QCD instantons at hadron colliders



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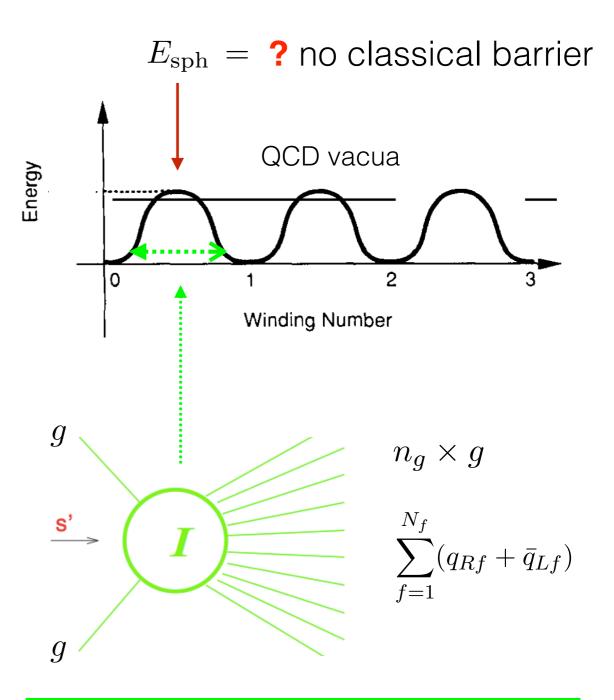
with Frank Krauss & Matthias Schott 1911.09726: JHEP (2020)

and Dan Milne & Michael Spannowsky 2010.02287: PRD (2021)

& with Valery A Khoze, Dan Milne and Misha Ryskin 2104.01861 : PRD (2021), 2111.02159 : PRD (2022)

QCD Instantons

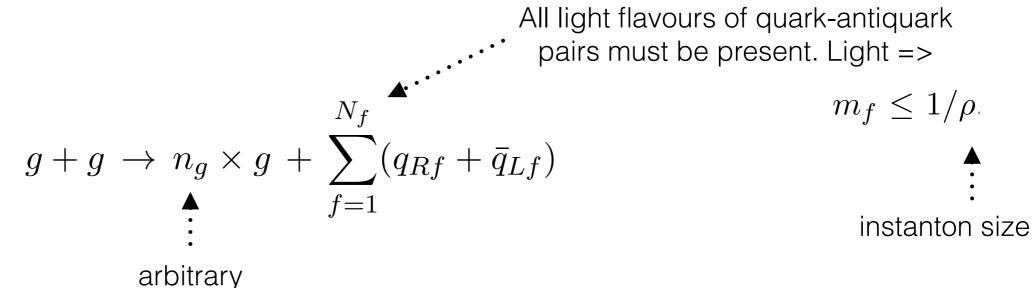
- Yang-Mills vacuum has a nontrivial structure
- Instantons are tunnelling solutions between the vacua.
- At the classical level there is no barrier in QCD. The sphaleron is a quantum effect
- Transitions between the vacua change chirality (result of the ABJ anomaly).
- All light quark-anti-quark pairs must participate in the reaction
- Not described by perturbation theory.



$$g+g \rightarrow n_g \times g + \sum_{f=1}^{N_f} (q_{Rf} + \bar{q}_{Lf})$$

QCD Instantons

Instanton-induced processes with 2 gluons in the initial state:



(tends to be large ~1/alpha_s)

Can also have quark-initiated processes e.g.:

$$u_L + \bar{u}_R \to n_g \times g + \sum_{f=1}^{N_f - 1} (q_{Rf} + \bar{q}_{Lf}),$$
 $u_L + d_L \to n_g \times g + u_R + d_R + \sum_{f=1}^{N_f - 2} (q_{Rf} + \bar{q}_{Lf})$

$$g+g \rightarrow n_g \times g + \sum_{f=1}^{N_f} (q_{Rf} + \bar{q}_{Lf})$$

The amplitude takes the form of an integral over instanton collective coordinates. The classical result (leading order in the instanton perturbation theory) is simply:

$$S_I = \frac{8\pi^2}{g^2} = \frac{2\pi}{\alpha_s(\mu_r)}$$
 is semiclassical suppression
$$S_I = \frac{8\pi^2}{g^2} = \frac{2\pi}{\alpha_s(\mu_r)}$$
 is
$$A_{2 \to n_g + 2N_f} \sim \int d^4x_0 \, d\rho \, D(\rho) \, e^{-S_I} \left[\prod_{i=1}^{n_g + 2} A_{\mathrm{LSZ}}^{a_i \, \mathrm{inst}}(p_i, \lambda_i) \right] \left[\prod_{j=1}^{2N_f} \psi_{\mathrm{LSZ}}^{(0)}(p_j, \lambda_j) \right]$$

$$\vdots$$

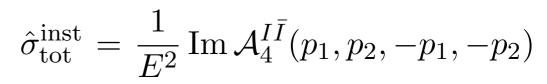
- the integrand: a product of bosonic and fermionic components of the instanton field configurations
- the factorised structure implies that emission of individual particles in the final state is uncorrelated
 and mutually independent.

