



The Sensitivity of the KATRIN Neutrino Mass Experiment

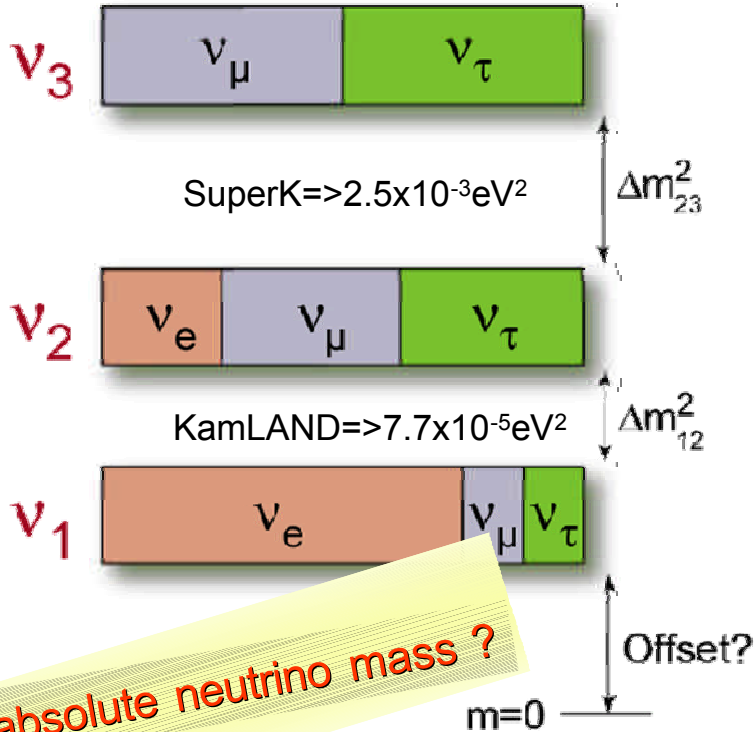
Joachim Wolf, University of Karlsruhe

- motivation for KATRIN
- principle of the measurement
- sensitivity of the spectrometer
- status, goals and schedule of KATRIN

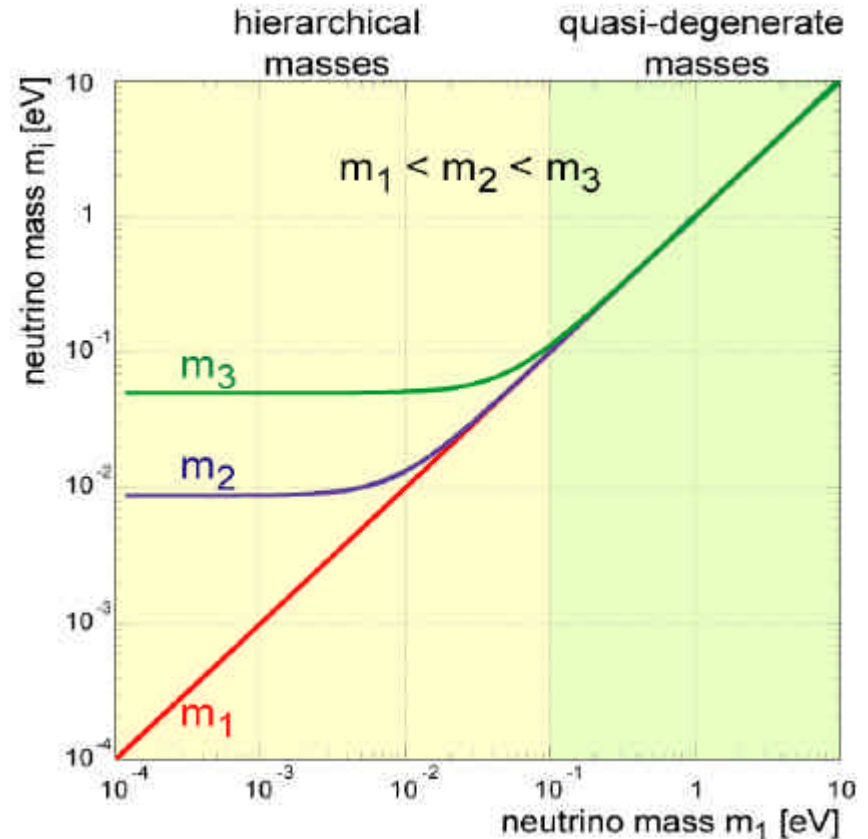


Neutrino mass in particle physics

oscillation experiments
 measure $\Delta m^2 = (m_i^2 - m_j^2)$



absolute neutrino mass ?





