	Stationary	Moving ( $ \psi(t)\rangle = \hat{U} \psi\rangle$ )
Observables	Moving ( $\hat{O}(t) = \hat{U}^\dagger \hat{O} \hat{U}$ )	Stationary
Base kets	Moving oppositely ( $ b_k(t)\rangle = \hat{U}^\dagger  b_k\rangle$ )	Stationary

- In all cases, time evolution operator given by

$$\hat{U}(t) = \exp \left[ -\frac{i}{\hbar} \int_0^t dt' \hat{H}(t') \right] \xrightarrow{\hat{H} \text{ is } t' \text{-indep.}} \exp \left[ -\frac{i}{\hbar} \hat{H} t \right] .$$

# Control questions

## 10.1 Consider, once again, the Hamiltonian

$$\hat{H} = -\frac{eB}{mc} \hat{S}_z = \omega \hat{S}_z.$$

already encountered in the control questions to the last lecture.

- (a) Write the Heisenberg E.o.M. for the three time-dependent spin operators  $\hat{S}_{x,y,z}(t)$  and solve them.
- (b) For the states  $|\psi_1\rangle = |\uparrow\rangle$  and  $|\psi_{\pm}\rangle = \frac{1}{\sqrt{2}} [|\uparrow\rangle \pm |\downarrow\rangle]$  write down the Schrödinger equation and solve it explicitly, i.e. give expressions for these three states as functions of time in the Schrödinger picture.
- (c) Compare the time evolution of the expectation values of  $\mathcal{S}_{x,z}$  with respect to those three states in both pictures and check that they coincide.