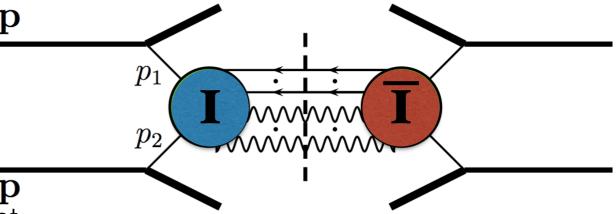
[this is correct at the LO in instanton pert. theory approximation]

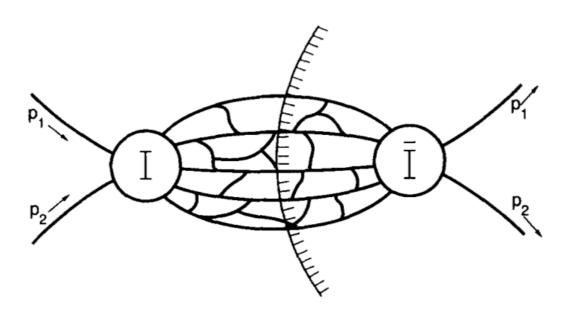
LO Instanton vertex -> selection on final states at colliders with high sphericity

The Optical Theorem approach: to include final state interactions

- Cross-section is obtained by |squaring| the instanton amplitude.
- Final states have been instrumental in combatting the exp. suppression.
- Now also the interactions between the final states (and the improvement on the pointlike I-vertex) are taken into account.
- Use the Optical Theorem to compute *Im* part of the 2->2 amplitude in around the Instanton-Anti-instanton configuration.
- Varying the energy E changes the dominant value of I-Ibar separation R. At R=0 instanton and anti-instanton mutually annihilate.
- The suppression of the EW instanton crosssection is gradually reduced at lower R(E).



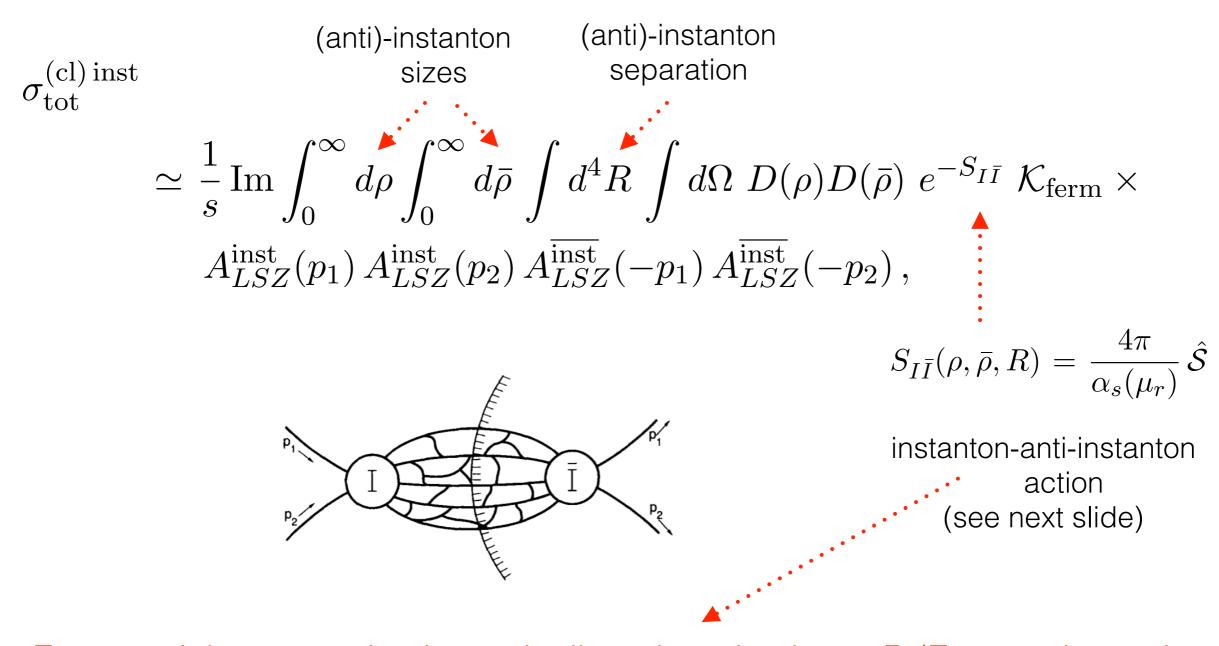




VVK & Ringwald 1991

The Optical Theorem approach: to include final state interactions

Instanton — anti-instanton valley configuration has Q=0; it interpolates between infinitely separated instanton—anti-instanton and the perturbative vacuum at R=0



- Exponential suppression is gradually reduced at lower R (Energy-dependent)
- no radiative corrections from hard initial states included in this approximation

$$\sigma_{\text{tot}}^{(\text{cl) inst}} = \frac{1}{s} \operatorname{Im} \mathcal{A}_{4}^{I\bar{I}}(p_{1}, p_{2}, -p_{1}, -p_{2})$$

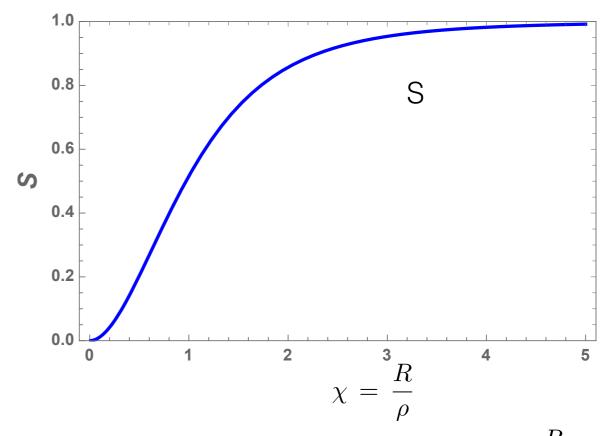
$$\simeq \frac{1}{s} \operatorname{Im} \int_{0}^{\infty} d\rho \int_{0}^{\infty} d\bar{\rho} \int d^{4}R \int d\Omega \ D(\rho)D(\bar{\rho}) \ e^{-S_{I\bar{I}}} \ \mathcal{K}_{\text{ferm}} \times$$