Neutrino mass in astrophysics

- CMB and large scale structures

- WMAP + 2dFGRS + ...

$$\Sigma m_\nu < 0.7 \text{ eV (95\% CL)}$$

(D.N. Spergel et al., astro-ph/0302209)

- WMAP + 2dFGRS + ...

$$\Sigma m_\nu < 1.0 \text{ eV (95\% CL)}$$

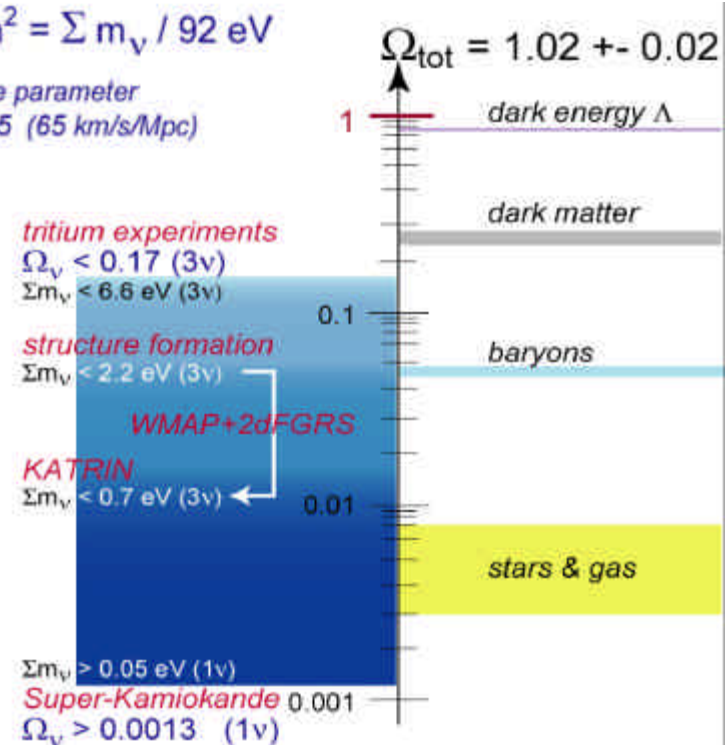
(Hannestad, astro-ph/0303076)

- ...

- supernova neutrinos (tof)

$$\Omega_\nu h^2 = \Sigma m_\nu / 92 \text{ eV}$$

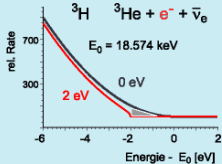
Hubble parameter
 $h = 0.65 \text{ (65 km/s/Mpc)}$





Neutrino mass in nuclear physics: decay experiments

direct measurement:

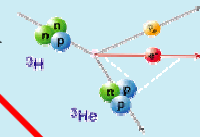


tritium decay

$$m_{\beta}^2 = \sum |U_{ei}|^2 m_i^2$$

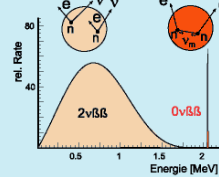
current sensitivity $m_{\beta} < 2.2 \text{ eV}$ (Mainz, Troistk)
 future sensitivity $m_{\beta} < 0.2 \text{ eV}$ (KATRIN)

mass value independent of
 Marojana or Dirac type
 CP phases of U_{ei}



indirect measurement:

$2\beta 0\nu$ decay

$$m_{ee} = \left| \sum U_{ei}^2 m_i \right|$$


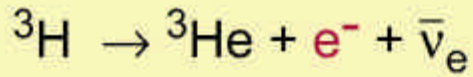
$m_{ee} < 0.11\text{-}0.56 \text{ eV}$! (Heidelberg-Moscow)
 $m_{ee} < 0.05 \text{ eV}$ (CUORE, EXO, GENIUS, MOON)

requirements
 marojana particle
 no cancellations due to CP phases

COMPLEMENTARY MEASUREMENTS



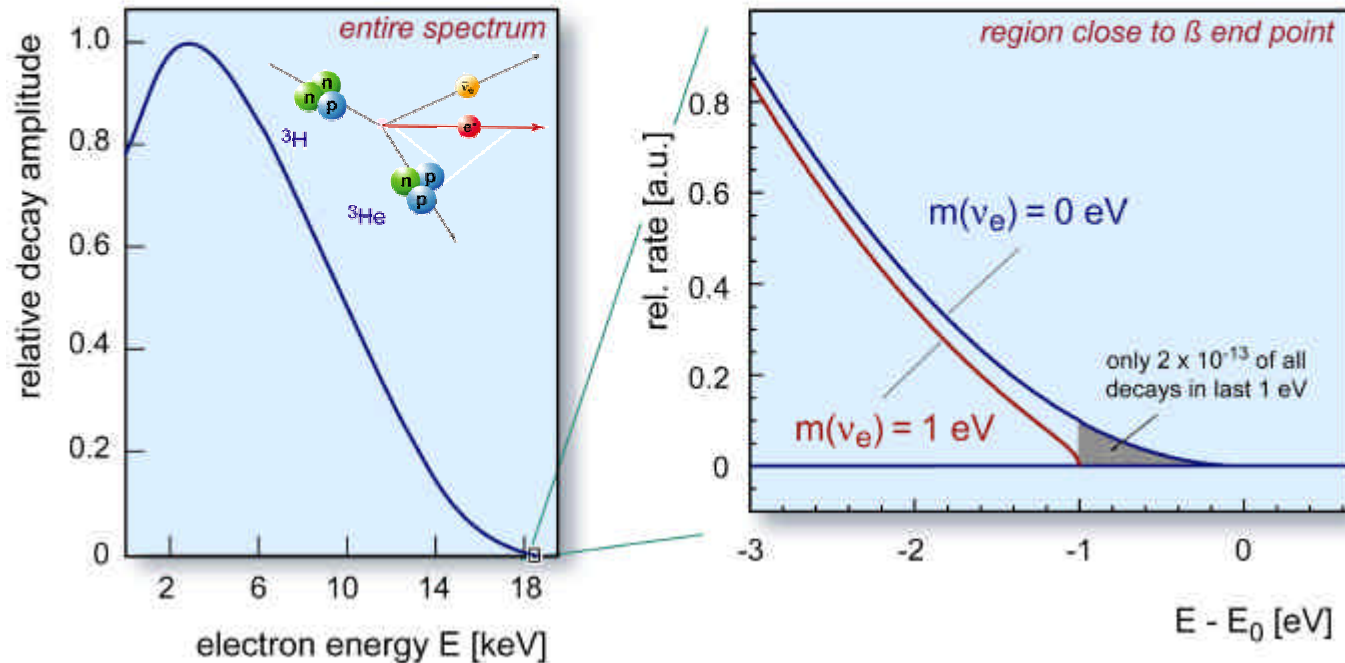
Tritium- β -decay and n -rest mass



superallowed

half life : $t_{1/2} = 12.32 \text{ a}$

β end point energy : $E_0 = 18.57 \text{ keV}$





Tritium- β -decay experiments

ITEP

T_2 in complex molecule
 magn. spectrometer (Tret'yakov)

m_ν
 17-40 eV

Los Alamos

gaseous T_2 - source
 magn. spectrometer (Tret'yakov)

< 9.3 eV

Tokio

T - source
 magn. spectrometer (Tret'yakov)

< 13.1 eV

Livermore

gaseous T_2 - source
 magn. spectrometer (Tret'yakov)

< 7.0 eV

Zürich

T_2 - source impl. on carrier
 magn. spectrometer (Tret'yakov)

< 11.7 eV

Troitsk (1994-2001)

gaseous T_2 - source
 electrostat. spectrometer

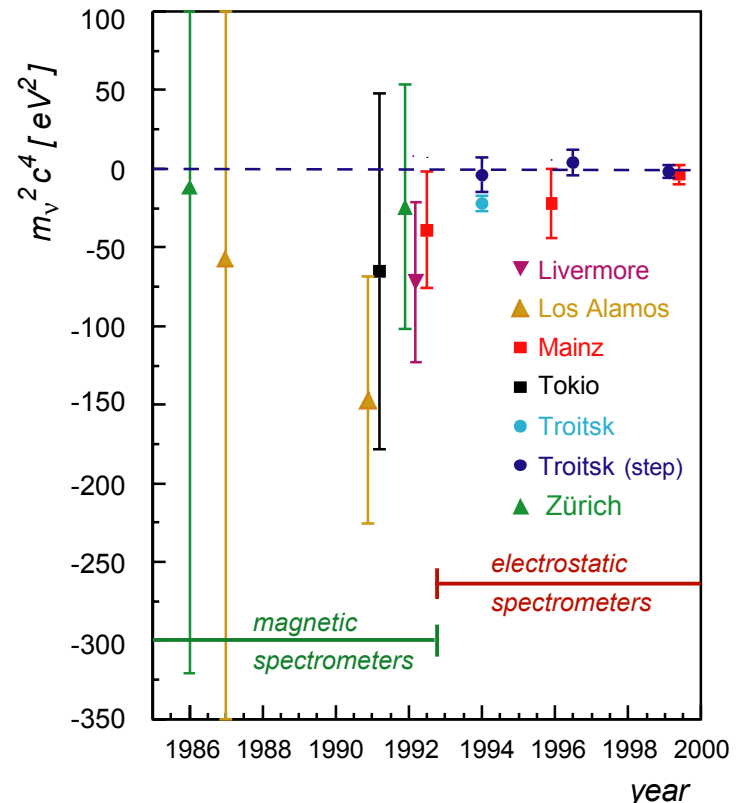
< 2.2 eV

Mainz (1994-2001)