$$A_{LSZ}^{\text{inst}}(p_{1}) A_{LSZ}^{\text{inst}}(p_{2}) A_{LSZ}^{\overline{\text{inst}}}(-p_{1}) A_{LSZ}^{\overline{\text{inst}}}(-p_{2}),$$

$$S(\chi) \simeq 1 - 6/\chi^4 + 24/\chi^6 + \dots \qquad \chi = \frac{R}{\rho}$$

 $S_{I\bar{I}}(\rho,\bar{\rho},R) = \frac{4\pi}{\alpha_s(\mu_r)} \mathcal{S}$

Yung '88; VVK & Ringwald '91; Verbaarschot '91



- Exponential suppression is gradually reduced at lower and lower $x = \frac{R}{\rho}$
- no radiative corrections from hard initial states included in this approximation

$$D(\rho, \mu_r) = \kappa \frac{1}{\rho^5} \left(\frac{2\pi}{\alpha_s(\mu_r)} \right)^6 (\rho \mu_r)^{b_0}$$

$$\sigma_{\text{tot}}^{(\text{cl) inst}} = \frac{1}{s} \operatorname{Im} \mathcal{A}_{4}^{I\bar{I}}(p_{1}, p_{2}, -p_{1}, -p_{2})$$

$$\simeq \frac{1}{s} \operatorname{Im} \int_{0}^{\infty} d\rho \int_{0}^{\infty} d\bar{\rho} \int d^{4}R \int d\Omega D(\rho)D(\bar{\rho}) e^{-S_{I\bar{I}}} \mathcal{K}_{\text{ferm}} \times$$

$$A_{LSZ}^{\text{inst}}(p_{1}) A_{LSZ}^{\text{inst}}(p_{2}) A_{LSZ}^{\overline{\text{inst}}}(-p_{1}) A_{LSZ}^{\overline{\text{inst}}}(-p_{2}),$$

fermion prefactor from Nf qq-bar pairs

$$A_{LSZ}^{\text{inst}}(p_1) A_{LSZ}^{\text{inst}}(p_2) A_{LSZ}^{\overline{\text{inst}}}(-p_1) A_{LSZ}^{\overline{\text{inst}}}(-p_2) = \frac{1}{36} \left(\frac{2\pi^2}{a} \rho^2 \sqrt{s'} \right)^4 e^{iR \cdot (p_1 + p_2)} \exp\left(-Q(\rho + \bar{\rho})\right)$$

$$\frac{1}{6}\left(\frac{1}{g}
ho^{2}\sqrt{s}^{s}
ight)e^{-(\sqrt{1+f^{2}})^{2}}\exp\left(-Q(
ho+
ho)
ho}$$
Q~1GeV formfactor $\exp\left(R_{0}\sqrt{s}-\frac{4\pi}{lpha_{s}(\mu_{r})}\hat{\mathcal{S}}(z)
ight)$

in the EW theory:

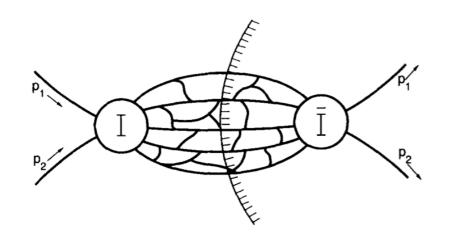
$$G_{4\,\mathrm{Eucl}} \sim \int d^4R \; d\rho_I d\rho_{\bar{I}} \ldots \exp\left[i(p_1+p_2)\cdot R - S_{I\bar{I}}(z) - \pi^2 v^2(\rho_I^2+\rho_{\bar{I}}^2)\right] - \pi^2 v^2(\rho_I^2+\rho_{\bar{I}}^2)$$
 instanton instanton separation sizes
$$z \sim \frac{R^2+\rho_I^2+\rho_I^2}{\rho_I\rho_I} \qquad \text{Higgs vev: EW theory - not QCD!}$$

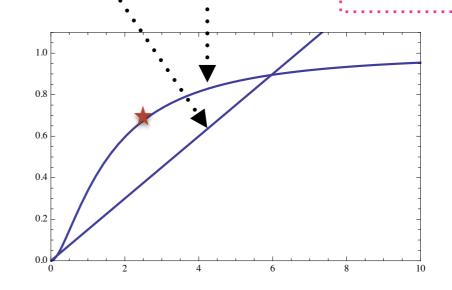
$$\sigma_{B+L} \sim \mathrm{Im} \int d^4R \; d\rho_I d\rho_{\bar{I}} \ldots \exp\left[ER - S_{I\bar{I}}(R) - \pi^2 v^2(\rho_I^2+\rho_{\bar{I}}^2)\right]$$
 Higgs vev cuts-off large instantons

Exponential suppression is gradually reduced with energy [in the EW theory]

In QCD:

$$G_{4\,\mathrm{Eucl}} \sim \int d^4R \; d\rho_I d\rho_{\bar{I}} \ldots \exp\left[i(p_1+p_2)\cdot R - S_{I\bar{I}}(z)\right] - \text{new in QCD}$$
 instanton sizes
$$z \sim \frac{R^2 + \rho_I^2 + \rho_{\bar{I}}^2}{\rho_I \rho_{\bar{I}}}$$
 Quantum effects to cut-off Instanton size integrations
$$\sigma_{B+L} \sim \mathrm{Im} \; \int d^4R \; d\rho_I d\rho_{\bar{I}} \ldots \exp\left[ER - S_{I\bar{I}}(R) - \text{new in QCD}\right]$$