frozen T_2 - source
 electrostat. spectrometer

< 2.2 eV

experimental results



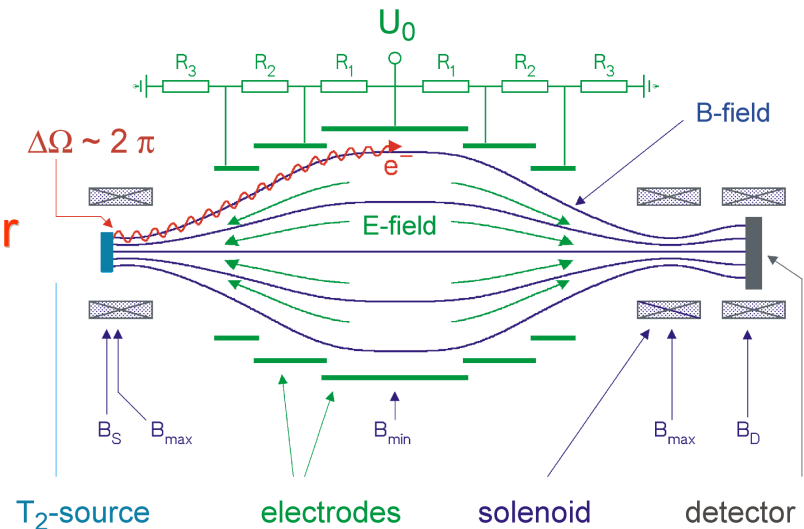
Principle of the electro-static spectrometer

- **adiabatically guided electrons** along magnetic fieldlines
- **electro-static filter:** transmission or reflection at the analysing plane
- **integral energy spectrum**
- **energy resolution: 1eV**

$$\Delta E/E = B_{\min}/B_{\max}$$

$$B_{\max} = 3-6 \text{ T} ; B_{\min} \leq 0.3 \text{ mT}$$

- **large solid angle**



adiabatic transformation $E_{\perp} \rightarrow E_{\parallel}$



KATRIN: **K**arlsruhe **T**Ritium **N**eutrino experiment

- **Goal:** measurement of the ν mass with a sensitivity in the 0.2 eV range
- **International collaboration:** >65 members