propagator in the instanton background

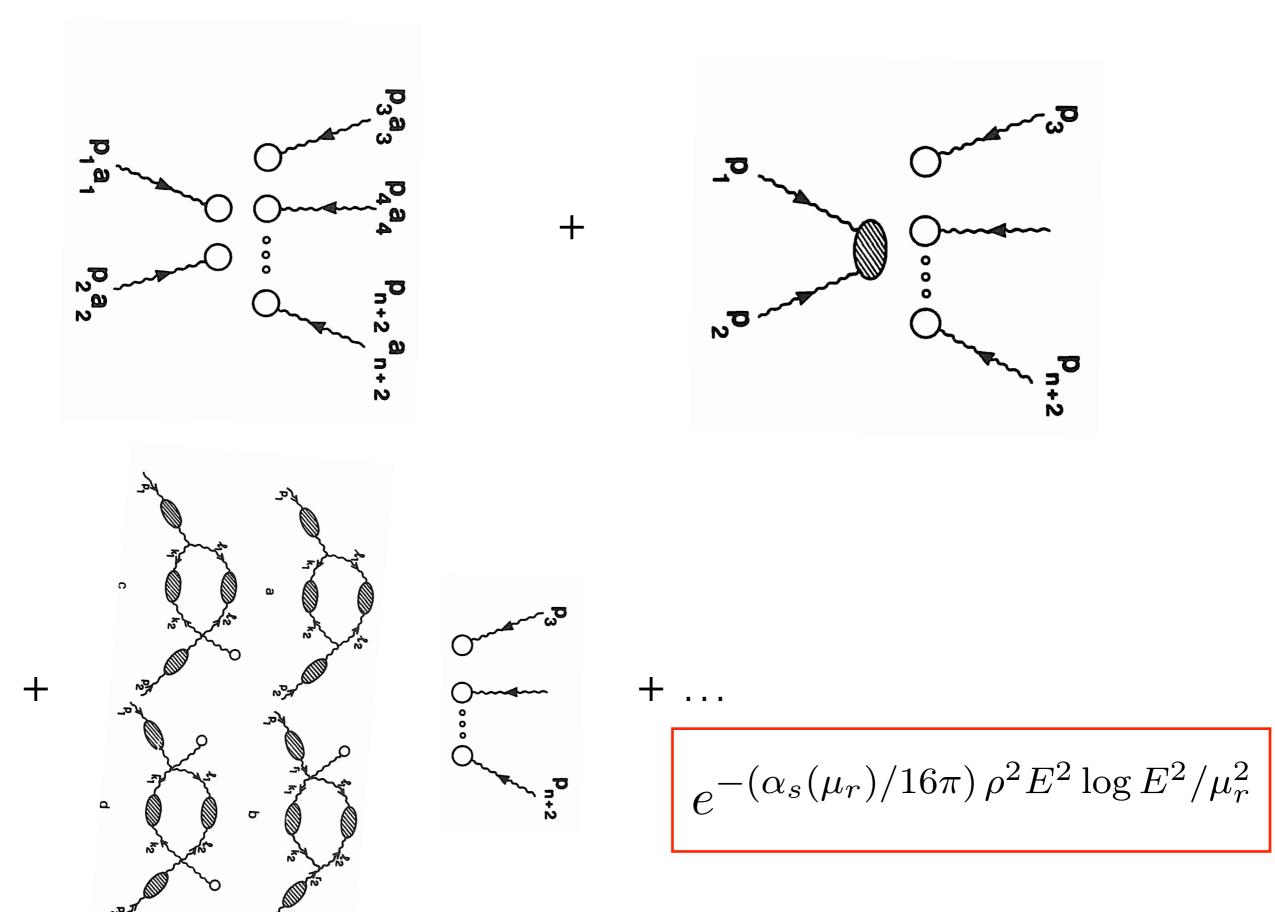
$$G_{\mu\nu}^{ab}(p_1, p_2) \rightarrow -\frac{g^2 \rho^2 s}{64\pi^2} \log(s) A_{\mu}^a(p_1) A_{\nu}^b(p_2)$$

$$p_1^2 = 0 = p_2^2, \quad 2p_1p_2 = s \gg 1/\rho^2$$

Include now higher order corrections in the high-energy limit:

$$\sum_{r=1}^{N} \frac{1}{r!} \left(-\frac{g^2 \rho^2 s}{64\pi^2} \log(s) \right)^r A_{\mu}^a(p_1) A_{\nu}^b(p_2)$$

Mueller 1991



Mueller 1991

Combined effect of initial and final states interactions in QCD

$$\hat{\sigma}_{\text{tot}}^{\text{inst}} \simeq \frac{1}{s'} \operatorname{Im} \frac{\kappa^2 \pi^4}{36 \cdot 4} \int \frac{d\rho}{\rho^5} \int \frac{d\bar{\rho}}{\bar{\rho}^5} \int d^4 R \int d\Omega \left(\frac{2\pi}{\alpha_s(\mu_r)} \right)^{14} (\rho^2 \sqrt{s'})^2 (\bar{\rho}^2 \sqrt{s'})^2 \mathcal{K}_{\text{ferm}}$$

$$(\rho \mu_r)^{b_0} (\bar{\rho} \mu_r)^{b_0} \exp \left(R_0 \sqrt{s'} - \frac{4\pi}{\alpha_s(\mu_r)} \hat{\mathcal{S}}(z) - \frac{\alpha_s(\mu_r)}{16\pi} (\rho^2 + \bar{\rho}^2) s' \log \left(\frac{s'}{\mu_r^2} \right) \right)$$

Instanton size is cut-off by partonic energy $\sim \sqrt{s}$ this is what sets the effective QCD sphalrenon scale

Quantum corrections due to in-in states interactions

Basically, in QCD one can never reach the effective sphaleron barrier — it's hight grows with the energy.

=> Among other things, no problems with unitarity.

This is the main idea of the approach:

[1] VVK, Krauss, Schott[2] VVK, Milne, Spannowsky

Combined effect of initial and final states interactions in QCD

$$\hat{\sigma}_{\text{tot}}^{\text{inst}} \simeq \frac{1}{s'} \operatorname{Im} \frac{\kappa^2 \pi^4}{36 \cdot 4} \int \frac{d\rho}{\rho^5} \int \frac{d\bar{\rho}}{\bar{\rho}^5} \int d^4 R \int d\Omega \left(\frac{2\pi}{\alpha_s(\mu_r)} \right)^{14} (\rho^2 \sqrt{s'})^2 (\bar{\rho}^2 \sqrt{s'})^2 \mathcal{K}_{\text{ferm}}$$

$$(\rho \mu_r)^{b_0} (\bar{\rho} \mu_r)^{b_0} \exp \left(R_0 \sqrt{s'} - \frac{4\pi}{\alpha_s(\mu_r)} \hat{\mathcal{S}}(z) - \frac{\alpha_s(\mu_r)}{16\pi} (\rho^2 + \bar{\rho}^2) s' \log \left(\frac{s'}{\mu_r^2} \right) \right)$$

1st Approach: VVK, Krauss, Schott

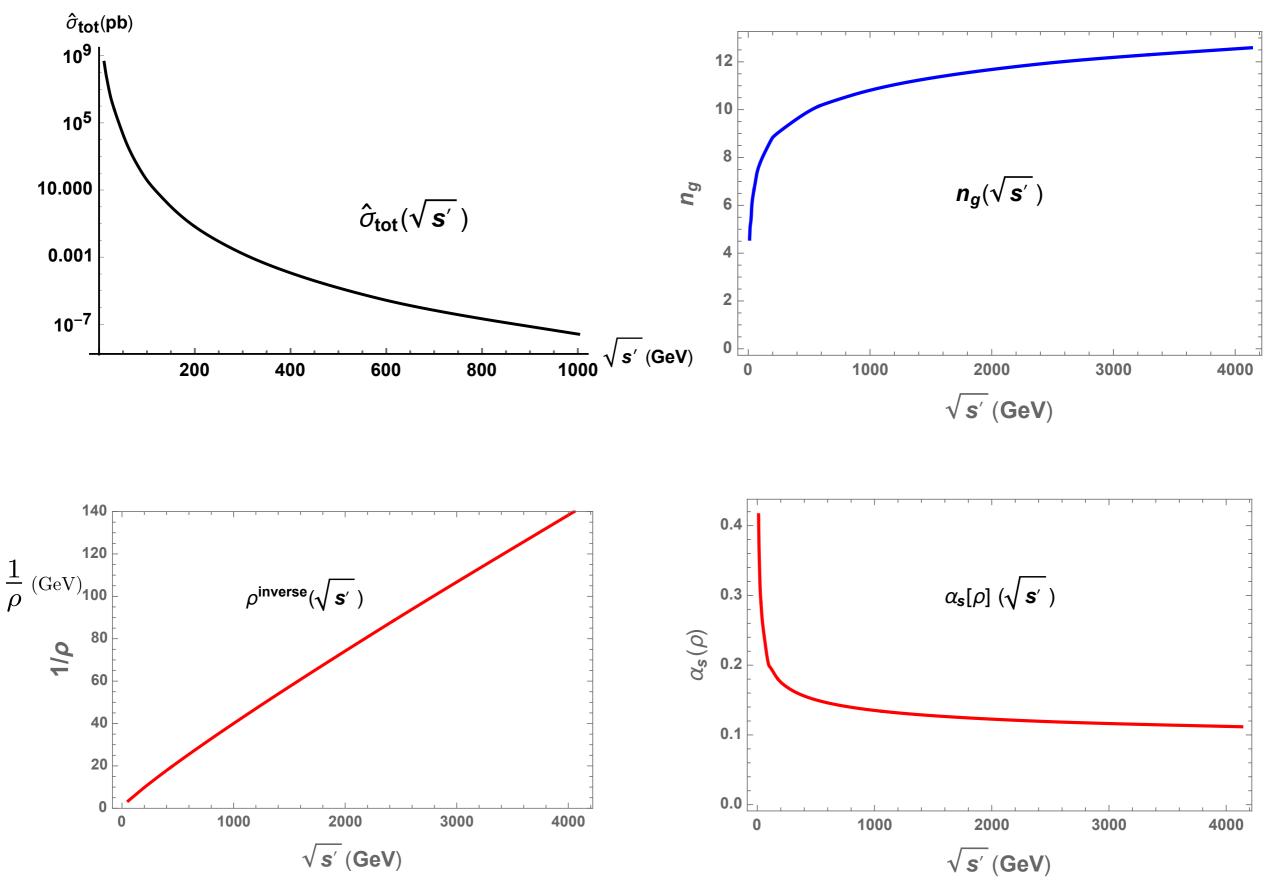
1. Extermise the holy-grail function in the exponent by finding a saddle-point in variables:
$$\tilde{\rho} = \frac{\alpha_s(\rho)}{4\pi} \sqrt{s} \rho, \qquad \chi = \frac{R}{\rho}$$
• Choice of the RG scale:

$$\tilde{\rho} = \frac{\alpha_s(\rho)}{4\pi} \sqrt{s} \rho, \qquad \chi = \frac{R}{\rho}$$