University of Washington

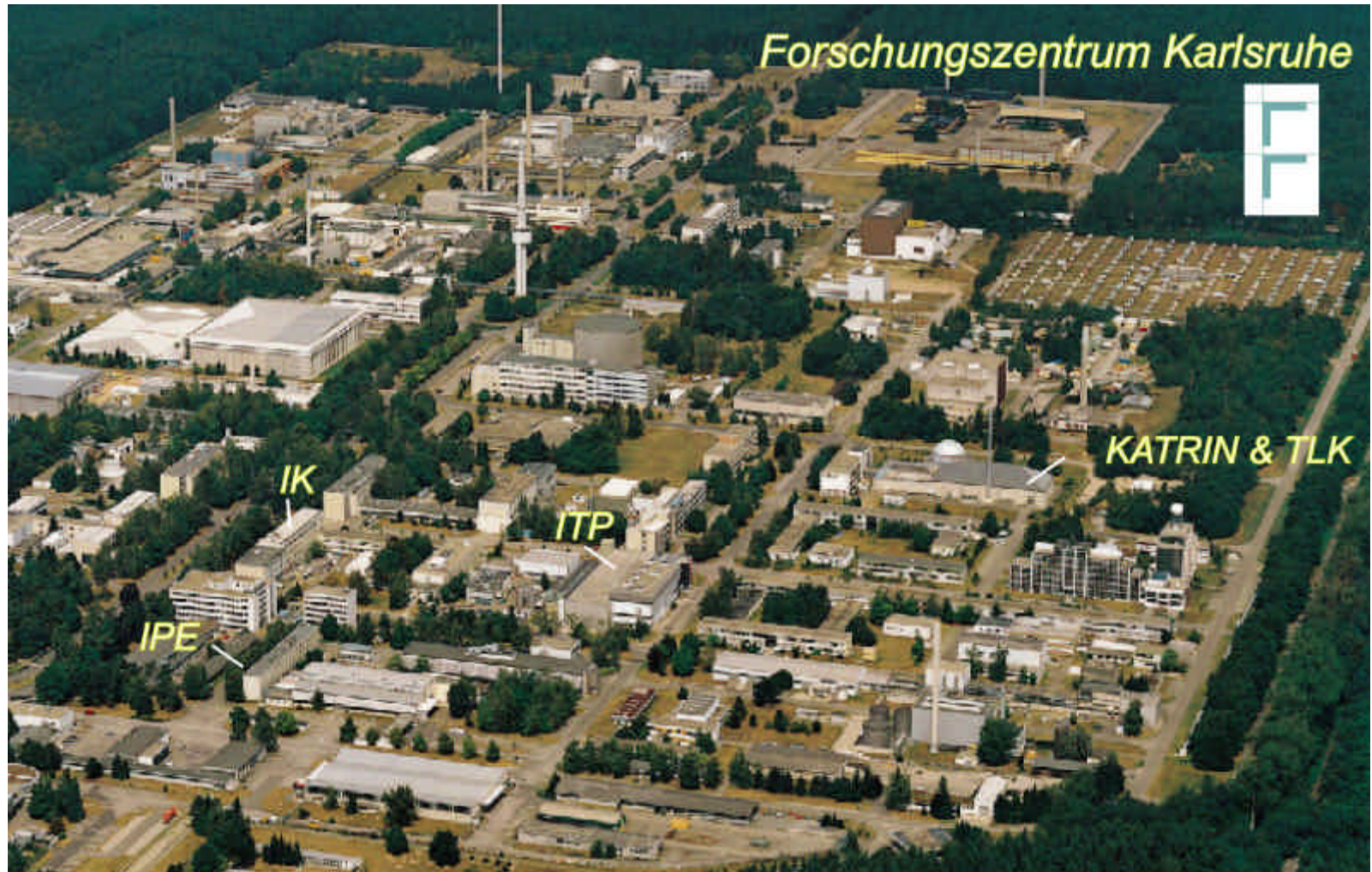


Fachhochschule Fulda
 University of Applied Sciences



bmb+f - Förderschwerpunkt
 Astro-Teilchenphysik
 Großgeräte der physikalischen
 Grundlagenforschung

- **measurement:** electro-static spectrometer with a gaseous tritium source

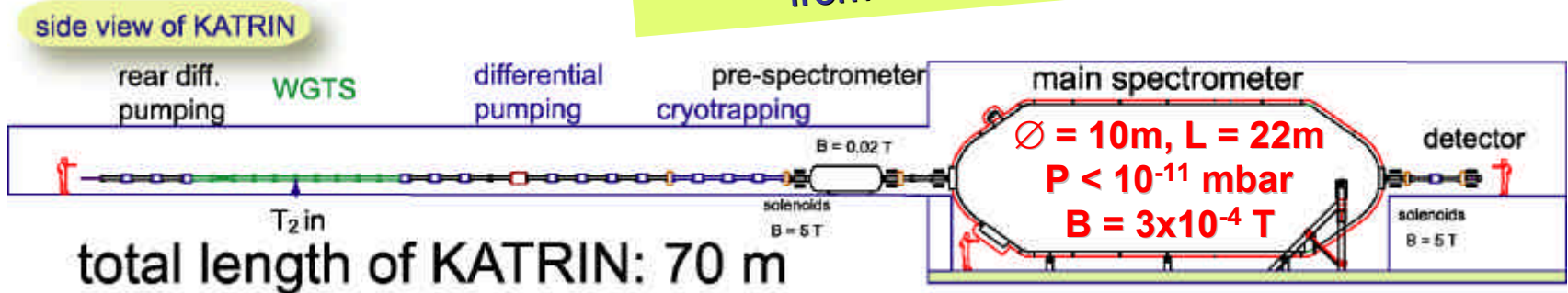




Experimental Setup of KATRIN

- **WGTS: Windowless Gaseous Tritium Source (95% purity)**
- **differential pumping section and cryo-section**
- **pre-spectrometer with electrodes**
- **main spectrometer with electrodes (DE = 1 eV)**
- **Si-detector**