$$\mu_r = 1/\langle \rho \rangle = 1/\sqrt{\rho \bar{\rho}}$$

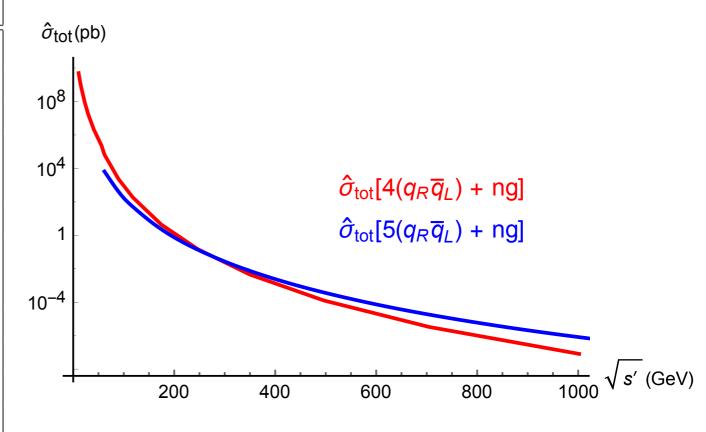
- 2. Carry out all integrations using the steepest descent method evaluating the determinants of quadratic fluctuations around the saddle-point solution
- 3. Pre-factors are very large they compete with the semiclassical exponent which is very small!

Results



Results and partonic cross-sections

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$\sqrt{s'}$ [GeV]	$1/\rho \; [\text{GeV}]$	$\alpha_S(1/\rho)$	$ \langle n_g \rangle $	$\hat{\sigma}$ [pb]
10.7	0.99	0.416	4.59	$4.922 \cdot 10^9$
11.4	1.04	0.405	4.68	$3.652 \cdot 10^9$
13.4	1.16	0.382	4.90	$1.671 \cdot 10^9$
15.7	1.31	0.360	5.13	$728.9 \cdot 10^6$
22.9	1.76	0.315	5.44	$85.94 \cdot 10^6$
29.7	2.12	0.293	6.02	$17.25 \cdot 10^6$
40.8	2.72	0.267	6.47	$2.121 \cdot 10^6$
56.1	3.50	0.245	6.92	$229.0 \cdot 10^3$
61.8	3.64	0.223	7.28	$72.97 \cdot 10^3$
89.6	4.98	0.206	7.67	$2.733 \cdot 10^3$
118.0	6.21	0.195	8.25	235.4
174.4	8.72	0.180	8.60	6.720
246.9	11.76	0.169	9.04	0.284
349.9	15.90	0.159	9.49	0.012
496.3	21.58	0.150	9.93	$5.112 \cdot 10^{-4}$
704.8	29.37	0.142	10.37	$21.65 \cdot 10^{-6}$
1001.8	40.07	0.135	10.81	$0.9017 \cdot 10^{-6}$
1425.6	54.83	0.128	11.26	$36.45 \cdot 10^{-9}$
2030.6	75.21	0.122	11.70	$1.419 \cdot 10^{-9}$
2895.5	103.4	0.117	12.14	$52.07 \cdot 10^{-12}$



2nd more direct approach: VVK, Milne, Spannowsky

compute all (but one) collective coordinate integrals numerically

introduce dimensionless integration variables,

$$r_0 = R_0 E$$
, $r = |\vec{R}| E$,
 $y = \rho \bar{\rho} E^2$, $x = \rho/\bar{\rho}$,

numerically evaluate (no saddle point approximation needed):

$$G(r_0, E) = \frac{\kappa^2 \pi^4}{2^{17}} \sqrt{\frac{\pi}{3}} \int_0^\infty r^2 dr \int_0^\infty \frac{dx}{x} \int_0^\infty \frac{dy}{y} \left(\frac{4\pi}{\alpha_s}\right)^{21/2} \left(\frac{1}{1 - \mathcal{S}(z)}\right)^{7/2}$$

$$\mathcal{K}_{\text{ferm}}(z) \exp\left(-\frac{4\pi}{\alpha_s} \mathcal{S}(z) - \frac{\alpha_s}{4\pi} \frac{x + 1/x}{4} y \log y\right).$$

and use this to compute the final integral (in the saddle-point approximation to get Im):

$$\hat{\sigma}_{\text{tot}}^{\text{inst}}(E) = \frac{1}{E^2} \operatorname{Im} \int_{-\infty}^{+\infty} dr_0 \, e^{r_0} \, G(r_0, E) \,,$$

Results and partonic cross-section

$\sqrt{\hat{s}} \; [\text{GeV}]$	50	100	150	200	300	400	500
$\langle n_g \rangle$	9.43	11.2	12.22	12.94	13.96	14.68	15.23
$\hat{\sigma}_{ ext{tot}}^{ ext{inst}} ext{ [pb]}$	207.33×10^3	1.29×10^3	53.1	5.21	165.73×10^{-3}	13.65×10^{-3}	1.89×10^{-3}

$$G(r_0, E) = \frac{\kappa^2 \pi^4}{2^{17}} \sqrt{\frac{\pi}{3}} \int_0^\infty r^2 dr \int_0^\infty \frac{dx}{x} \int_0^\infty \frac{dy}{y} \left(\frac{4\pi}{\alpha_s}\right)^{21/2} \left(\frac{1}{1 - \mathcal{S}(z)}\right)^{7/2} \mathcal{K}_{\text{ferm}}$$

$$\sum_{n_g = 0}^\infty \frac{1}{n_g!} (U_{\text{int}})^{n_g} \exp\left(-\frac{4\pi}{\alpha_s} - \frac{\alpha_s}{4\pi} \frac{x + 1/x}{4} y \log y\right).$$

$$\langle n_g \rangle = \langle U_{\text{int}} \rangle$$

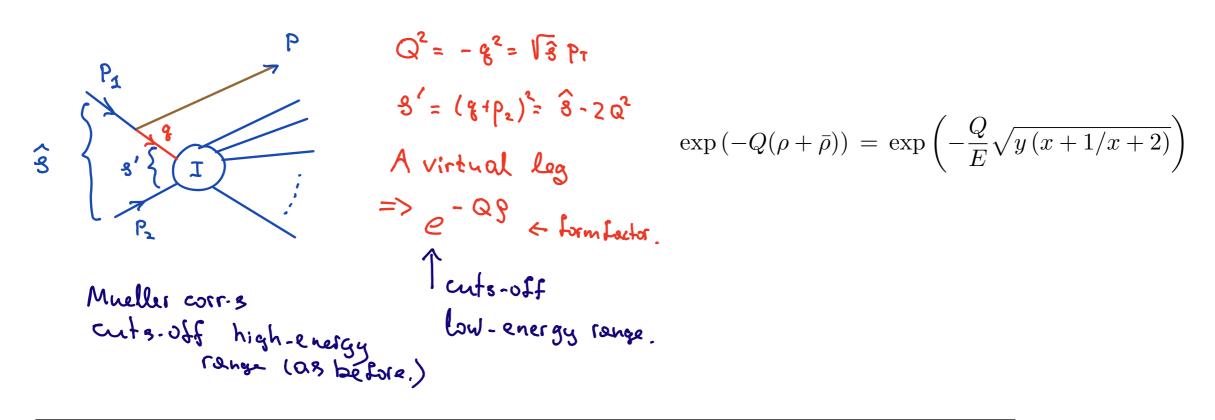
$$\frac{4\pi}{\alpha_s(\langle \rho \rangle)} \simeq \begin{cases} \frac{4\pi}{0.32} - 2b_0 \log(\langle \rho \rangle m_\tau) &: \text{ for } \langle \rho \rangle^{-1} \ge 1.45 \text{ GeV} \\ \frac{4\pi}{0.35} &: \text{ for } \langle \rho \rangle^{-1} < 1.45 \text{ GeV} \end{cases}$$

Total hadronic cross-sections for instanton processes are large

$$\sigma_{pp\to I} (\hat{s} > \hat{s}_{\min}) = \int_{\hat{s}_{min}}^{s_{pp}} dx_1 dx_2 \quad f(x_1, Q^2) f(x_2, Q^2) \hat{\sigma} (\hat{s} = x_1 x_2 s_{pp})$$

E_{\min} [GeV]	50	100	150	200	300	400	500
$\sigma_{par{p} o I}$	$2.62 \; \mu { m b}$	2.61 nb	29.6 pb	1.59 pb	6.94 fb	105 ab	3.06 ab
$\sqrt{s_{p\bar{p}}}$ =1.96 TeV							
$\sigma_{pp o I}$	$58.19 \; \mu \rm b$	129.70 nb	2.769 nb	270.61 pb	3.04 pb	114.04 fb	8.293 fb
$\sqrt{s_{pp}}$ =14 TeV							
$\sigma_{pp o I}$	$211.0 \; \mu {\rm b}$	400.9 nb	9.51 nb	1.02 nb	13.3 pb	559.3 fb	46.3 fb
$\sqrt{s_{pp}}$ =30 TeV							
$\sigma_{pp o I}$	$771.0 \; \mu {\rm b}$	$2.12 \; \mu { m b}$	48.3 nb	5.65 nb	88.3 pb	4.42 pb	395.0 fb
$\sqrt{s_{pp}}$ =100 TeV							

HOWEVER: If the instanton is recoiled by a high pT jet emitted from one of the initial state gluons => hadronic cross-section is tiny



$\sqrt{\hat{s}} [\text{GeV}]$	310	350	375	400	450	500
$\hat{\sigma}_{\mathrm{tot}}^{\mathrm{inst}}$ [pb]	3.42×10^{-23}	1.35×10^{-18}	1.06×10^{-17}	1.13×10^{-16}	9.23×10^{-16}	3.10×10^{-15}

Table 3. The instanton partonic cross-section recoiled against a hard jet with $p_T = 150$ GeV emitted from an initial state and calculated using Eq. (3.7). Results for the cross-section are shown for a range of partonic C.o.M. energies $\sqrt{\hat{s}}$.

$\sqrt{\hat{s}} [\text{GeV}]$	100	150	200	300	400	500
$\hat{\sigma}_{ ext{tot}}^{ ext{inst}}$ [pb]	1.68×10^{-7}	1.20×10^{-9}	3.24×10^{-11}	1.84×10^{-13}	4.38×10^{-15}	2.38×10^{-16}

Table 4. The cross-section presented for a range of partonic C.o.M. energies $\sqrt{\hat{s}} = E$ where the recoiled p_T is scaled with the energy, $p_T = \sqrt{\hat{s}}/3$.

Phenomenology summary

- QCD instanton cross-sections can be very large at hadron colliders.
- Instanton events are isotropic multi-particle final states. Their event topology is very distinct - use sphericity and jet broadening event shape variables
- Particles with large pT emitted from the instanton are rare. Especially hard to produce them at low partonic energies (for obvious kinematic reasons). Do not pass triggers.
- At higher (partonic) energies instanton events can pass triggers but have suppressed cross-sections.
- Alternative approach: consider instanton production in diffractive processes with one or two large rapidity gaps (LRG).

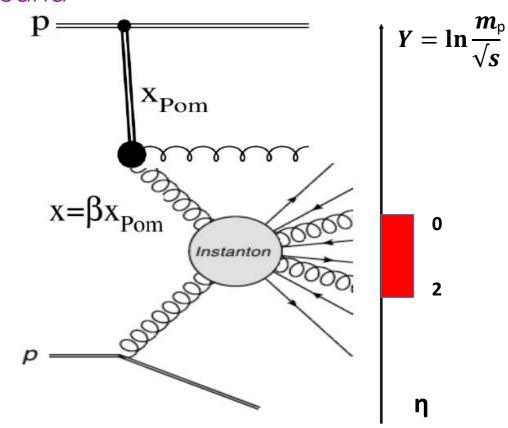
VA Khoze, VVK, Dan Milne, Misha Ryskin: 210401861

Instanton cross-sections are large, but one needs to be creative in separating instanton signal from large QCD background.