superconducting magnets guide β -electrons from the source to the detector





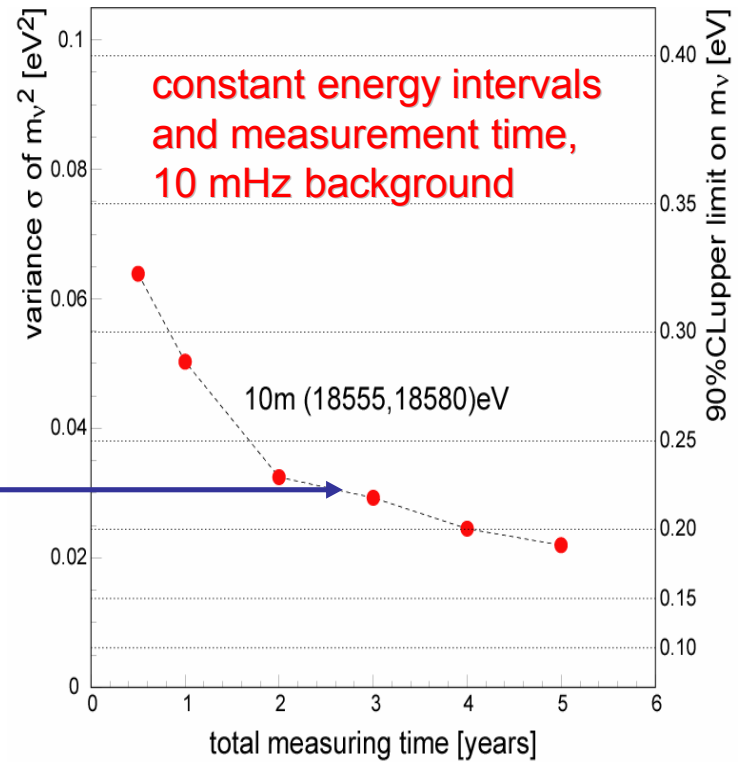
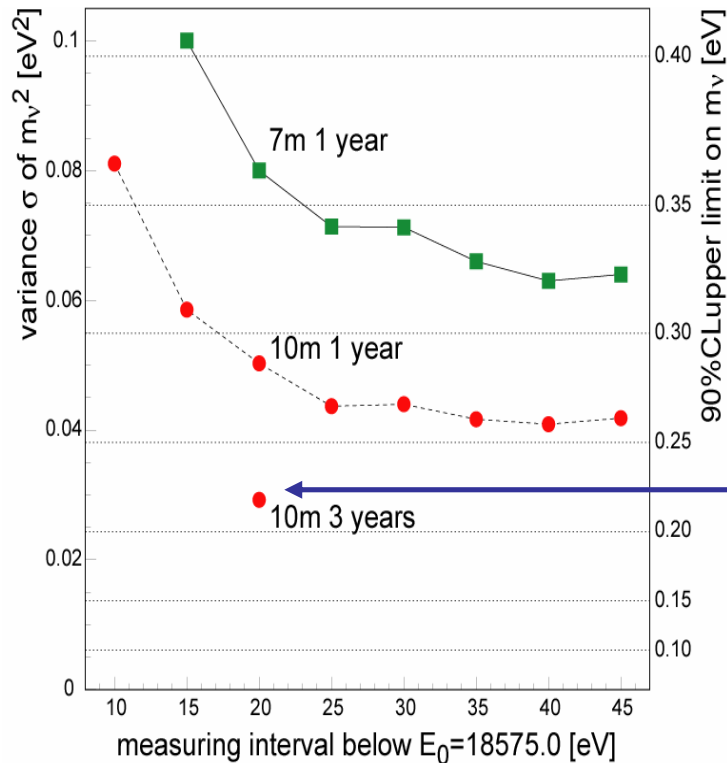
Improved Design Parameters

(compared to COSMO 2002)

- **Larger spectrometer tank (≈ 7 m ? ≈ 10 m) and ...**
- **... larger source diameter (70 mm ? 90 mm)**
 - higher tritium flux (x 1.7)
 - same energy resolution as in 7 m version ($\Delta E = 1$ eV)
 - smaller statistical error
 - same flux as in 7 m tank but $\Delta E = 0.5$ eV improves sensitivity only slightly
- **Optimized energy intervalls**
 - energy dependent energy and time intervalls
 - smaller statistical error
- **Monopole / dipole wire electrodes**
 - strong background reduction in first prototype measurements (Mainz)