One such strategy is search for QCD instantons in diffractive events at the LHC: QCD background

caused by multi-parton interactions can be effectively suppressed by selecting events with large rapidity gaps

 $\sum_{i} E_{T,i} > 30 \text{ GeV}, N_{ch} > 25$



use multi-jet cuts

use low luminosity runs to avoid problems with large pile-up

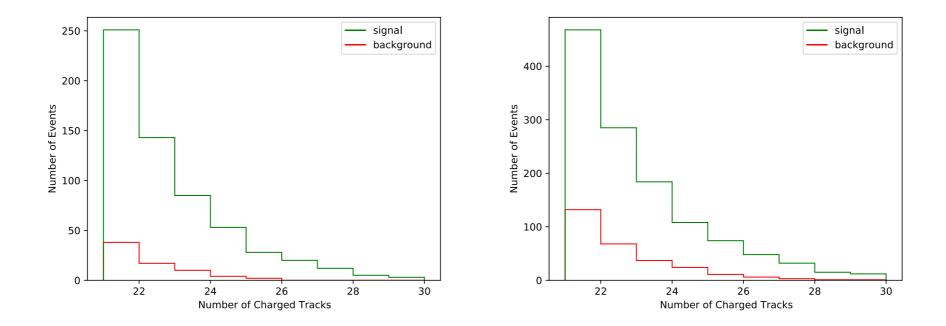


Figure 3: Multiplicity distribution of charged hadrons produced in the events with the instanton (green) in comparison with the expected background (red).

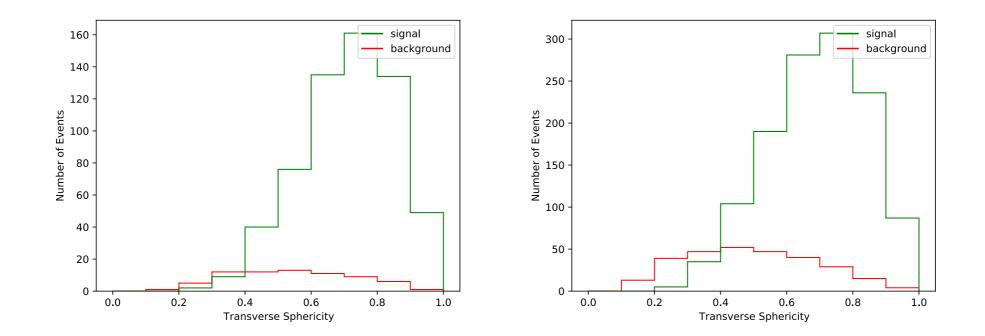
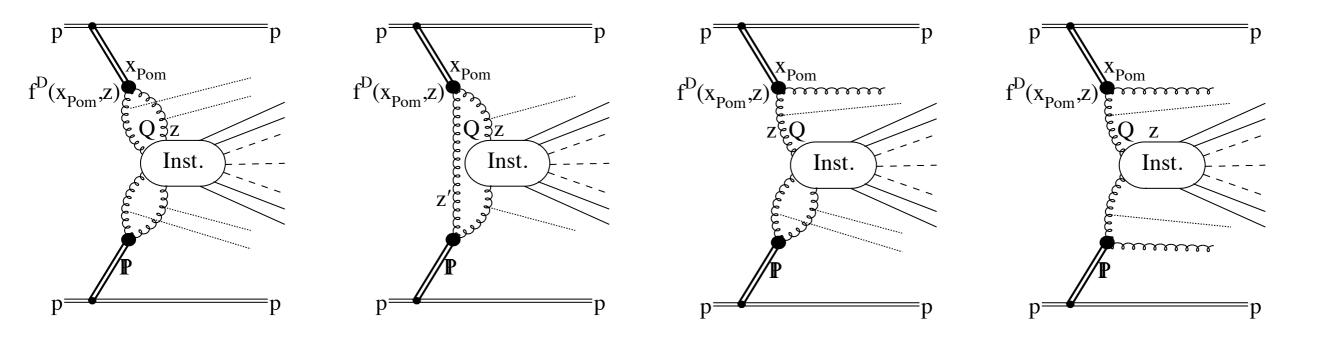


Figure 4: Distribution over the transverse sphericity S_T , Eq. (8), of the charged hadrons produced in the events with the instanton (green) in comparison with the expected background (red).

One can also consider central instanton production in diffractive events with two rapidity gaps:



Latest theoretical results look promising. More detailed phenomenological and ultimately experimental studies are needed and will hopefully follow.

VA Khoze, VVK, Dan Milne, Misha Ryskin: 2111.02159

[Extra slide] Theoretical uncertainties

- QCD Instanton rates are interesting in the regime where they become large lower end of partonic energies 20-80 GeV. The weak coupling approximation used in the semiclassical calculation can be problematic.
- What is the role of higher-order corrections to the Mueller's term in the exponent?
- Possible corrections to the instanton-anti-instanton interaction at medium instanton separations in the optical theorem approach.
- Non-factorisation of the determinants in the instanton-anti-instanton background in the optical theorem. (Instanton densities D(rho) do not factorise at finite R/rho ~1.5 2.)
- Choice of the RG scale = 1/rho. (can vary by a factor of 2 or use other prescriptions to test. In Ref. [1] we checked that)
- A practical point for future progress is to test theory normalisation of predicted QCD instanton rates with data. [The unbiased un-tuned theory prediction looks promising.]