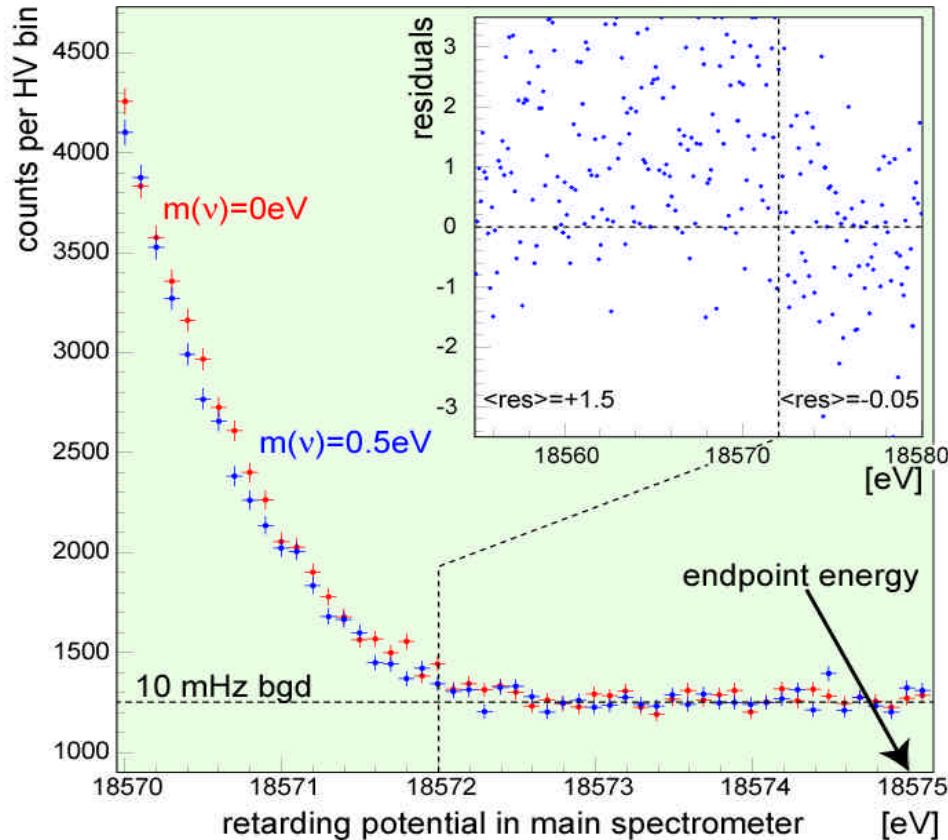


Sensitivity on Neutrino Mass (statistical error only)





Simulation of β spectra



KATRIN after:

1 year effect. meas.time,

10 mHz background,

[18555.0,18580] eV

in 0.1 eV – steps

10m main spectrometer



Systematic Error

- **Influence of systematic uncertainties on sensitivity under detailed investigation**
- **Total systematic error after 3 years of data taking should be about the same size as the statistical error**
- **Possible sources of systematic errors:**
 - Energy loss due to excitation of the daughter molecule
 - Energy loss due to inelastic scattering on gas molecules in the source
 - Density profile in the source; space charge within the source
 - T₂-purity (T₂, TH, H₂)
 - HV fluctuations
 - Stability of the background rate
 - ...



Status of KATRIN Activities

- **Si-detector** (tests with e-gun and X-ray sources)
- **DAQ** (pre-spectrometer tests)
- **Slow Control System** (pre-spectrometer tests)
- **Main spectrometer** (design studies)
- **Pre-spectrometer** (under construction, prototype for main spectrometer)
- **High voltage** (pre-spectrometer tests)
- **Electrodes** (monopole and dipole electrodes in Mainz spectrometer)
- **Superconducting magnets** (first magnets ordered for pre-spectrometer)
- **Differential pumping section** (design studies, simulations)
- **Tritium source** (Raman spectroscopy)
- **Calibration** (development of sources and e-guns)
- **Simulation** (influence of systematic effects, meas. strategy)





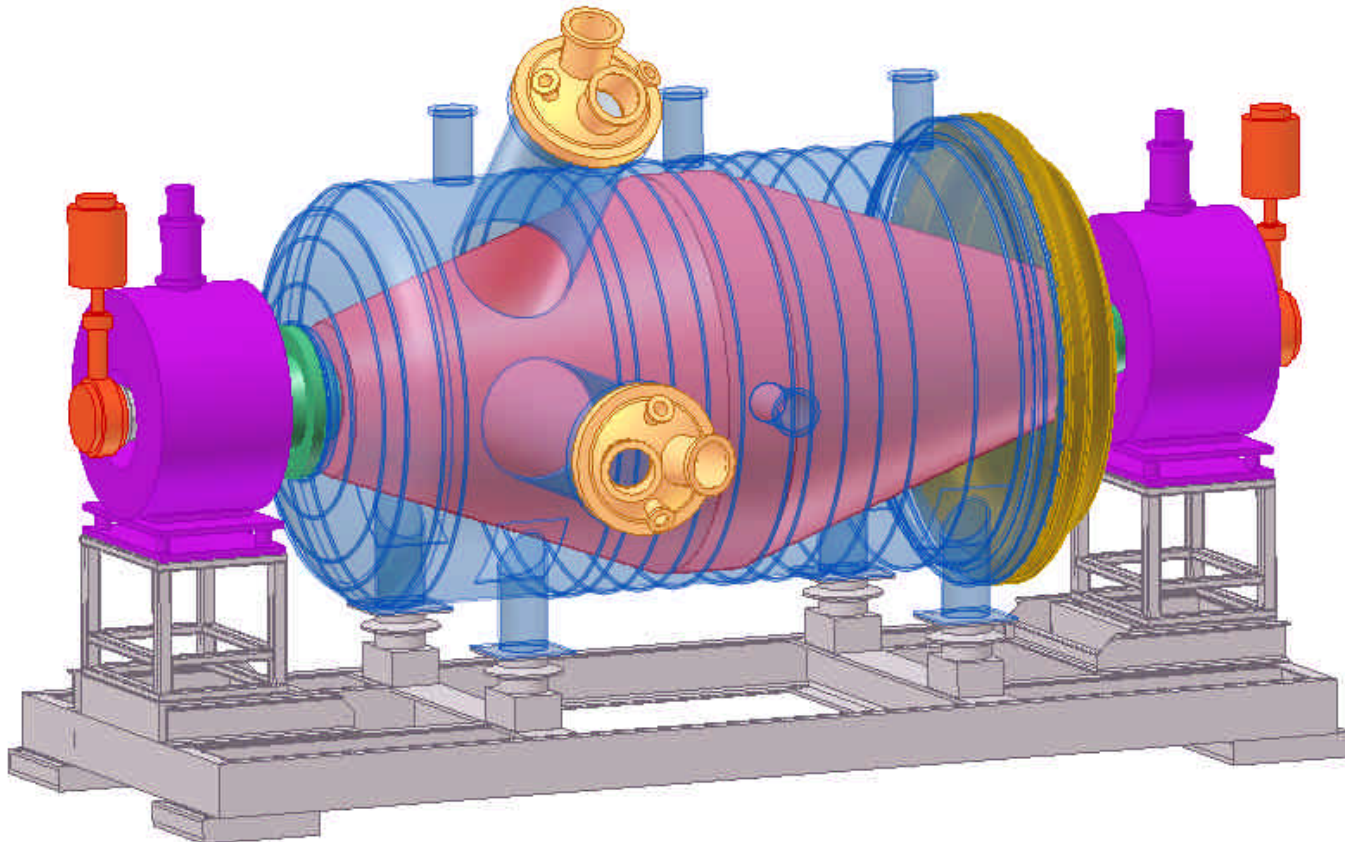
KATRIN Pre-Spectrometer

- **First vacuum tests with 300 l tank:**
 - 10^{-11} mbar (2002-2003)
- **Delivery to FZK: Sep. 2003**
 - $\varnothing = 1.7$ m, $L = 3.5$ m
 - super-cond. magnets
- **Vacuum tests: 2003/4**
 - goal: $< 10^{-11}$ mbar
 - pumps: Getter, TMPs
 - baking: 350°C
 - outgassing $< 10^{-13}$ mbar l/s cm^2
- **Electro-mag. tests: 2004**
 - electrodes and magnets
 - e-gun and Si-detector
 - background suppression





Pre-Spectrometer Prototype Setup





Goals and Schedule of KATRIN

KATRIN sensitivity after 3 years of measuring:

- sensitivity: $m_\nu < 0.2 \text{ eV (90\%CL)}$
- discovery potential: $m_\nu = 0.35 \text{ eV with } 5\sigma$ ($m_\nu = 0.3 \text{ eV with } 3\sigma$)
- statistical and systematic uncertainties contribute about equally

KATRIN schedule:

- since 2001: prototype studies to improve:
 - background suppression (Mainz, Troitsk)
 - vacuum ($< 10^{-11}$ mbar)
 - e-m design and calibration (electrodes, magnets, e-gun)
 - Si-detector (SDD, APD, PIN)
- September 2003: delivery of the pre-spectrometer
- 2005/2006: construction of the main spectrometer
- 2007: start of measurements



KATRIN Collaboration

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